ರೈಲು ಮೂಲಸೌಲಭ್ಯಅಭಿವೃದ್ಧಿ ಕಂಪನಿ (ಕರ್ನಾಟಕ) ನಿಯಮಿತ

रेल इन्फ्रास्ट्रक्चर डेवलपमेंट कंपनी (कर्नाटक) लिमिटेड

Rail Infrastructure Development Company (Karnataka) Limited (K-RIDE) (A Joint Venture of Govt. of Karnataka and Ministry of Railways)

## **TENDER DOCUMENT FOR THE WORK OF**

Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yesvantpur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.

#### TENDER NO. K-RIDE/DL/01/2023

DATE: 25.01.2023

RAIL INFRASTRUCTURE DEVELOPMENT COMPANY (KARNATAKA) LIMITED

Samparka Soudha, 1<sup>st</sup> Floor, Dr. Rajkumar Road, Opposite Orion Mall, Rajajinagar 1<sup>st</sup> Block, Bengaluru-560010 Tel +91-6364890811, +91-6364894850. Email: praveen.kumar.kride@ka.gov.in



### TENDER DOCUMENT

(Through e-Tendering Mode)

### Tender for the work of :

Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yesvantpur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.

TENDER NO:	K-RIDE/DL/01/2023, Dt:25.01.23
TENDER DOCUMENT CAN BE DOWNLOADED FROM	28.01.2023
DATE OF PRE-BID MEETING	As Per Date and Time Specified in e- procurement portal.
LAST DATE AND TIME FOR RECEIPT OF BIDS	As Per Date and Time Specified in e- procurement portal.
DATE AND TIME OF OPENING OF COVER ONE OF TENDER (TECHNICAL BID)	As Per Date and Time Specified in e- procurement portal.
PLACE OF OPENING OF COVER ONE OF TENDER (TECHNICAL BID)	The opening of the Technical Bid shall take place at <b>e- procurement portal of K-RIDE</b> i.e., <u>https://eproc.karnataka.gov.in</u>
PLACE OF OPENING OF COVER TWO OF TENDERS (FINANCIAL BID)	The opening of the Financial Bid shall take place at <b>e- procurement portal of K-RIDE</b> i.e., <u>https://eproc.karnataka.gov.in</u>
DATE AND TIME OF OPENING OF COVER TWO OF TENDERS	Will be intimated to the Qualified Tenderers through Karnataka Public Procurement Portal.
ADDRESS FOR COMMUNICATION	GM/Civil (Projects) K-RIDE (Rail Infrastructure Development Company (Karnataka) Limited) #8, 1 <sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall Rajajinagar 1 <sup>st</sup> Block, BENGALURU Tel – 91-6364890811, +91-6364894850. E Mail – <u>praveen.kumar.kride@ka.gov.in</u>

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# SECTION: 1

## NOTICE FOR INVITATION FOR TENDERS (IFT)

Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yesvantpur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.



#### Rail Infrastructure Development Company (Karnataka) Limited

#### **INVITATION FOR BIDS**

#### (Through e-tendering mode)

Tender Notice No. K-RIDE/DL/01/2023

THE RAIL INFRASTRUCTURE DEVELOPMENT COMPANY (KARNATAKA) LIMITED (K-RIDE), having its Corporate office, at #8, 1<sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall, Rajajinagar 1<sup>st</sup> Block, Bengaluru-560010, India, which is a Joint Venture of Government of Karnataka and Ministry of Railways invites Bids from eligible Bids, for the construction of works detailed in the table below under Single stage: Two tender document system (Technical Bid and Financial Bid).

SL. NO.	NAME OF WORK	APPROX. VALUE OF WORK (IN ₹)	TENDER SECURITY/EMD (IN ₹)	PERIOD OF COMPLETION
1	2	3	4	5
1	Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yesvantpur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.	8.99 Cr	13,49,153	12 months

#### NOTE:

1. The Tenderers shall submit the tender through e - procurement portal. Tenderers should scan the registration copy; work done certificate and any other document and submit through online. More information can be had from website <u>www.eproc.karnataka.gov.in</u>

### Date: 25.01.2023



- 2. On the stipulated date of opening of Tenders, initially, only the Technical Bids are opened through Karnataka Public Procurement Portal. The Technical Bids shall be evaluated by the Employer in accordance with the stipulated Qualification and Evaluation criteria. No amendments or changes to the Technical Bids would be permitted after the opening of Technical Bids.
- 3. Tenderers who are qualified in the technical evaluation, their Price Bid shall be opened at a date and time advised by the Employer (K-RIDE) through e-tendering portal. The Price Bids are evaluated and the Contract is awarded to the Tenderer whose Tender has been determined to be the lowest evaluated substantially responsive tender.
- 4. Tenderers are advised to note the eligibility and minimum qualifying criteria specified in the Section 2: Instruction to Tenderers of the tender document.
- 5. Tenders must be accompanied by a Tender Security/EMD as specified in the tender document and shall have to be valid for 45 days beyond the validity of the tender. Any Tenders received without tender security/EMD shall be summarily rejected.
- 6. Incomplete Tender submission will be considered non-responsive and such Tenders shall not be considered for further evaluation.
- Tender Documents can be downloaded free of cost from Karnataka Public Procurement Portal i.e., <u>https://eproc.karnataka.gov.in</u> and K-RIDE web site the Tenders must be submitted online via Karnataka Public Procurement Portal only.

Please note that drawings, if any, referred in the tender document, but not uploaded with the tender document, can be viewed in this office on any working day. The Tenderer can also have a copy of the same on payment of non-refundable cost of Rs. 5,000/- (Rupees Five Thousand only) by a e-Payment mode (credit card/debit card/net banking/UPI) in favour of **Rail Infrastructure Development Company (Karnataka) Limited, Bangalore.** 

It will be the responsibility of the Tenderer who is submitting the Tender on downloaded Tender documents to check and see any Addendum/Corrigendum issued in this regard from the website from time to time and ensure submission of bid along with all Addendum/Corrigendum.

In case of any clarification the Tenderer can visit the Rail Infrastructure Development Company (Karnataka) limited Corporate Office Bengaluru at #8, 1<sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall, Rajajinagar 1<sup>st</sup> Block, Bengaluru - 560010. Tel. No.+91-6364890811.

- 8. Validity of Tender: Tenders shall remain valid for a period of <u>180</u> days after the Tender submission deadline date prescribed by the employer. A Tender valid for a shorter period shall be rejected by the employer as non-responsive.
- 9. In exceptional circumstances, the Employer may request Tenderers to extend the period of validity of their Tenders. The request and the responses shall be made in writing. If a Tender security is



requested in accordance with ITT 13, it shall also be extended up to the date mentioned in the letter of request for extension. A Tenderer may refuse the request without forfeiting its Tender security. A Tenderer granting the request shall not be required or permitted to modify its Tender.

- 10. If, the office happens to be closed on the date of opening of Tender, the Tenders will be opened on next working day at the same time and venue.
- 11. A **Pre- Bid meeting** will be held as per date and time specified in e-procure portal. IST at the office of K-RIDE, Bangalore to clarify the issues if any and to answer questions on any matter that may be raised at that stage as stated in Clause 8 of ITT of the Tender document.
- 12. Other details can be seen in Tender documents.

#### 13. REGISTRATION:

- a. Tenderers are required to enroll on the e-tendering Portal (<u>https://eproc.karnataka.gov.in</u>) with clicking on the link "Tenderers Registration" on the e-tender Portal by paying requisite registration fee as applicable.
- b. As part of the enrolment process, the Tenderers will be required to choose a unique user name and assign a password for their accounts.
- c. Tenderers are advised to register their valid email address and mobile numbers as part of the registration process. These would be used for any communication with the Tenderer.
- d. Upon enrolment, the Tenderers will be required to register their valid Digital Signature Certificate (Only Class III Certificates with signing + encryption key usage) issued by any Certifying Authority recognized by CCA India with their profile.
- e. Only one valid DSC should be registered by a Tenderer. Please note that the Tenderers are responsible to ensure that they do not lend their DSC's to others which may lead to misuse.
- f. Tenderers then logs in to the site through the secured log-in by entering their user ID/password and the password of the DSC / e-Token.
- g. The scanned copies of all original documents should be uploaded on portal.
- h. For any query regarding e-procurement on the Karnataka Public Procurement Portal, contact helpdesk number +91-8046010000, +91-8068948777, support@eprochelpdesk.com



#### 14. SEARCHING FOR PROPOSAL DOCUMENTS

Once the Tenderers have selected the proposals they are interested in, the Tenderers can pay non-refundable processing fee as per the Karnataka Public Procurement Portal.

#### 15. PRECAUTIONS FOR SUBMITTING / PREPARATION OF PROPOSALS THROUGH E TENDERING PORTAL

- a. Tenderer, in advance, should get ready the proposal documents to be submitted as indicated in the proposal document / schedule and generally, they can be in PDF /JPEG formats.
- b. Tenderer should log into the website well in advance for the submission of the proposal so that it gets uploaded well in time i.e., on or before the proposal submission time. Bidder will be responsible for any delay due to other issues.
- c. The Tenderer has to digitally sign and upload the required proposal documents one by one as indicated in the tendering document.
- d. The server time (which is displayed on the consultant's dashboard) will be considered as the standard time for referencing the deadlines for submission of the proposals by the consultants, opening of proposals etc. The consultants should follow this time during proposal submission.
- 16. The Tenderer should furnish the Name of the individual / firm/ Company / Joint venture with address and telephone number with place of registration, year of incorporation etc.,
- 17. Tender by a joint venture of contractors is permitted subject to conditions indicated in tender document.
- 18. The application made by the firm / company / Joint Venture shall be signed by a person holding the power of attorney, in which case the Tenderer shall furnish a copy of power of attorney.

#### 19. Employees Provident Fund Registration Certificate

The Contractor shall furnish EPF Registration Certificate before entering into agreement in the event of award of work to them after tender, subject to compliance with the following conditions:

- a) If the contractor is registered already with the EPF authorities, they should produce a copy of the EPF Registration Certificate.
- b) If not registered with the EPF authorities, the Tenderer should produce an undertaking at the time of participating in the tender that he shall within 7 days of the close of every month submit a Statement to Engineer showing the recoveries of contribution in respect of



Employees by or through him and shall also furnish such information as the Engineer is required to furnish under the provisions of the Scheme to the Commissioner EPF.

- c) However, having given an undertaking to this effect if the Contractor does not furnish the information, the Employer will deduct the necessary amount from the amount due to the Contractor. Notwithstanding the above, the Contractor will be liable for any consequential penalty /damages levied by the EPF authorities.
- 20. The necessary certificates / documents in support fulfilling qualifying criteria stipulated separately shall be scanned and attached to e-procurement document. The original documents if required by the Employer shall be produced whenever asked by Employer on Technical Bid/ Financial Bid.
- 21. The intending Tenderers are advised to visit the site of work before attending the Pre- Bid meeting and also before submitting the Tenders.
- 22. The qualification criteria as indicated in bid document should be met by the intending Tenderers.
- 23. Tenderers shall not be under a declaration of ineligibility for corrupt and fraudulent practices issued by the Govt. of Karnataka, Govt of India and any PSUs thereof.
- 24. **Pre-bid meeting** will be held as per the date and time specified in e-procurement portal in the office of K-RIDE Bangalore.
- 25. The conditional Tenders will not be accepted.
- 26. The Employer is not responsible for any delay in accessing Karnataka Public Procurement Portal.
- 27. The rates quoted by the Tenderer must be inclusive of all Taxes, Duties etc.,
- 28. The Employer reserves the right to either postpone or to cancel the entire process of tender.
- 29. If Employer wishes to engage third party consultants for quality control assessment, apart from the Employer quality control and field tests, the Tenderer should co-operate with both Quality control authorities and the third party.
- 30. Building and other construction workers welfare: The Tenderer shall subscribe 1% of gross amount of each bill payable to him in respect of contract to the building and other construction workers welfare cess as per GO No: LD 300 LET 2006, Bangalore, dated: 18-01-2007. The amount of subscription will be recovered out of payable amount to him in each bill. This component is deemed to have been included in the quoted rate.
- 31. Last Date of Receipt and opening of Bids: The completed Tenders must be submitted through Karnataka Public Procurement Portal <u>https://eproc.karnataka.gov.in</u> not later than **the date and**



**time specified in the e-procurement portal.** K-RIDE will not be responsible for any delays in the receipt of Tender by K-RIDE. Late Tenders (received after stipulated date and time of submission of Tenders) shall not be accepted under any circumstances. K-RIDE reserves the right to accept/reject any or all proposals without assigning any reason thereof.

- 32. Any suit or application, arising out of any dispute or differences on account of this tender shall be filed in a competent court at Bengaluru, Karnataka only and no other court or any other district of the country shall have any jurisdiction in the matter.
- 33. Address for Communication: Interested eligible Tenderers may obtain further information from the following address:

GM/Civil (Projects), Rail Infrastructure Development Company (Karnataka) Limited, #8, 1<sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall Rajajinagar 1<sup>st</sup> Block, Bengaluru-560010 Tel +91-6364890811, +91-6364894850. E-mail: praveen.kumar.kride@ka.gov.in

For any Query regarding e tendering portal/ Tender submission please contact helpdesk Number +91-8046010000, +91-8068948777 Email: <u>support@eprochelpdesk.com</u>



# <u>SECTION – 2</u>

# **INSTRUCTIONS TO TENDERERS (ITT)**

INSTRUCTIONS TO TENDERERS (IIT)



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#### A. GENERAL

#### 1. SCOPE OF THE TENDER:

1.1 THE RAIL INFRASTRUCTURE DEVELOPMENT COMPANY (KARNATAKA) LIMITED (K-RIDE), Having its Corporate office, at #8, 1<sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall, Rajajinagar 1<sup>st</sup> Block, Bengaluru-560010, India, which is a Joint Venture of Government of Karnataka and Ministry of Railways invites Tenders from Eligible Tenderers, for the construction of works details as given in the invitation for the Tenders (IFT). The tenderers may submit the tenders for the works detailed in the IFT.

#### 2. ELIGIBLE TENDERERS:

**2.1** The Tenderers shall not be under a declaration of ineligibility for corrupt and fraudulent practices issued by Govt. of Karnataka, Govt of India and PSUs.

#### 2.2 JOINT VENTURES: TENDERS FROM JOINT VENTURES ARE NOT ACCEPTABLE.

#### **3.** QUALIFICATION OF THE TENDERER.

3.1 All the tenderers shall provide the requested information accurately and sufficient details in section 3: Qualification information. The Joint Venture, if applicable to be formed prior to the Bidding.

Pre-qualification will be based on Applicants meeting all the following minimum pass-fail criteria regarding their general and particular construction experience, financial position, personnel and equipment capabilities, and other relevant information as demonstrated by the Applicant's responses in the Information Forms attached to the Letter of Application. Additional requirements for joint ventures are given in para 2.2

#### 3.2 The following qualification criteria should be met by the intending Tenderers.

- a) To qualify for award of this contract, each tenderer in its name should have in the last five financial years, i.e. from 2017-18 to 2021-22 should have achieved in atleast two financial years a minimum financial turnover of Rs. 17.98 Crore.
  - **NOTE**: The Tenderers shall submit certificates to this effect which may be attested certificates from the concern departments/ Client or Audited balance sheet duly certified by the charted accountant/ certificate from Chartered Accountant duly supported by audited balance sheet. The Turnover certificate duly certified by statutory Auditor should be uploaded. Financial turnover of previous years will be given a weightage of 10% per year as indicated in qualification information (Tender Forms) Form FIN-2 based on the rupee value to bring them to current FY: 2022-23 price value.

## b) The Tenderer/Firm/Company should have satisfactorily completed at least one similar work such as "Supply of machine crushed ballast " of value not less than Rs. 4.49



## Crores at current FY: 2020-21 price level in the last five financial years. (FY 2017-18 to FY 2021-22)

#### NOTE:

- The criteria above apply to the Individual Tenderer/Firm/company/Joint venture also. The experience Certificate from the competent Govt./Semi Govt./Public Sector undertaking shall be furnished. The certificate should be issued by not below the rank of the Executive Engineer/Employer in case of Govt./Semi Govt. authorities, General Manager in case of Public Sector Undertaking, to be submitted along with the technical tender.
- 2. Similar work is defined as below: <u>"Supply of Machine crushed ballast"</u>
- 3. The contract is considered as completed if 90% or more of the work is physically completed which is to be substantiated by a certificate from the Employer who has awarded the contract to the Bidder and the contract amount so received should be equal to or more than the minimum value as per eligibility criteria 3.2 (b).
- 4. For completed works, the value of work done shall be updated to FY 2021-22 price level assuming 10% inflation for Indian rupees every year. Credentials if submitted in foreign currency shall be converted into Indian currency i.e., Indian Rupee as under: Bids will be compared in Indian Rupees only. This will be achieved by conversion of the Foreign Currency portion of the Bid into Indian Rupees by using the Exchange Rates published by Financial Benchmarks India Pvt. Ltd (www.fbil.org.in) 28 (twenty-eight) days before the latest date of Bid submittal, and then adding the same to the Indian Rupee portion of the Bid. In case this particular day happens to be a holiday, the exchange rate published by Financial Benchmarks India Pvt. Ltd (www.fbil.org.in) on the next working day will be considered.
- 5. In case of JV/Consortium, full value of the work, if done by the same JV shall be considered. However, if qualifying work (s) done by them in JV/Consortium having different constituents, then the value of work as per their percentage participation in such JV/Consortium shall be considered.
- c) The intending Tenderer / firm/ company / Joint Venture should have executed all the components within last five financial years, i.e. 2017-18 to 2021-22 and Each component should have been executed in any one year (Any continuous 12 months).

Component No.	Nature of Work	Minimum component of work
1	Collection and Supply of Ballast on Cess/Depot	60000 cum

#### NOTE: -



- 1. The above criteria apply to the Individual Tenderer/Firm/ company / Joint Venture also. Certificate regarding the same duly signed by an officer not below the rank of the Executive Engineer should be submitted along with the technical Tender.
- 2. The qualifications, capacity, and resources of proposed subcontractors will not be taken into account in assessing those of individual or joint venture Applicants, unless they are named specialist subcontractors.
- 3.3 Each Tenderer should further demonstrate:
- 3.3 a) KEY PLANT AND EQUIPMENT: Availability by owning/lease/hire of the required following key and critical equipment's deployed for this work, the relevant documents (Commitment agreements etc.,) regarding availability for this work are to be furnished:

The intending Tenderer/firm/company/Joint venture should furnish details of ownership / lease certificates of the following minimum requirement of machineries:

#### PLANT AND EQUIPMENT

#### (I) KEY AND CRITICAL EQUIPMENTS

SI No.	Type of Equipment required for the work	Proposed to be Deployed (Minimum)	Remarks
1.	Ballast moving equipment (Dumper)	10 Nos	50% Own + 50% Lease/Hire
2	Back hoe (JCB)/Pay loader	2 nos	50% Own + 50% Lease/Hire

# 3.3 b) LIQUID ASSETS: The Tenderer / firm/company/Joint Venture should furnish details of liquid assets and or availability of credit facilities of Rs. 2.25 Crores for the work mentioned above for meeting the required funds in the form of own funds /credit lines / certificate from scheduled Nationalized Bank.

The Bidder should have access to or has available liquid assets, lines of credit and other financial means to meet cash flow. The audited balance sheet and/or banking reference certified by Charted Accountant with their stamp, signature and membership number shall be submitted by the Tenderer along with the Tender.

Banking reference should contain in clear terms the amount that bank will be in position to lend for this work to the applicant/member of the joint venture/Consortium. In case the Net Current Assets (as seen from the balance sheet) are negative, only the banking references will be considered. Otherwise, the aggregate of Net Current Assets and submitted banking references will be considered for working out the Liquidity.

The banking reference should be, from a scheduled Bank in India it should not be more than three months old as on date of submission of Bids.



In case of JV firm's overall liquidity of JV firm shall be assessed by arithmetic sum of liquidity of all members of JV as specified in JV matrix.

3.3 c) LIST OF MINIMUM KEY TECHNICAL PERSONNEL: List of Minimum Key Technical personnel required for the work are as under and should be enrolled in company/ firm/Joint Venture under Employment register and document should be uploaded. The Contractor shall have a competent team of Managers, Engineers, Technical staff etc. so as to complete the work satisfactorily as per various requirements of the contract. The Key Positions not limited to and corresponding qualification and experience are as under:

SI. No.	Designation	Qualification	Experience level (For similar works)	Min. No. Required
1	QA & QC Engineer	Bachelor's Degree in Civil Engineering	Minimum 5 years total experience and 2-year experience in the role of QA&QC Manager in the execution of similar type of work.	01
2	Civil Engineer	Diploma in Civil Engineering	Total minimum experience 3 Years (Minimum 02 years in Railway projects)	01

**Note:** The details to be given as per Form-5 of Section-3 (Qualification information /Bidding Forms).

- 3.4 To qualify for a package of contracts made up of this and other contracts for which tenders are invited in this IFT, the Tenderer must demonstrate having experience and resources to meet the aggregate of the qualifying criteria for the individual contracts.
- 3.5 Sub-contractors' experience and resources shall not be taken into account in determining the Tenderer's compliance with the Qualifying Criteria.
- 3.6 BID CAPACITY: Tenderers who meet the above specified minimum qualifying criteria, will only be qualified, if their available tender capacity is more than the total tender value. The available tender capacity will be calculated as under:

#### Assessed available tender capacity = (A\*N\*1.5 - B) Where

- A = Maximum value of civil engineering works executed in any one year during the last five Financial years ending **31.03.2022** taking into account the completed as well as works in progress.
- N = Number of years prescribed for completion of the works for which tenders are invited.
- B = Value at current price level (updated to FY 2021-22 price level) of existing commitments and ongoing works to be completed during the next two and half years (*period of completion of the works for which Tenders are invited*).



*Note:* Updation of Price Level shall be done at 10% per year.

The statements showing the value of existing commitments and on-going works as well as the stipulated period of completion remaining for each of the works listed should be countersigned by the Employer in charge, not below the rank of an Executive Engineer or equivalent.

- **3.7 NETWORTH:** The Bidder's net worth for the last Financial Year calculated as the difference between total assets and total liabilities **should be Positive.**
- 3.8 Even though the Tenderers meet the above criteria, they are subject to be disqualified if they have:
  - made misleading or false representations in the forms, statements and attachments submitted in proof of the qualification requirements; and/or
  - record of poor performance such as abandoning the works, not properly completing the contract, inordinate delays in completion, litigation history, or financial failures etc.; and/or
  - participated in the previous Tender for the same work and had quoted unreasonably high tender prices and could not furnish rational justification.

#### 3.9 ELIGIBILITY CRITERIA TABLE/MATRIX:

Requirement	Single Entity		Submission Requirements
Clause: 3.2.(a) (Annual construction turnover in at least two out of five financial years)	Must meet requirement	the	Form FIN-2
Clause: 3.2 (b) One Similar work	Must meet requirement	the	Form at para 1.3/section:3
Clause: 3.2 (c) 1 Collection and Supply of Ballast on Cess/Depot	Must meet requirement	the	Form at para 1.4/Section:3
Clause: 3.3 a (I) Key and Critical Equipment's	Must meet requirement	the	Form at para 1.6/section:3
Clause: 3.3(b) Liquid Asset	Must meet requirement	the	Form at para 1.10/section:3
Clause: 3.3(c) Minimum Key Technical Staff	Must meet requirement	the	Form 6 of Section 3
Clause: 3.6 Bid capacity	Must meet requirement	the	Form at para 1.5/section:3 and Form FIN-3/ section:3
Clause: 3.7 Net worth	Must meet requirement	the	Form FIN-1/ section:3



3.10 The applicant must attach with their application, a note giving a general description on the approach to the construction methods, technologies, quality assurance schemes proposed, deployment schedule of equipment proposed to be used, etc., for ensuring completion of the work as per specifications within the desired time-frame.

#### 4. ONE TENDER PER TENDERER:

4.1 Each tenderer shall submit only one tender for one package. A tenderer who submits or participates in more than one Tender (other than as a sub-contractor or in cases of alternatives that have been permitted or requested) will cause all the proposals with the Tenderer's participation to be disqualified.

#### 5. COST OF TENDERING:

5.1 The tenderer shall bear all costs associated with the preparation and submission of his tender, and the Employer will in no case be responsible and liable for those costs.

#### 6. SITE VISIT:

6.1 The Tenderer at his own responsibility and risk is encouraged to visit and examine the Site of Works and its surroundings and obtain all information that may be necessary for preparing the Tender and entering into a contract for construction of the Works. The cost of visiting the Site shall be at the Tenderer's own expense.

#### **B**-TENDER DOCUMENTS

#### 7. CONTENT OF TENDER DOCUMENTS

7.1 The set of tender documents shall have all the Sections given in content page.

#### 8. CLARIFICATION OF TENDER DOCUMENTS

8.1 A prospective tenderer requiring any clarification of the tender documents may notify the Employer in writing or by cable (hereinafter "cable" includes telex, E-Mail and facsimile) at the Employer's address indicated in the invitation to tender. The Employer will respond to any request for clarification which he receives earlier than 15 days prior to the deadline for submission of tenders. Copies of the Employer's response will be forwarded to all purchasers of the tender documents, including a description of the enquiry but without identifying its source.

#### 8.2 **Pre-Tender meeting:**

8.2.1 The tenderer or his authorized representative is invited to attend pre-tender meeting which will take place at office of K-RIDE Bangalore or @ VC whichever is feasible as per the date and time specified in the e - procurement portal.



If the tenderer is willing to attend Pre- Bid meeting. Hence, the tenderer is requested to communicate via email <u>praveen.kumar.kride@ka.gov.in</u> so that link will be communicate.

**Venue:** #8, 1<sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall, Rajajinagar 1<sup>st</sup> Block, Bengaluru-560010 Tel +91-6364890811

- 8.2.2 The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 8.2.3 The tenderer is requested to submit any questions in writing or by cable to reach the Employer not later than one week before the meeting.
- 8.2.4 Minutes of the meeting, including the text of the questions raised (without identifying the source of enquiry) and the responses given will be published without delay to all purchasers of the tender documents. Any modification of the tender documents listed in Sub-Clause 7.1 which may become necessary as a result of the pre-tender meeting shall be made by the Employer exclusively through the issue of an Addendum pursuant to Clause 9 and not through the minutes of the pre-tender meeting.
- 8.2.5 Non-attendance at the Pre-Tender meeting will not be a cause for disqualification of a Tenderer.

#### 9. AMENDMENT OF TENDER DOCUMENTS

- 9.1 Before the deadline for submission of Tenders, the Employer may modify the tender documents by issuing addendum
- 9.2 Any corrigendum / addendum issued shall be part of the tender documents and shall be made available on the website or e-portal. The Provisions in corrigendum /addenda shall take priority over the Tender Documents issued previously.
- 9.3 To give prospective Tenderers reasonable time in which to take an addendum into account in preparing their tenders, the Employer shall extend as necessary the deadline for submission of Tenders, in accordance with Sub-Clause 16.2 below.



#### C. PREPARATION OF TENDERS

#### 10. DOCUMENTS COMPRISING THE TENDER

- > 10.1 The Tender shall be submitted in two covers
- > (as per Karnataka Public Procurement Portal) and shall contain the documents as follows.

#### 10.1.1 First Cover (Document):

- Earnest Money Deposit;
- Qualification Information as per formats given in Section 3;

#### 10.1.2 Second Cover (Document):

- The Tender (in the format indicated in Section: 4) (as per Karnataka Public Procurement Portal)
- Price Schedule (Section 9); online through Karnataka Public Procurement Portal, no hardcopy of commercials should be attached or disclosed. (As per Karnataka Public Procurement Portal)

And any other materials required to be completing and submitting by Tenderers in accordance with these instructions. The documents listed under Sections 3, 4, 6 and 9 shall be filled in without exception.

10.2 Tenderers submitting tenders together with other contracts stated in the IFT to form a package will so indicate in the tender together with any discounts offered for the award of more than one contract.

#### 11. TENDER PRICES

- 11.1 The contract shall be for the whole works as described in Sub-Clause 1.1, based on the Price Schedule submitted by the Tenderer.
- 11.2 The Tenderer shall fill the total amount (both in figures and words) for each schedule of the Works described in the Price Schedule along with total tender price (both in figures and words). Schedules for which no amount or lumpsum price is entered by the Tenderer will not be paid by the Employer when executed and shall be deemed to be covered in the Priced schedule. Corrections, if any, shall be made by crossing out, initialing, dating and rewriting.
- 11.3 All duties, taxes, and other levies payable by the contractor under the contract, or for any other cause, shall be included in the Price schedule, prices and total Tender Price submitted by the Tenderer.
- 11.4 The amount quoted by the Tenderer shall be subject to adjustment during the performance of the Contract in accordance with the provisions of Clause of the Conditions of Contract.



#### **12. TENDER VALIDITY**

- 12.1 Tenders shall remain valid for a period not less than **one hundred and eighty days** after the deadline date for tender submission specified in Clause 16. A tender valid for a shorter period <u>shall be rejected by the Employer as non-responsive.</u>
- 12.2 In exceptional circumstances, prior to expiry of the original time limit, the Employer may request that the Tenderers may extend the period of validity for a specified additional period. The request and the Tenderers' responses shall be made in writing or by cable. A Tenderer may refuse the request without forfeiting his earnest money deposit. A Tenderer agreeing to the request will not be required or permitted to modify his tender, but will be required to extend the validity of his earnest money deposit for a period of the extension, and in compliance with Clause 13 in all respects.

#### 13. EARNEST MONEY DEPOSIT (TENDER/BID SECURITY)

- 13.1 Earnest Money Deposit/ Tender security (as per Karnataka Public Procurement Portal). The Tenderer shall furnish, as part of his tender, earnest money deposit in the amount as shown in column 4 of the Table of IFT for this particular work. This earnest money deposit shall be in favour of Rail Infrastructure Development Company (Karnataka) Limited Payable at Bangalore and may be in the form of Banker's cheque/ Demand draft/Pay Order or Specified small saving instruments pledged to K-RIDE, Bangalore/ unconditional Bank guarantee, in favour of Rail Infrastructure Development Company (Karnataka) Limited Payable at Bangalore.
- 13.2 Instruments having fixed validity issued as earnest money deposit for the tender shall be valid for 45 days beyond the validity of the tender.
- 13.3 Any tender not accompanied by an acceptable earnest money deposit and not secured as indicated in Sub-Clauses 13.1 and 13.2 above shall be rejected by the Employer as non-responsive.
- 13.4 The earnest money deposit of unsuccessful Tenderers will be returned within 30 days of the end of the tender validity period specified in Sub-Clause 12.1.
- 13.5 The earnest money deposit of the successful Tenderer will be discharged when the Tenderer has signed the Agreement and furnished the required Performance Security.
- 13.6 The earnest money deposit may be forfeited:
  - (A) If the Tenderer withdraws the Tender after tender opening during the period of tender validity;
  - (B) If the Tenderer does not accept the correction of the Tender Price, pursuant to Clause 24; or
  - (C) In the case of a successful Tenderer, if the Tenderer fails within the specified time limit to
     (i) sign the Agreement; or
    - (ii) furnish the required Performance Security.



#### 14. FORMAT AND SIGNING OF TENDER

Tenderer shall submit the Tender electronically before the submission date and time published in Karnataka Public Procurement Portal. The Tenderer must submit Technical and Financial Tender as described in ITT.

All pages of the tender where entries or amendments have been made shall be initialed by the person signing the tender. The Tender shall contain no alterations or additions, except those to comply with instructions issued by the Employer, or as necessary to correct errors made by the Tenderer, in which case such corrections shall be initialed by the person signing the Tender.

Language of Tender	The language of the Tender: English
Technical Tender	Alternative technical solutions are not permitted.
Technical Tender Documents	All the Forms of Section 3: Qualification Information/Bidding Forms.
Alternative Bids/Tenders	Alternative Bids are not permitted.
Currencies of Tender and Payment	The amount (Lumpsum Price) shall be quoted by the Tenderer entirely in Indian Rupees (INR). For Evaluation and comparison purposes, the currencies of the Tender shall be converted in to Indian Rupees.
Any amendments such as interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the Person signing the Tender	<ul> <li>The written confirmation of authorization to sign on behalf of the Tenderer shall consist of:</li> <li>a) In case of Private/Public Companies, a POA from the Director of the Company who has been authorized by the Board of Directors through resolution to sign on behalf of the Company. Copy of Board Resolution shall also be submitted.</li> <li>b) In case of proprietorship Tenderers, Power of Attorney by the Proprietors.</li> <li>c) In case of partnership Tenderers, Power of Attorney duly signed by all the partners.</li> <li>d) In case of Limited Liability Partnership (LLP), a POA from the Director of the Company who has been authorized by the Board of Directors through resolution to sign on behalf of the Company. Copy of Board Resolution shall also be submitted.</li> <li>e) In case of Joint Venture, Power of Attorney duly signed by individual partners to the Lead partner as per the form given in Section-4 with stipulated documents.</li> </ul>

The Bid shall be digitally signed by using class-III digital signature of a person who is dully authorized to sign on behalf of the bidder. This authorization shall consist of a written confirmation as specified in the BDS and shall be attached to the bid. The name and position held by each person signing the authorization must be typed or printed below the signature. If either the Letter of Technical Bid or Letter of Price Bid or Bid-Security Declaration (if applicable) is not signed, the Bid



shall be rejected. All pages of the bid, except for un-amended printed literature, shall be signed or initialed by the person signing the bid.

- I. If the Tender is submitted by proprietary firm, it shall be signed by the proprietor above his full name, full name of his firm with his current address.
- II. If the Tender is submitted by a firm in partnership, it shall be signed by a partner holding the power of Attorney for the firm. A certified copy of the Partnership deed and power of attorney shall accompany the Tender; Alternatively, it shall be signed by all the partners.
- III. If the Tender is submitted by a limited company or a limited corporation, it shall be signed by a duly authorized person holding the power of attorney for the firm. A certified copy of the power of attorney shall accompany the Tender.
- IV. If a Tender is submitted by a Joint venture/Consortium, each firm in the Joint venture/Consortium shall furnish the evidence admissible in law /Power of Attorney to sign the Form of Tender and Lead member as stated in JV Agreement shall sign the Tender documents for submission of Tender.

Any amendments such as interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Tender

#### D. SUBMISSION OF TENDERS

#### 15. SEALING AND MARKING OF TENDERS

Tenderer shall submit the Tender electronically before the submission date and time published.

#### 16. DEADLINE FOR SUBMISSION OF THE TENDERS

- 16.1 Tenders must be submitted online through Karnataka Public Procurement Portal to the Employer on or before the date as specified in the Karnataka Public Procurement Portal and the submission of tender is the responsibility of the Tenderer.
- 16.2 The Employer may extend the deadline for submission of tenders by issuing an amendment in accordance with Clause 9, in which case all rights and obligations of the Employer and the Tenderers previously subject to the original deadline will then be subject to the new deadline.

#### 17. LATE TENDERS

17.1 In online e-procurement system, the Tenderer shall not be able to submit the Tender after the Tender submission time and date as the icon for the task in the Karnataka Public Procurement Portal will not be available. Any Tender received by the Employer after the deadline prescribed in Clause 16 will be rejected.



17.2 "It shall be the responsibility of the tenderers to ensure that their tender is submitted in the Karnataka public procurement portal within the last date and time specified for the receipt of the tenders

#### **18. MODIFICATION AND WITHDRAWAL OF TENDERS**

- 18.1 Tenderer may modify and correct or upload any relevant document in the portal till Tender submission date and time, as published in the Karnataka Public Procurement Portal.
- 18.2 No Tender may be modified after the deadline for submission of Tenders.
- 18.3 Withdrawal or modification of a Tender between the deadline for submission of Tenders and the expiration of the original period of Tender validity specified in Clause 12.1 above or as extended pursuant to Clause 12.2 may result in the forfeiture of the earnest money deposit pursuant to Clause 13.
- 18.4 Tenderers may only offer discounts to, or otherwise modify the prices of their Tenders by submitting Tender modifications in accordance with this clause, or included in the original Tender submission.

#### E. TENDER OPENING AND EVALUATION

- **19. OPENING OF FIRST COVER (Document):** Opening of First Cover (Document) of all Tenders and evaluation to determine qualified Tenderers:
  - 19.1 The Employer will open the First Covers (Document) of all the Tenders received (except those received late or withdrawn), including modifications for First Cover (Document) made pursuant to Clause 18, in the presence of the Tenderers or their representatives who choose to attend as per Karnataka Public Procurement Portal on the date and the place specified in Clause 16. In the event of the specified date of Tender opening being declared a holiday for the Employer, the Tender will be opened at the appointed time and location on the next working day.
  - 19.2 DELETED
  - 19.3 The Tenderer name, the presence or absence of earnest money deposit (amount, format and validity), the submission of qualification information and such other information as the Employer may consider appropriate will be announced by the Employer at the opening.
  - 19.4 The Employer shall prepare minutes of the Tender opening, including the information disclosed to those present in accordance with Sub-Clause 19.3.
  - 19.5 DELETED
  - 19.6 The Employer will evaluate and determine whether each tender (a) meets the eligibility criteria defined in ITT Clause 2 is accompanied by the required earnest money deposit as per stipulations in ITT Clause 13 and meets the minimum qualification criteria stipulated in ITT Clause 3. The Employer will draw out a list of qualified Tenderers.



#### 20. OPENING OF SECOND COVER (DOCUMENT) OF QUALIFIED TENDERERS AND EVALUATION:

20.1 The Employer will inform all the Qualified Tenderers the time, date and venue fixed for the opening of the Second Cover (Document) containing the priced Tenders published in Karnataka Public Procurement Portal. The Employer will open the Second Covers (Document) of Qualified Tenderers at the appointed time and date in the presence of the Tenderers or their representatives who choose to attend. In the event of the specified date of financial Tender opening being declared a holiday for the Employer, the Second Covers (Document) will be opened at the appointed time and location on the next working day.

#### 20.2 DELETED

- 20.3 The Tenderers' names, the Tender prices, the total amount of each Tender, any discounts, Tender modifications and withdrawals, and such other details as the Employer may consider appropriate, will be announced by the Employer at the opening. No Tender shall be rejected at Tender opening.
- 20.4 The Employer shall prepare minutes of the Second Cover (Document) Tender opening, including the information disclosed to those present in accordance with Sub-Clause 20.3.

#### 21. PROCESS TO BE CONFIDENTIAL

21.1 Information relating to the examination, clarification, evaluation, and comparison of Tenders and recommendations for the award of a contract shall not be disclosed to Tenderers or any other persons not officially concerned with such process until the award to the successful Tenderer has been announced. Any effort by a Tenderer to influence the Employer's processing of Tenders or award decisions may result in the rejection of his Tender.

#### 22. CLARIFICATION OF TENDERS

- 22.1 To assist in the examination, evaluation, and comparison of Tenders, the Employer may, at his discretion, ask any Tenderer for clarification of his Tender, including breakdowns of Lumpsum Price. The request for clarification and the response shall be in writing or by cable, but no change in the price or substance of the Tender shall be sought, offered, or permitted except as required to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the Tenders in accordance with Clause 24.
- 22.2 No Tenderer shall contact the Employer on any matter relating to its Tender from the time of the Tender opening to the time the contract is awarded.

If they have any query/clarification related to e-Procurement on the Karnataka Public Procurement Portal, contact e-Procurement Help desk from 10:00 AM to 5:00 PM. Ph. No.: +91-8046010000/ 8068948777 or <u>support@eprochelpdesk.com</u> Karnataka Public Procurement Portal through query option on or before specified time.

22.3 Any effort by the Tenderer to influence the Employer in the Employer's Tender evaluation, Tender comparison or contract award decisions may result in the rejection of the Tenderers' Tender.



#### 23. EXAMINATION OF TENDERS AND DETERMINATION OF RESPONSIVENESS

- 23.1 Prior to the detailed evaluation of Tenders, the Employer will determine whether each Tender; (a) has been properly signed; and; (b) is substantially responsive to the requirements of the Tender documents.
- 23.2 A Substantially responsive Tender is one which conforms to all the terms, conditions, and specifications of the Tender documents, without material deviation or reservation. A material deviation or reservation is one (a) which affects in any substantial way the scope, quality, or performance of the Works; (b) which limits in any substantial way, inconsistent with the Tender documents, the Employer's rights or the Tenderer's obligations under the Contract; or (c) whose rectification would affect unfairly the competitive position of other Tenderers presenting substantially responsive Tenders.
- 23.3 If a Tender is not substantially responsive, it will be rejected by the Employer, and may not subsequently be made responsive by correction or withdrawal of the nonconforming deviation or reservation.

#### 24. CORRECTION OF ERRORS

- 24.1 Tenders determined to be substantially responsive will be checked by the Employer for any arithmetic errors. Errors will be corrected by the Employer as follows:
  - where there is a discrepancy between the amount in figures and in words, the lower of the two will govern and
  - > Deleted.
- 24.2 The amount stated in the Tender will be adjusted by the Employer in accordance with the above procedure for the correction of errors and, with the concurrence of the Tenderer, shall be considered as binding upon the Tenderer. If the Tenderer does not accept the corrected amount the Tender will be rejected, and the earnest money deposit may be forfeited in accordance with Sub-Clause 13.6 (b).

#### 25. EVALUATION AND COMPARISON OF TENDERS

- 25.1 The Employer will evaluate and compare only the Tenders determined to be substantially responsive in accordance with Clause 23.
- 25.2 In evaluating the Tenders, the Employer will determine for each Tender the evaluated Tender Price by adjusting the Tender Price as follows:

(a) Making any correction for errors pursuant to Clause 24; and

(b) Making appropriate adjustments to reflect discounts or other price modifications offered in accordance with Sub Clause 18.5.

25.3 The Employer reserves the right to accept or reject any variation, deviation, or alternative offer. Variations, deviations, and alternative offers and other factors which are in excess of



the requirements of the Tender documents or otherwise result in unsolicited benefits for the Employer shall not be taken into account in Tender evaluation.

- 25.4 The estimated effect of the price adjustment conditions under Clause 40 of the Conditions of Contract, during the implementation of the Contract, will not be taken into account in tender Evaluation
- 25.5 If the tender of the successful tenderer is seriously unbalanced in relation to the Employer's estimate of the cost of the work to be performed under the contract, the Employer may require the Tenderer to produce detailed price analyses for any or all items of Priced Schedule, to demonstrate the internal consistency of those prices with the construction methods and schedule proposed. After evaluation of the price analyses, the Employer may require that the amount of the performance security set forth in Clause 29 be increased at the expense of the successful Tenderer to a level sufficient to protect the Employer against financial loss in the event of default of the successful Tenderer under the contract.

#### 26. AWARD CRITERIA

#### F. AWARD OF CONTRACT

26.1 Subject to Clause 27, the Employer will award the Contract to the Tenderer whose Tender has been determined to be substantially responsive to the Tender documents and who has offered the lowest evaluated Tender Price, provided that such Tenderer has been determined to be (a) eligible in accordance with the provisions of Clause 2, and qualified in accordance with the provisions of Clause 3.

#### 27. EMPLOYER'S RIGHT TO ACCEPT ANY TENDER AND TO REJECT ANY OR ALL TENDERS

27.1 Notwithstanding Clause 26, the Employer reserves the right to accept or reject any Tender, and to cancel the Tender process and reject all Tenders, at any time prior to the award of Contract, without thereby incurring any liability to the affected Tenderer or Tenderers or any obligation to inform the affected Tenderer or Tenderers of the grounds for the Employer's action.

#### 28. NOTIFICATION OF AWARD AND SIGNING OF AGREEMENT

- 28.1 The Tenderer whose Tender has been accepted will be notified of the award by the Employer prior to expiration of the Tender validity period by cable, telex, e-mail or facsimile confirmed by registered letter. This letter (hereinafter and in the *Conditions of Contract* called the "Letter of Acceptance") will state the sum that the Employer will pay the Contractor in consideration of the execution, completion, and maintenance of the Works by the Contractor as prescribed by the Contract (hereinafter and in the Contract called the "Contract Price").
- 28.2 The notification of award will constitute the formation of the Contract, subject only to the furnishing of Performance Security in accordance with the provisions of Clause 29. Until a formal contract is prepared and executed, the notification of award shall constitute a binding Contract.



- 28.3 The Agreement will incorporate all agreements between the Employer and the successful Tenderer. It will be kept ready for signature of the successful Tenderer in the office of Employer within 30 days following the notification of award along with the Letter of Acceptance. Within 20 days of receipt, the successful Tenderer will sign the Agreement and deliver it to the Employer.
- 28.4 Upon the furnishing by the successful Tenderer of the Performance Security, the Employer will promptly notify the other Tenderers that their Tenders have been unsuccessful.

#### 29. PERFORMANCE SECURITY

- 29.1 Within 20 days of receipt of the Letter of Acceptance, the successful Tenderer shall deliver to the Employer a Performance Security in any of the forms given below for an amount equivalent to 5% of the Contract price plus additional security for unbalanced tenders (additional security at 50% of the estimated cost of the unbalanced component) in accordance with Clause 25.5 of ITT and Clause 43 of the Conditions of Contract.
  - Banker's cheque/Demand draft, /Pay Order/ BG in favour of K-RIDE, Bangalore or
  - A bank guarantee in the form given in Section 10; or
  - Specified Small Savings Instruments pledged to K-RIDE, Bangalore.
- 29.2 If the Performance Security deposit is provided by the successful tenderer in the form of Bank Guarantee, it shall be issued either by a Nationalized / Scheduled bank.
- 29.3 The Performance Security deposit if furnished in cash or demand draft can, if requested, be converted to interest bearing securities at the cost of the contractor.
- 29.4 Failure of the successful tenderer to comply with the requirements of sub-clause 29.1 and clause 29 of additional ITT, shall constitute sufficient grounds for cancellation of the Tender award and forfeiture of the Earnest money deposit.

#### **30. ADVANCE PAYMENT AND SECURITY:**

30.1 The Employer will provide an advance payment on the contract price as stipulated In the condition of contract subject to the maximum as stated In the contract data.

#### 31. CORRUPT OR FRAUDULENT PRACTICES

31.1 "corrupt practice" means the offering, giving, receiving or soliciting of anything of value to influence the action of the public official in the procurement process or in contract execution;

"fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Procurement Entity, and includes collusive practice among the tenderers either prior to or after tender submission, designed to establish tender prices at artificial non-competitive levels and to deprive the Procurement Entity of the benefits of free and open competition;". The debarment action shall be taken as per KTPP Act.



- 31.2 The K-RIDE requires that the Tenderers/Suppliers/Contractors, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy, K-RIDE:
  - a) will reject a proposal for award if it determines that the Tenderer recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question;
  - b) will declare a firm ineligible, either indefinitely or for a stated period of time, to be awarded a K-RIDE contract if it at any time determines that the firm has engaged in corrupt or fraudulent practices in competing for, or in executing, a K-RIDE contract.
- 31.3 Furthermore, Tenderers shall be aware of the provision stated in sub-clause 50.2 of the Conditions of Contract.

#### 32. PURCHASE PREFERENCE TO MAKE IN INDIA:

The provisions of revised 'Public Procurement (Preference to Make in India) Order 2017' issued by Department of Industrial Policy and Promotion under Ministry of Commerce and Industry vide letter no. P-45021/2/2017-PP (BE-II) dated 28.05.2018 shall be applicable to the tendering process and award of the contract shall be done accordingly. In this connection, the minimum local content shall be 50% and the margin of purchase preference shall be 20%. For award of contract, para 3.c. of the revised 'Public Procurement (Preference to Make in India) Order 2017' shall be applicable in addition to the other provisions in the tendering documents in this regard.

**33. APPEAL:** The Tenderer shall submit online appeal within 30 days period from the date of receipt of order to the Employer through the Karnataka Public Procurement Portal. The Employer may after giving opportunity to the parties pass such order as it deems fit and such order shall be final.



ITT Clause Ref.		Description
2.3	The fo	llowing paras are added:
		ver the word JV is mentioned, it should be read as JV/Consortium Joint Venture is not able for this tender.
	and ski the JV	/ purpose herein, 'Joint Venture' means an ad hoc association of firms that pool their resources Ils to undertake a large or complex contract in the role of "Contractor," with all firms (partners in ) being legally liable, jointly and severally, for the execution of the Contract in the event of a 's withdrawal.
	them w	lerer may be a natural person, private entity, government-owned entity, or any combination of with a format intent to enter into an agreement or under an existing agreement in the form of a enture or consortium. The Tenderer must ensure the following
	(a) In c	case of Single Entity:
	(i)	Submit Power of Attorney authorizing the signatory of the Tender to commit the Tenderer.
	(b) In c	case of Joint Venture/ Consortium:
	(i)	The number of partners in the JV/ Consortium shall not be more than three.
	(ii)	At the time of bidding, the tenderer (JV) to submit the JV Agreement, as per the form given in Section 3: Qualification and Information/Bidding Forms. On issue of LOA, the JV/Consortium Agreement should be registered and shall be submitted along with the performance security.
	(iii)	The JV/ Consortium shall nominate a Representative through Power of Attorney (Form given in Section 3) who shall have the authority to conduct all business for and on behalf of any and all the parties of the JV/ Consortium during the Tendering process and, in the event the JV/ Consortium is awarded the Contract, during contract execution.
	(iv)	Submit Power of Attorney by individual partners to lead partners as per the form given in Section 3.
	(v)	In case a Joint Venture/ Consortium is the successful Tenderer, the appropriate Joint Venture/ Consortium Agreement for execution of work should be entered by the Joint Venture/ Consortium partners. The duly signed Joint Venture/ Consortium Agreement should be submitted along with the tender submission.
	(vi)	The lead member as aforesaid shall be authorized to incur liabilities and receive instructions for and on behalf of any and all the partners of the Joint venture/Consortium and the entire execution of the contract.
	(vii)	All members of the Joint venture/Consortium shall be Jointly and severally responsible for the execution of the Contract.
	(viii)	Change in constitution or percentage participation of JV/Consortium shall not be permitted at any stage after submission of Tenders

#### ADDITIONAL INSTRUCTIONS TO TENDERERS (THIS SHOULD BE READ IN CONTINUATION OF ITT)



(c) Only firms that are registered or incorporated in India are eligible to compete. Any Tenderer from a country which shares a land with India will be eligible to Tender in this tender only if the Tenderer is registered with the Competent Authority.
(d) "Tenderer from a country which share a land border with India" for the purpose of this Order means: -
<ol> <li>An entity incorporated, established or registered in such a country; or</li> <li>A subsidiary of an entity incorporated, established or registered in such a country; or</li> <li>An entity substantially controlled through entities incorporated, established or registered in such a country; or</li> <li>An entity whose beneficial owner is situated in such a country; or</li> <li>An entity whose beneficial owner is situated in such a country; or</li> <li>An Indian (or other) agent of such an entity; or</li> <li>A natural person who is a citizen of such a country; or,</li> <li>A consortium or joint venture where any member of the consortium or joint venture falls under any of the above</li> </ol>
(e) The beneficial owner for the purpose of above clause will be as under:
(i) In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person(s), has a controlling ownership interest or who exercises control through other means.
Explanation-
a. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five percent of share or capital or profits of the company;
<li>b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue their shareholding or management rights or shareholders agreements or voting agreements;</li>
<ul> <li>(ii) In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;</li> </ul>
(iii) In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profit of such association or body of individuals;
(iv) Where no natural person is identified under (i) or (ii) or (iii) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
(v) In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.



2.4		Tenderer shall submit a Certificate stating that they have read the above clause using the ropriate Performa given in Section 3 - Form 3C1 & 3C2.
		nderer having a conflict of interest shall be disqualified. The conflict of interest is detailed ow.
	con	enderer or any of its constituents shall not have conflict of interest. All Tenderers found to have a flict of interest shall be disqualified. A Tenderer may be considered to be in a conflict of interest with or more parties in this Tendering process, if, including but not limited to:
	(a)	they have controlling shareholders in common; or
	(b)	they receive or have received any direct or indirect subsidy from any of them; or
	(c)	they have the same legal representative for purposes of this Tender; or
	(d)	they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the Tender of another Tenderer, or
	(e)	any firm, either individually or in Joint Venture (JV)/ Consortium, submits more than one offer irrespective of whether the firm is quoting against this Tender. The Tenders submitted by two different Tenderers, having any common participant in JV/ Consortium formation or any common partner in partnership firms, or an individual will be treated as having conflict of interest or
	(f)	a Tenderer who is Sub-contractor to another Tenderer will be treated as having conflict of interest. However, this does not limit the inclusion of the same sub-contractor in more than one Tender.
	(g)	a Tenderer participated as a consultant in the preparation of the design or specifications of the contract that is the subject of the Tender; or
	(h)	A Tenderer was affiliated for any period(s)during last two years before the date of issue of Invitation for Tenders with a firm or entity that has been hired (or is proposed to be hired) by the Employer as Engineer for the contract.
2.5	The	e Tenderer shall be disqualified if:
		The Tenderer or any of its constituents has been blacklisted/ banned from business dealings with all Government Departments by the Government of Karnataka or by Ministry of Railways or by K-RIDE at any time till finalization of Tenders, except in cases where such blacklisting/ banning has been withdrawn by Competent Authority or has ceased or expired on the deadline for submission of the Tenders, for which satisfactory evidence is to be produced.
		Any previous contract of the Tenderer or any of its constituents had been fully terminated or part terminated for its failure as a JV/ Consortium partner with forfeiture of its full Performance Security, by Rail Infrastructure Development Company (Karnataka) Ltd.(K-RIDE) at any time starting from 3 years before the deadline for submission of Tenders and up to one day before the date of opening of price Tenders;
		Provided, however, there is no stay order or declaration by any Court against such termination of the contract by Rail Infrastructure Development Company (Karnataka) Ltd. or such termination of the contract has not been revoked by Rail Infrastructure Development Company (Karnataka) Ltd or



competent authority of K-RIDE has not passed an order of non-applicability of disqualification of the Tenderer or any of its constituents despite such termination.

- (c) The Tenderer or any of its constituents has been imposed delay damages of 5% or more of contract value by K-RIDE due to delay in the implementation of any previous contract within the period of last 2 years before the deadline for submission of Tenders (Period of 2 years shall be reckoned from the date on which the total accrued amount of Delay Damages has reached 5% or more of the contract price) or such accrued delay damages has not been fully recovered before the deadline for submission of Tenders on account of contractor's request for deferring recovery to maintain cash flow and K-RIDE has acceded to the same in the interest of the project or the work under the previous contract in question has not been completed before the deadline for submission of Tenders, unless imposition of such delay damages has been set aside by the Competent Authority.
- (d) The Tenderer or any of its constituents:
  - (i) has suffered bankruptcy/insolvency or
  - (ii) has any ongoing case of insolvency before the NCLT/ any applicable Court where Interim Resolution Professional (IRP) has been appointed or is at any later stage of the insolvency process, as on the deadline of submission of Tenders or thereafter till finalization of Tenders.
- (e) The Tenderer is found ineligible by the Employer, in accordance with ITB-3.
- (f) The Tenderer or its constituent(s) has been declared by K-RIDE to be a poor performer and the period of poor performance is still in force on the deadline for submission of Tenders.

OR

The Tenderer or its constituent(s) has been declared by K-RIDE to be a poor performer at any time after the deadline for submission of Tenders and upto one day before the date of opening of price Tenders.

(g) The Tenderer or any of its constituents has changed its name or created a new business entity as covered by the definition of "Allied Firm" under para 1102 (iii) of Chapter XI of Vigilance Manual of Indian Railways (available on website of Indian Railways), consequent to having been banned from business dealings or suspended from business dealings or having been declared poor performer.

The Tenderer shall submit an affidavit stating that they are not liable to be disqualified as per this sub clause using the Form PS3 given in Section-3: Qualification and Information/Bidding Forms. Non-submission of an affidavit by the Tenderer shall result in summary rejection of his Tender.

Tenderers shall immediately inform the Employer in case they cease to fulfil eligibility in terms of ITT **clause** 2 above. In case the Tenderer fails to inform the Employer or submits a false affidavit, his Tender shall be summarily rejected and Tender security shall be forfeited. The Tenderer shall also be liable for Banning of Business dealings for a period up to five years

#### 2.6 PARTNERS IN CASE OF JV/CONSORTIUM – Not applicable for this Tender



(	i) Lead partner must have a minimum of 50% participation in the JV/Consortium.
	<ul> <li>ii) Partners having 25% or more percentage participation shall be termed as substantial partner/other Partners.</li> </ul>
(	iii) In case of JV/Consortium, change in constitution or percentage participation shall not be permitted at any stage after the bid submission.
n J J v a	The bidder, in case of JV/Consortium, shall clearly and unambiguously define the role and esponsibilities for each partner in the JV agreement submitted as per Form JV/4 of Section-3, providing clearly that any abrogation/subsequent re-assignment of any responsibility by any partner of IV/Consortium in favor of other JV/Consortium partner or any change in constitution of partners of IV/Consortium (without written approval of Client) from the one given in JV agreement at tender stage, will be treated, as 'breach of contract condition' and/or 'concealment of facts' as the case may be and acted accordingly. All Members of the JV/ Consortium must have experience in execution of similar work.
3.3(a) T	The following para is added:
Ν	Naterials, Equipment and Services
	The materials, equipment and services to be supplied under the Contract shall be from the approved sources as specified in Section 8: Works Requirements and Price Schedule Section-9.
7.3 1	The following para is added:
	The Employer is not responsible for the completeness of the Bidding Document and their Addenda, if they were not obtained directly from the source stated by the Employer in the Invitation for Bids.
[ [	The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding Document. Failure to furnish all information or documentation required by the Bidding Document may result in the rejection of the bid.
8.3 1	The following para is added:
	The Pre-Tender meeting may also be attended through video conferencing (VC). Those Tenderers who wish to join the Video Conferencing shall send a request email on the email id (i.e. <b>praveen.kumar.kride@ka.gov.in</b> ) <b>a</b> one day prior to the Pre Tender meeting as per the date and time specified in the e- procurement portal, so that a link for Video Conferencing can be sent by K-RIDE.
	Please note that the request received from the Tenderers (With details of the Company, its address, and the name and designation of the person attending the VC) will only be entertained. They should also mention the email id through which VC is desired to be joined.
	K-RIDE may allow maximum of two email Ids for one company to participate in the VC. Any request for VC received after the given date and time for sending the link for VC will not be entertained.
	Prospective Tenderers will be able to join the VC through the link provided to them on Email ID. During this pre- Proposal meeting, prospective Tenderers may request for clarifications.
	The following para is added:



Doc	uments Comprising the Tender
•	The Tender shall comprise of Tender Security/Tender Security Declaration, Technical Tender and Price Tender. The Tenderer shall submit the Tender through Karnataka Public Procurement Portal.
•	On the stipulated date of opening of Tenders, initially, only the Technical Tenders are opened through Karnataka Public Procurement Portal. The Technical Tenders shall be evaluated by the Employer in accordance with the stipulated Qualification and Evaluation criteria. No amendments or changes to the Technical Tenders would be permitted after the opening of technical Tenders.
•	Tenderers w h o a r e qualified in the technical evaluation their price Tender shall be opened at a date and time advised by the Employer(K-RIDE) through e-tendering portal. The Price Tenders are evaluated and the Contract is awarded to the Tenderer whose Tender has been determined to be the lowest evaluated substantially responsive Tender.
The	Technical Tender shall contain the following:
•	All the Forms of Section-3: Qualification Information/Bidding Forms including letter of technical Bid (LTB) shall be scanned and uploaded.
•	The Tenderer shall furnish a commitment in Letter of Technical Bid (LTB) for deployment of equipment and personnel as stipulated in Section 8A: Employers Work's Requirement.
•	The Tenderer shall furnish commitment in LTB for submitting construction method statement for all major activities of work and get this approved from the engineer prior to the commencement of work on that activity in case of award of contract.
•	The Tenderer shall furnish a commitment in Letter of Technical Bid (LTB) for adhering to mobilisation and construction schedule as stipulated in Section 8A: Employers Work's Requirement.
•	Tenderer should note that non-submission of the Letter of Technical Bid (LTB) by the Tenderer shall result in summary rejection of his Tender.
•	Tenderer shall submit the Approach and Methodology for performing the assignment by using appropriate Performa given in Section 3: Qualification Information/Bidding Forms.
•	Scanned copy of Tender Security/Tender Security Declaration form (Section 3), in accordance with ITT Clause 13;
•	Scanned copy of written confirmation authorizing the signatory of the Tender to commit the Tenderer, any amendments such as interlineations, erasures, or overwriting shall be valid only if they are signed or initialled by the person signing the Tender.
•	Scanned copy of documentary evidence with establishing the Tenderer's qualifications to perform the contract; To establish its qualifications to perform the Contract in accordance with Section 2: ITT the Tenderer shall submit as part of its technical Tender the information requested in the corresponding information sheets included in Section 3: Qualification Information/Bidding Forms.



	Domestic Tenderers, individually or in joint ventures, applying for eligibility for domestic preference shall supply all information required to satisfy the criteria for eligibility
	<ul> <li>Scanned copy of Approach and Methodology - Performa given in Section-3: Qualification Information/Bidding Forms</li> </ul>
	Scanned copy of Joint Venture Agreement entered into by all partners
	The Price Tender shall contain the following:
	All Section-3 Documents shall be scanned and submitted.
	• Filled/completed schedules as required including Price Schedule in accordance with ITT Clauses should be submitted through Karnataka Public Procurement Portal only;
	• The Tenderer shall submit through Karnataka Public Procurement Portal, separate Technical Proposal and Price Proposal for each individual contract package, using the appropriate Submission Sheets furnished in Section-3: Qualification Information/Bidding Forms. These Forms must be completed without any alterations to their format, and no substitutes shall be accepted. All blank spaces shall be filled in with the information requested.
	• The Tenderer shall submit, as part of the Price Tender, the Schedules, including the Price Schedule through Karnataka Public Procurement Portal only.
11.5	The following para is added:
	Tender Prices and Discounts
	• The prices and discounts quoted by the Tenderer in the Price Schedule shall conform to the requirements specified below.
	• In the Price Schedule, the tenderer shall quote rates as per provision provided in the e - procurement portal. The price quoted by the bidder in schedule will only be considered for evaluation of bids. Rate offered through any other medium or at any other location will not be considered. If any Tenderer quotes more than one Amount/Percentage as specified for such schedules, its Tender shall be summarily rejected.
	• The Tenderer shall fill in the amount/percentage against each schedule/item of the price schedule. Items against which no amount or price is entered by the Tenderer will not be paid by the Employer when executed and shall be deemed to be covered by the lumpsum amount quoted in the Price Schedule.
	• The price to be quoted in the Price Schedule, in accordance with ITT, shall be the total price of the Tender.
	• Deleted
	Deleted



• Unless otherwise provided in the ITT and the Contract, the lumpsum amount quoted by the Tenderer are subject to adjustment during the performance of the Contract in accordance with the provisions of the Conditions of Contract. In such a case, the indices and weightages for the price adjustment formulae shall be as specified in the Tables of Adjustment Data included in Contract Data

### • Deleted

- All duties, taxes including GST, royalties, cess and other levies payable by the Contractor under the Contract, or for any other cause (including standard specifications), as of the date 28 days prior to the deadline for submission of Tenders, shall be included in the percentage quoted in the Price Schedule and the total Tender Price submitted by the Tenderer. GST shall be paid by the Tenderer as applicable in accordance with the prevailing rules of Government of India. Online alternative Price Tender corresponding to the alternative Technical Tender, if permissible, in accordance with ITT Clause 14;
- Tenderers should note that during the progress of the works, the foreign currency requirements
  of the outstanding balance of the Contract Price may be adjusted by agreement between the
  Employer and the Contractor in order to reflect any changes in foreign currency requirements for
  the Contract, in accordance with Sub-Clause 14/ITT (Currencies of Bid and Payment) of the
  Conditions of Contract. Any such adjustment shall be affected by comparing the percentages
  quoted in the Tender with the amounts already used in the Works and the Contractor's future
  needs for imported items.
- Tenderer should note that non-submission of the Letter of Price Tender (LPB) by the Tenderer shall result in summary rejection of his Tender.
- Online alternative Price Tender corresponding to the alternative Technical Tender, if permissible, in accordance with ITT Clause 14;
- 13.7 The following para is added:

In this tender, the tender security/ EMD has to be paid.

The Technical bids along with the Earnest Money Deposit (EMD) i.e., Rs. 13,49,153/- should be paid. The payment method for remittance of payment is as under

The part of the EMD amount of Rs.**1.00 lakh is** accepted in the form of electronic cash (not through DD/BG) and will be maintained in the pooling account provided in the e-procurement portal until the contract is closed. The payment method for electronic cash is as furnished below:

- Credit Card.
- Direct Debit.
- National Electronic Fund Transfer (NEFT).
- Over the Counter (OTC).



The balance required EMD of Rs.**12,49,153/-** to be furnished in the form of Bank Guarantee (BG) of any Nationalized Bank/Scheduled Bank (as per RBI guidelines) payable to —**Managing Director/K-RIDE**, Bengaluru. The EMD shall have to be valid for 45 days beyond the validity of the tender.

The scanned copy of the BG should be uploaded to the tender in the e-procurement platform. The Original Bank Guarantee shall compulsorily be produced & submitted for verification before the **opening of the technical bid date and time as specified in e-portal** to the **General Manager/Civil/Projects** in **K-RIDE** office. The bidder shall note that the Original Bank Guarantee submitted through post/in person, if it does not reach before the opening of the technical bid date and time as specified in e-portal to the General Manager/Civil/Projects in K-RIDE office. The bidder shall note that the Original Bank Guarantee submitted through post/in person, if it does not reach before the opening of the technical bid date and time as specified in e-portal to the General Manager/Civil/Projects in K-RIDE office, **the bid will not be considered for technical evaluation**. The bids of the contractors who have failed to produce and submit the original bank guarantee of earnest money deposit of tender before the opening of the technical bid date and time as specified in e-portal to the General Manager/Civil/Projects, the bids will not be opened/If it is opened by default/manual/electronic error the bid will not be considered for technical evaluation and bid shall be rejected. Non reconciliation of tender earnest money deposit receipt of payment in Government of Karnataka central pooling account held at the ICICI Bank, the tender gets rejected.

Bank Guarantee Format

- (a) An unconditional bank guarantee using the Form given in Section 3: Qualification Information and Bidding Forms. The bank guarantee shall be from a bank having minimum net worth of over INR 500 million from the specified banks as under:
  - (i) a Scheduled Bank in India, or
  - (ii) a Foreign Bank having their operations in India, or
  - (iii) a Foreign Bank which do not have operations in India is required to provide a counterguarantee by State Bank of India,
- (b) The Scheduled Bank issuing the Bank Guarantee must be on "Structure Financial Messaging System (SFMS)" platform. A separate advice of the BG shall be invariable be sent by the issuing bank to the Employer's Bank through SFMS and only after this the BG shall become operative and acceptable to the Employer.

Further, the Tender Security in Original form along with a copy of "MT760COV (in case of Bank Guarantee message) / MT767COV (in case of Bank Guarantee amendment message) Report" sent by the BG issuing Bank Sealed in an envelope shall be submitted, as stated in ITT 15.

The Issuing Bank shall send the SFMS to: Beneficiary: Rail Infrastructure Development Company (Karnataka) Limited (K-RIDE) Bank Name: Canara Bank Branch: Prime Corporate Branch Account No. 0430201012110 IFSC Code: CNRB0002636

The Tender security shall be valid up to 45 days beyond tender validity, or up to the date mentioned in the letter of request for extension, if any under ITT 12.

In case the Tenderer has opted for Tender security in the form of an unconditional Bank Guarantee, the



	scan Bank the B	ned co Guai 3G, ph	should upload the scanned copy of Bank Guarantee with the Tender. Non submission of opy of Bank Guarantee with the Tender on e-tendering portal and non-submission of original rantee within the specified period shall lead to summary rejection of Tender. The details of nysically submitted should match with the details available in the scanned copy and the data tring Tender submission time, failing which the Tender will be rejected.				
	a.	com	ess otherwise specified in the BDS, any Tender not accompanied by an enforceable and apliant Tender security as required in accordance with ITT, shall be summarily rejected by Employer as non-responsive.				
	b.	. The Tender security of the Tenderer who have been determined to be unqualified for oper their financial Tender shall be returned within 3 working days after the opening of f Tender. The Tender security of unsuccessful Tenderers shall be returned within 7 working after issue of LOA to the successful Tenderer.					
	C.	onc	e Tender security of the unsuccessful Tenderer shall be returned as promptly as possible e the successful Tenderer has signed the Contract and furnished the required performance urity.				
	d.	The	e Tender security may be forfeited:				
		(a)	if a Tenderer withdraws its Tender during the period of Tender validity specified by the Tenderer on the Letter of Tenders, except as provided in ITT Clause 12 or				
		(b)	if a Tenderer misrepresents or omits the facts in order to influence the procurement process;				
		(c)	if the successful Tenderer fails to:				
			(i) sign the Contract in accordance with ITT Clause 28;				
			(ii) furnish a performance security in accordance with ITT 29;				
			(iii) accept the correction of its Tender Price pursuant to ITT 24; or				
			(iv) furnish a domestic preference security if so required.				
		(d)	if the undertaking of the affidavit submitted by the Tenderer or its constituents in pursuance to ITT clause 2 or any of the declarations of Letter of Technical Tender or Letter of Price Tender submitted by the Tenderer has been found to be false at any stage during the process of Tender evaluation.				
		sub bee futu	e Tender Security of a JV/ Consortium shall be in the name of the JV/ Consortium that mits the Tender or the lead member of the JV/Consortium. If the JV/ Consortium has not in legally constituted at the time of Tendering, the Tender Security shall be in the names of all irre partners as named in the letter of intent/ of JV/ Consortium mentioned in ITT Clause 2)				
14	The	follow	ving para is added:				
	Tend that a trans	lerer a are pa lation	er, as well as all correspondence and documents relating to the Tender exchanged by the and the Employer, shall be written in English. Supporting documents and printed literature art of the Tender may be in another language provided they are accompanied by an accurate of the relevant passages in English in which case, for purposes of interpretation of the uch translation shall govern.				



15	The following para is added:
	Sealing and Marking of Tenders
	The Tenderer shall submit the technical Tender, Price Tender and the Tender Security/Tender Security Declaration through Karnataka Public Procurement Portal i.e., <u>https://eproc.karnataka.gov.in</u> . The original of the Technical Proposal, which will contain all Forms of Section 3 except Forms PS 2 and Price Schedule Section 9 and all other relevant data specified in the Tender document. The Price Bid, shall be submitted through Karnataka Public Procurement Portal only. This "PRICE BID" will contain only Forms PS 2 of Section 3 & Price Schedule and all other relevant data specified in this Tender document. All forms should be typed on the Tenderer's' letter head as per the exact format of the Forms.
	The above forms should be scanned and submitted through Karnataka Public Procurement Portal.
	No details about price proposal shall be disclosed directly or indirectly in the technical proposal failing which the Tender shall be rejected. <b>Only Electronic Tender submission and opening procedure permitted.</b>
19.7	The following para is added:
	Tender Opening
	<ul> <li>The Employer shall conduct the opening of Technical Tenders through Karnataka Public Procurement Portal i.e., <u>https://eproc.karnataka.gov.in</u> on the date and at the time mentioned.</li> </ul>
	The date and time of the opening of Price Tenders will be announced through Karnataka Public Procurement Portal
	• At the end of the evaluation of the Technical Tenders, the Employer will intimate Tenderers who have submitted substantially responsive technical proposals and who have been determined as being qualified for award to attend the opening of the price Proposals. The date and time, of the opening of Price Tenders will be advised through email/e-procurement. Tenderers shall be given reasonable notice for the opening of Price Tenders.
	• The Employer will notify Tenderers in writing who have been rejected on the grounds of being substantially non-responsive to the requirements of the Tendering Document and who have been determined as being not qualified as a result of evaluation of technical proposal and their Price Tender shall not be opened. The Tender security of the Tenderers shall be returned as per due process.
	<ul> <li>The Employer shall conduct the opening of Price Tenders through Karnataka Public Procurement Portal i.e., <u>https://eproc.karnataka.gov.in</u> of all Tenderers who have submitted substantially responsive Technical Tenders and who have been determined qualified as a result of technical evaluation.</li> </ul>
23.4	The following para is added:
	Deviations, Reservations, and Omissions
	During the evaluation of Tenders, the following definitions apply:
	(a) "Deviation" is a departure from the requirements specified in the Tendering Document;



	(b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Tendering Document; and									
	(c) "Omission" is the failure to submit part or all of the information or documentation required in the Tendering Document.									
24.3	The following para is added:									
	Nonconformities, Errors, and Omissions									
	• Provided that a Tender is substantially responsive, the Employer may waive any nonconformities in the Tender that do not constitute a material deviation, reservation or omission.									
	<ul> <li>Provided that a Tender is substantially responsive, the Employer may request the Tenderer to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Tender related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Tender. Failure of the Tenderer to comply with the request may result in the rejection of its Tender.</li> </ul>									
24.4	The following para is added:									
	Correction of Arithmetical Errors and Omissions in Tender and Evaluation of Tender Price									
	1. Provided that the Tender is substantially responsive, the Employer shall correct arithmetical errors and omissions in the Tender and then arrive at the Evaluated Tender Price on the following basis:									
	(a) If there is a discrepancy between the price mentioned in the summary sheet of the Price Schedule and the price that is obtained by calculation i.e. addition of each schedule in the summary sheet of Price Schedule, then the quoted amount of each schedule shall prevail and the price shall be corrected accordingly.									
	(b) if the amount has been quoted both in words and in figures and there is a discrepancy in such amount, then the lower of the two shall prevail and shall be considered for evaluation of the price of the schedule.									
	(c) If the amount has been quoted either in words or in figures only, then the same shall be considered for evaluation of the price of the schedule.									
	<ul> <li>(d) If no amount has been indicated for any particular schedule in words, as well as in figures, irrespective of the fact whether the Tenderer has written or not written, in such cases, the lumpsum amount of the schedule shall be considered as zero and shall be calculated accordingly;</li> </ul>									
	(e) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected.									
	(f) The amount for each schedule shall first be calculated after applying discount(s) to the Schedule and the net amount shall be rounded off to a Rupee. Thereafter, evaluated price of the schedules shall be added as the sum of amounts in grand summary sheet and sum of evaluated prices of all schedules shall be the overall Evaluated Tender Price.									
	<ol> <li>If the Tenderer has submitted the lowest evaluated Tender does not accept the correction of errors and omissions as per above provisions, its Tender shall be disqualified and its Tender security shall be forfeited or its Tender-Securing Declaration executed.</li> </ol>									



	25.6	The following para is added:							
		Conversion to Single Currency							
		For evaluation and comparison purposes the currencies of the Tender shall be converted into Indian Rupees as stated in BDS.							
		An Abnormally Low Tender is one in which the Tender price, in combination with other elements of the Tender, appears so low that it raises material concerns as to the capability of the Tenderer to perform the contract at the offered price. The Employer may in such cases seek written clarifications from the Tenderer, including detailed price analysis of its Tender price in relation to scope, schedule, allocation of risks and responsibilities, and any other requirements of the Tenderer document. If, after evaluating the price analyses, the Employer determines that the Tenderer has substantially failed to demonstrate its capability to deliver the contract at the offered price, the Employer may reject the Tender/ proposal.							
		Additional Performance Security in case of abnormally low Tenders will have to be submitted.							
		The calculation sheet is as below:							
		If the bid, which results in the lowest Evaluated Bid Price is substantially on lower side and/or seriously unbalanced in the opinion of the Employer as per criteria defined below, the Employer may require the bidder to submit additional performance security as under:-							
		<ul> <li>a) If overall price quoted by the L1 bidder is below the engineer's estimated price by more than 10% and the difference between overall price quoted by the L1 and L2 is more than 5% of the estimated price, then the bid price of L1 bidder shall be treated as substantially on lower side and such bidder shall be bound to furnish additional performance security equal to the (0.9x engineer's estimated price – L1 price) or (0.95 x L2 price – L1 price)</li> </ul>							
		whichever is lower, on this account. Example below demonstrates the method of calculation to arrive at additional performance security:							
		Suppose overall price quoted by the L1 bidder is 17% below the estimated price and the overall price quoted by L2 bidder is 8% below the estimated price. In this case the overall price quoted by the L1 bidder is lower by more than 10% of the estimated price and also the difference between overall price quoted by the L2 and L1 bidder is more than 5% of the estimated price, hence the L1 bidder shall be required to furnish additional performance security for an amount equal to $\{0.9 \text{ x engineer's estimated price} = 7\%$ of engineer's estimated price or $\{0.95 \text{ x } (1-8/100) \text{ x engineer's estimated price}\} = \{0.07 \text{ x engineer's estimated price} = 7\%$ of engineer's estimated price or $\{0.95 \text{ x } (1-8/100) \text{ x engineer's estimated price}\} = 4.4\%$ of engineer's estimated price; whichever is lower.							
		As per the above L1 bidder shall be required to submit additional performance security of 4.4% of engineer's estimated price.							
		b) If for any bill/ schedule of quantities % age above or below quoted by the bidder on the estimated price is beyond 15% below the overall % age difference between the quoted contract price and the engineers estimated price, then the price for that particular schedule shall be treated as seriously unbalanced and bidder shall be bound to furnish additional performance security for such unbalanced price. Example below demonstrates the method of calculation to arrive at unbalanced price and additional performance security:							
L									



	Suppose for the L1 bidder overall % age difference between quoted contract price and the engineers estimated price;
	(Overall contract price – Overall estimated price) x 100 ÷ overall estimated price = + 4 %
	Maximum % age below permitted over estimated price of any bill / schedule in this case = +4 – 15 = -11%
	Suppose for the L1 bidder has quoted 20% below estimated price then the pricing shall be treated as unbalanced and the bidder shall be required to furnish additional performance security for an amount equal to $(20 - 11)$ % of the estimated price.
26.2	The following para is added:
	Award Criteria
	• The Employer shall award the Contract to the Tenderer whose Tender is substantially responsive to the Tendering Document, provided further that the Tenderer is determined to be qualified to perform the Contract satisfactorily and whose offer has been determined to be the lowest evaluated subject to ITT below. In case of more than one Tenders are evaluated to be lowest, Contract shall be awarded to the Tenderer having higher average annual construction turnover (calculated as total certified payments received for contracts in progress or completed) in equivalent INR within the last Two financial years.
	• The Employer has the right to review at any time prior to award of contract that the qualification criteria as specified in Section-3: Qualification Information and Bidding Forms are still being met by the Tenderer whose offer has been determined to be the lowest evaluated Tender. A Tender shall be rejected if the qualification criteria as specified in Section-3: Qualification Information and Bidding Forms are no longer met by the Tenderer whose offer has been determined to be the lowest evaluated Tender. In this event the Employer shall proceed to the next lowest evaluated Tender. In this event of that Tenderer's capabilities to perform satisfactorily.
29.5	The following para is added:
	Performance Security
	The successful Tenderers shall have to submit a Performance Guarantee (PG) Within twenty (20) days from the date of issue of Letter of Acceptance (LOA). Extension of time for submission of PG beyond 20 days up to 60 days from the date of issue of LOA may be given by the authority who is competent to sign the contract agreement. However, a penal interest of 12% of per annum shall be charged for the delay beyond 20 days, i.e. From 21nd day after the date of issue of LOA. Further if the 60th day happens to be declared holiday in the office of K-RIDE, submission of PG can be accepted on the next working day.
	In all other cases if the contractor fails to submit the requisite PG even after 60 days from the date of issue of LOA, the contract is liable to be terminated. In case contract is terminated K-RIDE shall be entitled to forfeit the Tender security and other dues payable against to the contract. In case the tenderer has not submitted by security on the strength of their registration as a start-up recognized by the Department of Industrial Policy and Promotion (DIPP) under Ministry of Commerce and Industry, DIPP shall be informed to this effect. The failed contractor shall be debarred from participating in retender for that work.



	Failure of the successful Tenderer to submit the above-mentioned Performance Security or to sign the Contract Agreement shall constitute sufficient grounds for the annulment of the award and forfeiture of the Tender security or execution of the Tender-Securing Declaration.
	The above provision shall also not apply to the furnishing of a Domestic Preference Security, if so required.
New Clause-	LITIGATION HISTORY: (Please see Annexure Tendering Forms).
1	The Tenderer/Tenderers should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five years as on date of submission of this tender.
	If the litigation started by the Tenderer without recourse to measures of Dispute Resolution and Arbitration as provided in the Contract or the litigation in respect of challenge of award of Arbitration by the Tenderer, will be treated as Litigation case indulged by the Tenderer for this Para of Litigation History. A consistent history of awards against the Applicant or any partner of a joint venture may result in failure of the application.
	<b>Note</b> : Tenderers including each of the partners of a Joint Venture, should provide information on any history of litigation or Arbitration resulting from contracts executed in the last 5 years as on date of submission of this tender. A separate sheet should be used for each partner of a Joint Venture
New	Jurisdiction of Courts
Clause-	
2	The Tendering process shall be governed by and construed in accordance with the laws of India
	and the Courts as indicated in Tender Data Sheet shall have exclusive jurisdiction over all the
	disputes/issues arising under, pursuant to and/ or in connection with the Tendering process. The Jurisdiction of Courts is Bengaluru, Karnataka
LI	



# **SECTION-3**

## QUALIFICATION INFORMATION/BIDDING FORMS



### **INDEX**

#### SECTION-3: QUALIFICATION INFORMATION/BIDDING FORMS

This Section contains the forms which are to be completed by the Bidder and to be submitted as part of this Bid.

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3.	Similar Work Experience	Para No. 1.3	
4.	Quantities of Work executed in Last 5 years	Para No. 1.4	
5.	Information on Works (Existing, Ongoing and Works for which Tenders already submitted)	Para No. 1.5	
6.	Availability of Plant and Equipment Details	Para No. 1.6	44-49
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	B) Additional Qualification Information/Bidding Forms		
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34.	Undertaking from specialist sub-contractor	Form CL-2	
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37.	Work Experience Certificate	Form EXP-1	
38.	FORMAT OF BID SECURITY	EMD	



### A) QUALIFICATION INFORMATION/BIDDING FORMS

### 1. QUALIFICATION INFORMATION

The information to be filled in by the Tenderer hereunder will be used for purposes of computing Tender capacity as provided for in Clause 2 of the Instructions to Tenderers. This information will not be incorporated in the Contract.

#### 1.1. Constitution or legal status of Tenderer

Place of Registration:	Attach copy	1
9		

Principal place of business: \_\_\_\_\_ [Attach Copy]

- 1.2. Total value of construction works executed and payments received in the preceding five Financial Years. (Rs. In Crores) (attach certificate from Statutory Auditors)
  - 2017-2018: -----2018-2019: -----2019-2020: -----2020-2021: -----2021-2022: -----
- 1.3. Work performed as Contractor (in the same name) on works of similar nature over during the five financial years specified in 1.2 above.

Project Name	Name of Employer	Descrip tion of Work	Contract Number	Value of contract Rs. Cr.	Date of Issue of work order	Specified period of completion	Actual date of completion	If partner in a JV/ Consortiu m, specify participatio n in total contract amount	Remarks explainin g reasons for delay in completio n of work
1	2	3	4	5	6	7	8	9	10



### Note:

- (1) If the qualifying work of similar nature is done by a joint venture/consortium, then Value shall be considered as per percentage participation by the member(s) in that joint venture/consortium.
- (2) Value of \*similar nature of work completed shall be updated up to date of submission as per table given below:

Financial year	2017-18	2018-19	2019-20	2020-21	2021-22
Indian Currency					
Foreign Currency					

(3) Updated value of completed work in foreign currency shall be converted to Equivalent INR, calculated using the selling exchange rate of Reserve Bank of India as on 28<sup>th</sup> day prior to the last date of submission of bids. In case publication is not available on 28<sup>th</sup> day, previous working day published exchange rate shall be considered for evaluation.

The bidder shall attach a copy of the Certificate(s) issued by the employer in support of the information being furnished in the above form, failing which the claim of the bidder shall be liable to be rejected (in case of experience as a sub-contractor, the employer shall be the owner of the Project who has engaged the main Contractor).

SEAL AND SIGNATURE



#### 1.4. Quantities of work executed as contractor (in the same name) during the last five years.

Year	Name of Work	Name of Employer	Quantity of work performed (As mentioned in Qualification of the Tenderer Clause 3.2) Collection and Supply of Ballast on Cess/Depot	Remarks (Indicate contract reference Contract No., Award Date, Completion date, Role in contract, total contract amount, JV participation proportion)
2017-18				
2018-19				
2019-20				
2020-21				
2021-22				

#### Note:

 Copy of Certificate(s) issued by the employer in support of the information being furnished above, shall be attached with each respective form, as per detailed requirements indicated in clause 3.2 (c) of Section 2 failing which the claim of the bidder shall be liable to be rejected (in case of experience as a sub-contractor, the employer shall be the owner of the Project who has engaged the main Contractor).

### SEAL AND SIGNATURE

- 1.5. Information on works for which Tenders have been submitted and works which are yet to be completed as on the date of this Tender.
  - (A) Existing commitments and on-going works:

Description of Work	Place & State	Contract No. & Date	Name and Address of Employer	Value of Contract (Rs. In Crores)	Stipulated period of completion	Value of works remaining to be completed (Rs. In Crores) (Attach certificate from Engineer in charge)	Anticipated date of completion
(1)	(2)	(3)	(4)	(5)	(6)	cnarge) (7)	(8)



Description of Work	Place & State	Name and Address of Employer	Estimated value of works (Rs. In Crores)	Stipulated period of completion	Date when decision is expected	Remarks if any
(1)	(2)	(3)	(4)	(5)	(6)	(7)

1.6. The following items of equipment are considered essential for successfully carrying out the works. The Tenderer should furnish all the information listed below. (The item of the equipment required nos and capacity should match with those specified in ITT clause 3.3(a)

Item of Equipment	Requirement		Owned and available nos	Remarks (The details	
	Nos	Owned	/Age/Capacity/ Condition	of hired/leased equipment details to be indicated)	
Ballast moving equipment (Dumper)	10 Nos	5			
Back Hoe (JCB)/ Pay loader	2	1			

- 1.7. Reports on the financial standing of the tenderer, such as profit and loss statements and auditor's reports for the last five years;
- 1.8. Qualification and experience of the key technical and management personnel in permanent employment with the tenderer and those that are proposed to be deployed on this contract, if awarded.
- 1.9. Name, address, and telephone, telex, and fax numbers of the Tenderers' bankers who may provide references if contacted by the Employer.
- 1.10. Evidence of access to financial resources to meet the qualification requirement specified in ITT Clause 3.3 (b): Cash in hand, Letter of Credit etc. List them and attach certificate from the Banker in the suggested format given in Section 3, Form No.CL3 & CL4.
- 1.11. Proposals for subcontracting components of works amounting to more than 20% of the contract price.

ltem of Work	Value of Sub- Contract	Identified Sub- Contractor (Name and Address)	Experience of similar works (Attach Certificates from the respective Employers)	Remarks (Undertaking from Specialist
				subcontractors to be provided as per Form CL-2)



### 1.12. Information on litigations in which the Tenderer is involved:

#### Litigation History

(This has reference to Eligibility cum Qualification Criteria document.)

Name of Tenderer or member of Joint Venture: -

SI. No.	Name of the Employer/ Client	Name of the work	No. of cases in the work	cause of Litigation/ arbitration/ details of disputes	Year	Litigation/ arbitration initiated by	Award in favor of Tenderer/ Client	Disputed Amount	Remarks showing present status

**Note:** Tenderers including each of the partners of a Joint Venture, should provide information on any history of litigation or Arbitration resulting from contracts executed in the last 10 years as on date of submission of this tender. A separate sheet should be used for each partner of a Joint Venture.

1.13. The proposed methodology and program of construction, backed with equipment planning and deployment, duly supported with broad calculations and quality control procedures proposed to be adopted, justifying their capability of execution and completion of the work as per technical specifications within the stipulated period of completion as per milestones.

Section 3: Qualification Information (Bidding Forms)



### APPROACH & METHODOLOGY PROPOSED FOR PERFORMING THE ASSIGNMENT

Name of Project: ".....

The approach and methodology will be detailed precisely under the following topics:

- 1. Understanding of the assignment
- 2. Work Breakdown structure/ Work plan.
- 3. Composition of the Team
- 4. Organizational set up/ Construction methodology for execution of the work as outline in Section 8A
- 5. Documentation and procedures to be prepared, adopted and furnished to K-RIDE (Rail Infrastructure Company (Karnataka) Limited.
- 6. Reporting Procedure
- 7. Sourcing of Material

#### Note:

i. The approach and methodology should be precise and relevant to the assignment. Include Bar charts.



### **B) ADDITIONAL QUALIFICATION INFORMATION/BIDDING FORMS**

Form: PS1

### LETTER OF TECHNICAL BID

(Seperately for each Package)
Date
Invitation for Bid No.:

Τo,

.....

We, the undersigned, declare that:

- (a) We have examined and have no reservations to the Bidding Documents, including Addenda issued in accordance with Instructions to Tenderer (ITT);
- (b) We offer to execute the Works in conformity with the Bidding Documents;
- (c) Our bid shall be valid for a period of 180 days from the date fixed for the bid submission deadline in accordance with the Bidding Documents, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- (d) If our bid is accepted, we commit to obtain a performance security in accordance with the Bidding Documents;
- (e) If our bid is accepted, we commit to deploy key equipment and key personnel consistent with the requirements stipulated in Section 8A: Works Requirements.
- (f) If our bid is accepted, we commit to submit work method statements for all major activities and get these approved from the engineer prior to commencing work on such activities. We also understand that the work shall be executed as per the approved method statements and KEY DATES without any deviations and delay in completion.
- (g) We, including any subcontractors or suppliers for any part of the contract, do not have any conflict of interest in accordance with ITT clause 2.4;
- (h) We declare that we are not participating, as a Bidder or as a subcontractor, in more than one bid in this bidding process in accordance with ITT clause 2.2, other than alternative offers submitted in accordance with ITT clause 14;



- (i) We declare that we are not liable to be disqualified in Accordance with ITT clause 2.5, and we are enclosing the affidavit for the same as per the Performa given in the bid document.
- (j) We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed; and
- (k) We have not made any deviations from the requirement of the bidding document and we have also not made any tampering or changes in the bidding documents on which the bid is being submitted and if any tampering or changes are detected at any stage, we understand the bid will invite summary rejection and invocation of bid security declaration, the contract will be liable to be terminated along with forfeiture of performance security, even if LOA has been issued.
- (I) We understand that we will be considered for participating for which we have submitted the bid security(ies) declaration form and we will be considered for award, subject to fulfilling the eligibility criteria as given in bidding document;
- (m) If our bid is accepted, we opt to take payment into the bank account, nominated by us.
- (n) We declare that the submission of this bid confirms that no agent, middleman or any intermediary has been, or will be engaged to provide any services or any other item of work related to the award and performance of this contract. We further confirm and declare that no agency commission or any payment which may be construed as an agency commission has been, or will be, paid and that the bid price does not include any such amount. We acknowledge the right of the Employer, if he finds to the contrary, to declare our bid to be noncompliant and if the contract has been awarded to declare the contract null and void
- (o) We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive.
- (p) A Power of Attorney to sign and submit this letter is attached.
- (q) Having inspected the site, examined the complete bid document including Employer's requirements, Conditions of Contract, Special Conditions of Contract, particular conditions of Contract, Technical Specifications, Safety, Health & Environment (SHE) manual, Eligibility Cum Qualification Criteria, Instructions to Bidder and Addenda/Corrigendum etc., thereto (if any) for above mentioned work and prepared the bid entirely in accordance with all the requirements of the bid document and agree entirely with them.
- (r) We here by confirm that we have visited the sites of work and have become conversant with the local conditions of working.
- (s) For the purpose of your evaluation, study, review and decision-making we are ready to let you inspect our business premises / site, etc.



- (t) We authorize K-RIDE or any of their authorized representative to approach, enquire, verify and check the matter furnished in our submission with the concerned client / owner of the Project / Contract and the concerned Banker of reference provided by us.
- (u) We undertake to hold in confidence all documents and information whether Technical or Commercial supplied to us at any time by or on behalf of K-RIDE in connection with this bid and without your written authority or as otherwise required by law not to publish or otherwise disclose the same.
- (v) If our bid is accepted, we agree to establish our project office in Bangalore.
- (w) We have submitted the Statement of Integrity, Eligibility, Social, and Environmental Responsibility signed and abide by the same.
- (x) We understand that this Bid shall be governed by and construed in all respects according to the laws for the time being force in India. The courts at Bangalore will have exclusive jurisdiction in the matter.
- (y) We undertake that, in competing for (and, if the award is made to us, in executing) the above contract, we will strictly observe the laws against fraud and corruption in force in India namely "Prevention of Corruption Act 1988".

We hereby confirm that this Tender complies with the Tender validity and Earnest money deposit required by the Tender documents.

(z) We confirm and declare that by virtue of our signature below, to the best of knowledge and belief that the information provided by us as required in this Bid Document, all supporting and explanatory information is truthful and exact.

Name	
	In the capacity
Signed	
Duly authorized to sign th	e Bid for and on behalf of
Date	

### (SEAL AND SIGNATURE OF THE BIDDER)



Form: PS 2

### LETTER OF PRICE BID

Date.....

Invitation for Bid No.....

То, .....

We, the undersigned, declare that:

(a) We have examined and have no reservations to the Bidding Documents, including Addenda issued in accordance with Instructions to Bidders (ITT) 9;

(b) We offer to execute the Work in conformity with the Bidding Documents;

(c) The total price of our Bid is indicated in the Price Bid on Karnataka e-procurement portal.

(d) We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed; and

(e) We have not made any deviations from the requirement of the bidding document and we have also not made any tampering or changes in the bidding documents on which the bid is being submitted and if any tampering or changes are detected at any stage, we understand the bid will invite summary rejection and forfeiture of bid security/the contract will be liable to be terminated along with forfeiture of performance security, even if LOA has been issued.

(f) We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive.

ame
the capacity of
gned
uly authorized to sign the Bid for and on behalf of
ate
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Form: PS 3

### FORMAT FOR AFFIDAVIT TO BE SUBMITTED BY BIDDER ALONGWITH THE BID

(To be separately given for each package)

(To be executed in presence of Public Notary on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper has to be in the name of the bidder) \*\*

I ...... (Name and designation) \*\*...... appointed as the attorney/authorized signatory of the bidder (including its constituents), M/s. \_\_\_\_\_ (hereinafter called the bidder) for the purpose of the Bid for the work of \_\_\_\_\_\_ as per the bid No. \_\_\_\_\_ of K-RIDE, do hereby solemnly affirm and state on behalf of the bidder including its constituents as under:

- \*1. That the bidder or any of its constituents has not been Blacklisted/ banned for business dealings for all Government Departments or by Ministry of Railways or by K-RIDE at any time and/or no such blacklisting is in force as on the deadline for submission of bids.
- \*2. That none of the previous contracts of the bidder or any of its constituents had been terminated/rescinded for Contractor's failure or part terminated for its failure as a JV/ Consortium partner with forfeiture of its full Performance Security, by Rail Infrastructure Development Company (Karnataka) Ltd. during the period of last 3 years before the deadline for submission of bids.

(Add Proviso of Clause 2, (ITT) suitably, if any Contract was so terminated).

- \*3. The bidder or any of its constituents has not been imposed liquidated damages of 5% or more of contract value by any Government Department or by Ministry of Railways or by K-RIDE due to delay in the implementation of any previous contract (either in the capacity of a single entity or as constituent of any other JV/ Consortium) within the period of last 2 years before the deadline for submission of bid [2 years shall be reckoned from the date on which imposed L.D. has exceeded 5% of the contract price] and there are no such accrued delay damages which has not been fully recovered before the deadline for submission of bids on account of contractor's request for deferring recovery to maintain cash flow and K-RIDE has acceded to the same in the interest of the project and the work under the previous contract in question has been completed before the deadline for submission of bid, unless imposition of such delay damages has been set aside by the Competent Authority.
- 4. That the Bidder or any of its constituents is neither Bankrupt/Insolvent nor is in the process of windingup nor is such a case pending before any Court on the deadline of submission of the bid.
- \*5. That the name of the Bidder or any of its constituents is not on the list of "Poor Performer" of any Government Department or by Ministry of Railways or by K-RIDE as on the deadline for submission of bid.
- 6. We declare that the bidder or any of its constituents have not either changed their name or created a new business entity. Consequent to having been banned business dealings for specified period which is not over or suspended business dealings or having been declared as poor performer.



7. We declare and certify that balance sheets for last five financial years including that for the latest concluded financial year are being submitted.

OR

We declare and certify that balance sheet for the latest concluded financial year has not been finalized till date and that is why we are furnishing financial data for last five financial years ignoring the latest concluded financial year.

(# - Delete whichever is not applicable) \*\*.

- 8. We declare and certify that we have not made any misleading or false representation in the forms, statements and attachments in proof of the qualification requirements.
- 9. We declare that the information and documents submitted along with the bid by us are correct and we are fully responsible for the correctness of the information and documents, submitted by us.
- 10. We understand that in case we cease to fulfil the requirements of qualifying and eligibility criteria at any time after opening of bids and till finalization of bids, it will be our bounden duty to inform the Employer of our changed status immediately and in case of our failure to do so, our bid shall be rejected and bid security declaration form shall be forfeited. In case such failure comes to the notice of Employer at any time after award of the contract, it will lead to termination of the contract and forfeiture of Bid or Performance Security. We shall also be liable for Banning of Business dealings up to a period of five years.
- 11. We understand that if the contents of the affidavit are found to be false at any stage during bid evaluation, it will lead to rejection of our bid and forfeiture of the bid security. Further, we *[insert name of the bidder]* \*\*\_\_\_\_\_ and all our constituents understand that we shall be liable for banning of business dealings up to a period of five years.
- 12. We declare and certify that we have not made any misleading or false representation in the forms, statements and attachments in proof of the qualification requirements.
- 13. We also understand that our offer will be evaluated based on the documents/credentials submitted along with the offer and same shall be binding upon us.
- 14. We declare that the information and the document submitted along with the tender by us are correct and we are fully responsible for the correctness of the information and documents, submitted by us.



16. We also understand that if the certificate submitted by us are found to be false/forged or incorrect at any time after the award of contract, it will lead to termination of the contract, along with forfeiture of EMD/SD and performance guarantee besides any other action provided in the contract including banning of business for five years in K-RIDE.

### (SEAL AND SIGNATURE OF THE BIDDER)

### Verification:

We above named tenderer do hereby solemnly affirm and verify that the contents of our above affidavit are true and correct. Nothing has been concealed and no part of it is false.

### (SEAL AND SIGNATURE OF THE BIDDER)

\*Modify the contents wherever necessary, in terms of sub-clause 2 ITT.

\*\* The contents in Italics are only for guidance purpose and details as appropriate, are to be filled in suitably by Bidder.

Attestation before Magistrate/Public Notary



### Form – BDF/1

### **BID SECURITY DECLARATION FORM**

DELETED



Form: JV/Consortium/1

### **NOT APPLCIABLE FOR THE TENDER**

### PRO-FORMA LETTER OF PARTICIPATION FROM EACH PARTNER OF JOINT VENTURE (JV)/ CONSORTIUM –

(On each Firm's Letter Head)

No	
----	--

Dated:....

From,

.....

To,

The General Manager, Rail Infrastructure Development Company (Karnataka) Limited, "Samparka Soudha", 1st Floor, B.E.P Premises (Opp. Orion Mall), Rajajinagar 1st Block, Bangalore - 560 010.

Gentlemen,

Re: ... "[Insert name of work] .....". Ref: Your notice for Invitation for Bid (IFB) .....

- We wish to confirm that our company/firm (delete as appropriate) has formed a Joint Venture/ Consortium by name of ..... with for the purposes associated with IFB referred to above. (Members who are not the lead partner of the JV/ Consortium should add the following paragraph) \*.
- 2. 'The JV/ Consortium is led by ... whom we hereby authorize to act on our behalf for the purposes of submission of Bid for ...... and authorize to incur liabilities and receive instructions for and on behalf of any and all the partners or constituents of the Joint Venture/ Consortium.'

OR

(Member(s) being the lead member of the group should add the following paragraph) \*

**2.** 'In this group we act as leader and, for the purposes of applying for qualification, represent the Joint Venture/ Consortium:'



- 3. In the event of our group being awarded the contract, we agree to be jointly with....... (names of other members of our JV/ Consortium) ....... and severally liable to the (K-RIDE) Rail Infrastructure Development Company (Karnataka) Limited, Bangalore, its successors and assigns for all obligations, duties and responsibilities arising from or imposed by the contract subsequently entered into between Rail Infrastructure Development Company (Karnataka) Limited, Bangalore and our JV/ Consortium.
- **4.** \*I/We, further agree that entire execution of the contract shall be carried out exclusively through the lead partner.

Yours faithfully,
(Signature)
(Name of Signatory)
(Capacity of Signatory)
Seal

\* Delete as applicable



Form: JV/Consortium/2

### NOT APPLCIABLE FOR THE TENDER

### FORMAT FOR POWER OF ATTORNEY FOR AUTHORISED SIGNATORY OF JOINT VENTURE (JV)/ CONSORTIUM PARTNERS

### POWER OF ATTORNEY

(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the company who is issuing the power of Attorney)

Know all men by these presents, we ... do hereby constitute, appoint and authorize Mr/Ms. .... who is presently employed with us and holding the position of .....as our attorney, to do in our name and on our behalf, all such acts, deeds and things necessary in connection with or incidental to our bid for the work of ...Including signing and submission of all documents and providing information/responses to Rail Infrastructure Development Company (Karnataka) Limited, Bangalore, representing us in all matters, dealing with Rail Infrastructure Development Company (Karnataka) Limited, Bangalore, in all matters in connection with our bid for the said project and if successful, till the whole of the bid process.

We hereby agree to ratify all acts, deeds and things lawfully done by our said attorney pursuant to this Power of Attorney and that all acts, deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us.

Dated this the ..... day of ..... 20.

(Signature of authorized Signatory) .....

(Signature and Name in Block letters of Signatory)

Seal of Company

Witness

<u>Witness</u>1: Name: Address: Occupation: <u>Witness 2:</u> Name: Address: Occupation:

\*Notes:

- i. To be executed by all the partners individually, in case of a Joint Venture/ Consortium.
- ii. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.
- iii. Also wherever required, the executants(s) should submit for verification the extract of the charter documents and documents such as resolution/ power of attorney in favour of the person executing this power of attorney for the designation of power hereunder on behalf of the bidder.



Form: JV/Consortium/3

### **NOT APPLCIABLE FOR THE TENDER**

### FORMAT FOR POWER OF ATTORNEY TO LEAD PARTNER OF JOINT VENTURE (JV)/ CONSORTIUM

(To be executed on non-judicial stamp paper of the appropriate value in accordance with relevant stamp Act. The stamp paper to be in the name of the company who is issuing the power of Attorney)

### POWER OF ATTORNEY

Whereas Rail Infrastructure Development Company (Karnataka) Limited Bangalore, has invited Bids for the work of

Whereas, the members of the Joint Venture/ Consortium comprising of M/s. ..., M/s. ...., and M/s. .... are interested in submission of bid for the work of ... [Insert name of work] ... in accordance with the terms and conditions contained in the bidding documents.

Whereas, it is necessary for the members of the Joint Venture/ Consortium to designate one of them as the Lead Partner, with all necessary power and authority to do, for and on behalf of the Joint Venture/ Consortium, all acts, deeds and things as may be necessary in connection with the Joint Venture's/ Consortium's bid for the project, as may be necessary in connection the Joint Venture's/ Consortium's bid for the project, as may be necessary in connection the Joint Venture's/ Consortium's bid for the project.

### NOW THIS POWER OF ATTORNEY WITNESSETH THAT:

We, M/s. ...., hereby designate M/s. ..., being one of the partners of the Joint Venture/ Consortium, as the lead partner of the Joint Venture/ Consortium, to do on behalf of the Joint Venture/ Consortium, all or any of the acts, deeds or things necessary or incidental to the Joint Venture's/ Consortium's bid for the contract, including submission of bid, participating in conferences, responding to queries, submission of information/ documents and generally to represent the Joint Venture/ Consortium in all its dealings with K-RIDE/ Railway or any other Government Agency or any person, in connection with the contract for the said work until culmination of the process of bidding till the contract agreement is entered into with the Rail Infrastructure Development Company (Karnataka) Limited, Bangalore and thereafter till the expiry of the contract agreement.

We hereby agree to ratify all acts, deeds and things lawfully done by lead member, our said attorney, pursuant to this power of attorney and that all acts deeds and things done by our aforesaid attorney shall and shall always be deemed to have been done by us/ Joint Venture/ Consortium.

Dated this the ..... Day of ..... 2022

.....

(Signature)



.....

(Name in Block letters of Executant)

Seal of Company

Witness 1: Name: Address: Occupation: Witness 2: Name: Address: Occupation:

#### Notes:

- 1. To be executed by all the Partners of the JV/Consortium except the lead Partner.
- 2. The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required the same should be under common seal affixed in accordance with the required procedure.



Form: JV/Consortium/4

### NOT APPLCIABLE FOR THE TENDER

### DRAFT FORMAT OF JOINT VENTURE/CONSORTIUM AGREEMENT

M/s ...... having its registered office at ..... (hereinafter referred to as .....) acting as the Lead Partner of the first part,

and

M/s ...... having its registered office at ..... (here in after referred to as .....) in the capacity of a Joint Partner of the other part.

The expressions of ...... and ..... shall wherever the context admit, mean and include their respective legal representatives, successors-in-interest and assigns and shall collectively be referred to as "the Parties" and individually as "the Party"

### WHEREAS:

Rail Infrastructure Development Company (Karnataka) Limited (K-RIDE) [hereinafter referred to as "Employer"] has invited bids for ... "[Insert name of work] ......" Vide LOA No......awarded contract.

### NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:

- 1. The following documents shall be deemed to form and be read and construed as an integral part of this AGREEMENT.
  - i. Notice for Bid, and
  - ii. Bidding document
  - iii. Any Addendum/Corrigendum issued by Rail Infrastructure Development Company (Karnataka) Limited
  - iv. The bid submitted on our behalf jointly by the Lead Partner.
  - v. Letter of Acceptance issued by Rail Infrastructure Development Company (Karnataka) Ltd.
- 2. The `Parties' have studied the documents and LOA issued to enter into Joint Venture/ Consortium as under and have agreed to participate.
- 3. M/s ......shall be the lead member of the JV/ Consortium for all intents and purpose and shall represent the Joint Venture/ Consortium in its dealing with the Employer. For the purpose of execution, the parties agree to nominate ...... as the leader duly authorized to sign and submit all documents and enter into correspondence with the Employer.
- 4. The 'Parties' have resolved that the distribution of share and responsibilities between the JV/Consortium partners is as under



(a) Lead Partner Share %
Responsibilities
(I) Key Activities and %age execution assigned
i
(II) BOQ Schedule/Bill No. and %age execution assigned
i
й
ii.
Ш
(b) Joint Venture/ Consortium Partner Share%
Responsibilities
(I) Key Activities and %age execution assigned
i
(II) BOQ Schedule/Bill No. and %age execution assigned
II
iii
(c) Joint Venture/ Consortium Partner Share%
Responsibilities
(I) Key Activities and %age execution assigned
I
(II) BOQ Schedule/Bill No. and %age execution assigned
i
ii
iii
Note: In case any Bill or items of a Bill are proposed to be executed by more than one

**Note:** In case any Bill or items of a Bill are proposed to be executed by more than one JV/ Consortium partner then indicate the breakup of that Item/Bill no. for each JV/ Consortium partner.

### 5. JOINT AND SEVERAL RESPONSIBILITIES

The Parties undertake that they shall be jointly and severally liable to the Employer in the discharge of all the obligations and liabilities as per the contract with the Employer and for the performance of contract awarded to their JV/Consortium.

### 6. ASSIGNMENT AND THIRD PARTIES

The parties shall co-operate throughout the entire period of this AGREEMENT on the basis of exclusivity and neither of the Parties shall make arrangement or enter into agreement either directly or indirectly with any other party or group of parties on matters relating to the Project except with prior written consent of the other party and the Employer.



### 7. EXECUTIVE AUTHORITY

The said Joint Venture/ Consortium through its authorized representative shall receive instructions, payments from the Employer. The management structure for the project shall be prepared by mutual consultations to enable completion of project to quality requirements within permitted cost and time.

### 8. GUARANTEES AND BONDS

Performance Security and other Securities of a JV/ Consortium shall be in the name of the JV/ Consortium that submits the bid.

### 9. BID SUBMISSION

Each Party shall bear its own cost and expenses for preparation and submission of the bid and all costs until conclusion of a contract with the Employer for the Project. Common expenses shall be shared by both the parties in the ratio of their actual participation.

### **10. INDEMNITY**

Each party hereto agrees to indemnify the other party against its respective parts in case of breach/default of the respective party of the contract works of any liabilities sustained by the Joint Venture/ Consortium.

11. For the execution respective portions of works, shall their of the the parties make arrangements bring the required finance, plants equipment, materials, to and own manpower and other resources.

### 12. DOCUMENTS & CONFIDENTIALITY

Each Partv shall maintain confidence and related in not use for anv purpose to the Project all commercial and technical information received or the generated in course of preparation and submission of the bid.

### **13. ARBITRATION**

Any dispute, controversy or claim arising out of or relating to this agreement shall be settled in the first instance amicably between the parties. If an amicable settlement cannot be reached as above, it will be settled by arbitration in accordance with the Indian Arbitration and Conciliation Act 1996 or any amendments thereof. The venue of the arbitration shall be Bangalore.

### 14. VALIDITY

This Agreement shall remain in force till the defect liability period is over and Securities are released.

**15.** This AGREEMENT is drawn in ..... number of copies with equal legal strength and status. One copy is held by M/s ....... and the other by M/s. & ......M/s ...... and a copy submitted with the Bid.



**16.** This AGREEMENT shall be construed under the laws of India.

### 17. NOTICES BETWEEN JV/ CONSORTIUM PARTNERS

Notices shall be given in writing by fax confirmed by registered mail or commercial courier to the following fax numbers and addresses:

Lead Partner	Other Partner
(Name & Address)	(Name & Address)

IN WITNESS WHEREOF THE PARTIES, have executed this AGREEMENT the day, month and year first before written.

M/s	M/s
(Seal)	(Seal)
Witness	
1(Name & Address)	
2 (Name & Address)	

\*\*\*



### **BIDDERS QUALIFICATION**

## To establish its qualifications to perform the contract in accordance with Section 2 (Qualification Information) the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

To establish its qualifications to perform the contract in accordance with Section 2 (Qualification Criteria) the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

Bidder's Information		
Bidder's legal name		
Bidder's country of constitution		
Bidder's year of constitution		
Bidder's legal address in country of constitution		
Bidder's authorized representative		
(name, address, telephone numbers, fax numbers, e-mail address)		

### Form ELI - 1: Bidder's Information Sheet

### The bidder shall attach copies of the following original documents with the form:

- 1. In case of single entity, articles of incorporation or constitution of the legal entity named above, in accordance with ITT clause 2.
- 2. Authorization to represent the firm or JV/ Consortium named in above, in accordance with ITT clause 14.
- 3. In case of JV/ Consortium, JV/ Consortium agreement, in accordance with ITT clause 2.

### SEAL AND SIGNATURE



### Form ELI - 2: JV/ Consortium Information Sheet

Each member of a JV/ Consortium must fill in this form separately

JV / Consortium Information - NOT APPLCIABLE FOR THE TENDER		
Bidder's legal name		
JV/ Consortium Partner's legal name		
JV/ Consortium Partner's country of constitution		
JV/ Consortium Partner's year of constitution		
JV/ Consortium Partner's legal address in country of constitution		
JV/ Consortium Partner's		
authorized representative		
information		
(name, address, telephone numbers, fax numbers, e-mail address)		
Bidder's Bank Details:		
(a) Name of the Bank and branch:		
(b) Account Number:		
(c) IFSC code:		
(d) Bank's Contact Number and Fax		
Number:		
(e) PAN:		
(f) GST Registration No:		



#### The bidder shall attach copies of the following original documents with the form:

- 1. Articles of incorporation or constitution of the legal entity named above, in accordance with ITT clause 2.
- 2. Authorization to represent the firm named above, in accordance with ITT clause 14.

Note: Following needs to be submitted by the bidder;

- (a) Affidavit in case of Proprietary firm.
- (b) Partnership Deed in case of partnership firm.
- (c) Memorandum & Article of Association in case of Public/Private limited company.
- (d) Authorization/POA in favour of authorised signatory of bidder to sign the bid.

SEAL AND SIGNATURE



#### Form FIN-1: Financial Situation

(Each Bidder or each member of a JV/Consortium must fill in this form separately)

#### NAME OF BIDDER/JV/CONSORTIUM PARTNER

	Financial Data for Last 5 Years [Indian National Rupees]					
	Year 1:	Year 2:	Year 3:	Year 4:	Year 5:	
1. Total Assets						
2. Current Assets						
3. Total Liabilities						
Current Liabilities						
5. <b>Net Worth</b> [= 1 – 3]						
6.Working Capital [= 2 - 4]						
7. Profit Before Tax (PBT)						

#### 1. The bidder shall attach copies of the following original documents with the form

Copies of the audited balance sheets, including all related notes, and income statements for the last five years, as indicated above, complying with the following conditions.

- i. All such documents reflect the financial situation of the Bidder or partner to a JV/ Consortium, and not sister or parent companies.
- ii. Historic financial statements must be audited by a certified accountant.
- iii. Historic financial statements must be complete, including all notes to the financial statements.
- iv. Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

#### 2. Contents of this form should be certified by a Statutory Auditor

i. In the event that the audited accounts for the latest concluded Financial Year are not available, the Bidder shall furnish information pertaining to the last five financial years after ignoring the latest concluded financial year. In case, the bidder submits audited financial information for the last six or more years, only the figures for the latest five years shall be considered for evaluation.



- ii. Financial data for last five financial years has to be submitted by the bidder along with audited balance sheets. The financial information of the Bidder must be certified either by the Independent Financial Auditor (statutory Auditor) of the company appointed under the companies' Act.
- iii. In case any discrepancy in data is found between the balance sheet and the financial information submitted, the data as available in the balance sheet will be considered.
- iv. In case the audited balance sheet of the last financial year is not made available by the Bidder, he has to submit an affidavit certifying that 'The Balance Sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation. If audited balance sheet of any year other than the last financial year is not submitted, then the bid will be considered as non-responsive
- v. In case the company's financial year is from Jan 19 to Dec 19, then it will be considered under financial year 2019 20 similar procedure will be applicable for other financial years also.

#### SEAL AND SIGNATURE OF THE BIDDER

Certified that all figures and facts submitted in this form have been furnished after full consideration of all observations/notes in Auditor's reports.

(Signature of Statutory Auditor)

Name of Statutory Auditor : \_\_\_\_\_

Registration No: \_\_\_\_\_

(Seal)



#### Form FIN-2: Annual Construction Turnover for the last 5 years

Each Bidder or each member of a JV/ Consortium must fill in this form separately:

#### NAME OF BIDDER/JV/CONSORTIUM PARTNER:

SI.No.	Year	Annual Turnover INR	Multiplying factor INR	Updated Annual turnover INR
1	2017-2018		1.464	
2	2018-2019		1.331	
3	2019-2020		1.21	
4	2020-2021		1.10	
5	2021-2022		1.00	

	Annual Turnover Data for t	he Last 5 Years (Construction only)	
Year	Amount Currency	Exchange Rate	Indian National Rupees Equivalent
Average A	Annual Construction Turnover f	or last 5 Financial Years	
Minimum 3.2(a)	Financial Turnover for any la	ast 2 Financial Years as per clause	

- The information supplied shall be substantiated by data in the audited balance sheets and profit and loss accounts for the relevant years and submitted as attachments to form Fin-1 in respect of the bidder or all partners constituting the bidder.
- 2. Contents of this form should be certified by a Statutory Auditor.
- 3. In the event that the audited accounts for the latest Financial Year are not available, the Bidder shall furnish information pertaining to last three financial years after ignoring the latest financial year. In case the bidder submits audited financial information for the last four or more years, only the figures for the latest three years shall be considered for evaluation.



#### Form FIN-3: Current Contract Commitments / Works in Progress

Bidders and each partner to a JV/Consortium should provide information on their current commitments on all contract that have been awarded, or which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

#### Contract Commitments

SI. No.	Description of work	Contract No. & date	Name & address of Employer, Tel./Fax/ Email	Value of Con- tract in INR	Stipulated Period of completion	Value of Balance work	Anticipated date of Completion
1							
2							
3							
4							
5							

1. For calculation of 'Updated contract value" in column 5 above, assume inflation as per multiplying Factors given in FIN-2.

- 2. Bidder should provide information on their current commitments or all contracts that have been awarded or for which a letter of intent or acceptance has been received or for contracts approaching completion but for which a completion certificate is yet to be issued.
- 3. Total Equivalent INR should be calculated using the selling exchange rate of Reserve Bank of India as on 28<sup>th</sup> day prior to the last date of submission of bids. In case publication is not available on 28<sup>th</sup> day, previous working day published exchange rate shall be considered for evaluation.

**Note:** Enclose Certificate(s) from Engineer(s) Incharge (not below the rank of Executive Engineer) for Value of outstanding work. In case it is not feasible to furnish certificate from all the units the bidder should record the following certificate on Fin 3:

"Certified that current commitments on all the contracts that have been awarded or for which a letter of intent or acceptance has been received or for the works in progress or the works approaching completion, value of outstanding work has been indicated in the above table correctly. It is further certified that if later on the employer discovers that information provided in the table is incorrect then the employer will treat our bid invalid and it will be liable for rejection"

#### SEAL AND SIGNATURE



FORM NO. 1

DELETED



#### FORM NO. 2

#### CHECKLIST FOR CLAUSES PERTAINING TO SUMMARY REJECTION OF BID

We, the undersigned, declare that we have read and understood the content of ITT clauses section:2 mentioned below. We also understand that our bid shall be summarily rejected in case we fail to comply the requirements of undermentioned clauses:

ITT Clause No. Section 2	Reason for Summary Rejection
2.5	Non-submission of Affidavit- (Form PS-3)
11.5 & 22	Non-submission of immediate information to the Employer in case Bidder ceases to fulfill eligibility in terms of ITT clause 2
10.3	Non – Submission of Letter of Technical Bid.
11.5	Quoting more than one percentage for any schedule
13	Bid not accompanied with Tender Security/EMD
14	Bid not accompanied with power of attorney/General power of Attorney to sign on behalf of the bidders

#### SEAL AND SIGNATURE OF THE BIDDER



#### Form: 3 C 1

#### FORMAT FOR CERTIFICATE TO BE SUBMITTED BY BIDDER ALONGWITH THE BID

(On the letter head of the Firm)

We/I,	, having registered office at	_ do hereby certify that "I have read
the clause	regarding restrictions on procurement from a bidder of a	a country which shares a land border
with India;	I certify that this bidder is not from such country or	, if from such a country, has been
registered	with the Competent Authority. I hereby certify that this	bidder fulfils all requirements in this
regard and	t is eligible to be considered. (Where applicable, ev	vidence of valid registration by the
competent	Authority shall be attached.)"	

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2022

For-\_\_\_\_\_

Authorized Signatory Signature \_\_\_\_\_

Full Name:	
------------	--

Place:	
--------	--

#### (SEAL AND SIGNATURE OF THE BIDDER)



Form: 3 C 2

#### FORMAT FOR CERTIFICATE TO BE SUBMITTED BY BIDDER ALONGWITH THE BID FOR SUB CONTRACTING

(On the letter head of the Firm)

We/I,\_\_\_\_\_\_, having registered office at \_\_\_\_\_\_ do hereby certify that "I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries; I certify that this bidder is not from such a country or, if from such a country, has been registered with the competent Authority and will not sub-contractor any work to a contractor from such countries unless such contractor is registered with the competent Authority. I hereby certify that his bidder fulfils all requirements in this regard and is eligible to be considered. (Where applicable, evidence of valid registration the Competent Authority shall be attached.)"

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2022

For-\_\_\_\_\_

Authorized Signatory Signature \_\_\_\_\_

Place:			

#### (SEAL AND SIGNATURE OF THE BIDDER)



#### Form 5

#### Key Personnel for the work

## MINIMUM QUALIFICATION AND EXPERIENCE REQUIRED FOR KEY PERSONNEL TO BE DEPLOYED FOR THE WORK

SI. No.	Key Personnel	Qualifications & Total Experience	Particular Experience (Minimum requirement)	Minimum Number of Personnel Required	Name of the key personnel proposed	Qualification	Total number of years of experience	Number of Years in similar works experience
1								

Note: Further details to be updated as per clause 3.3 ( c) of section 2 ITT.

(Name of Signatory) .....

(Capacity of Signatory) .....

Seal.....



Form 6

#### Format of Curriculum Vitae (cv) for proposed key professional staff

Proposed Position: Name of Firm: Name of Staff: Profession: Date of Birth: Years with Firm/Entity: Nationality: Membership in Professional Societies: Detailed Tasks Assigned: Key Qualifications:

[Give an outline of staff member's experience and training most pertinent to tasks on assignment. Describe degree of responsibility held by staff member on relevant previous assignments and give dates and locations.]

Education:

[Summarize college/university and other specialized education of staff member and degrees obtained.] Employment Record:

[Starting with present position, list in reverse order every employment held. List all positions held by staff member since graduation, giving dates, names of employing organizations, titles of positions held, and locations of assignments. Also give types of activities performed and client references, where appropriate.]

Period	Name of Employing Organization	Name of the Project	Title / Position	Activity performed	Location of the Assignment

Languages:

[For each language, indicate proficiency: excellent, good, fair, or poor; in speaking, reading, and writing]

Certification:

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe me, my qualifications, and my experience.

Date:

[Signature of staff member and authorized representative of the Firm] Day/Month/Year

Full name of staff member:

Full name of the authorized representative:



#### FORM CL-2

#### UNDERTAKING FROM SPECIALIST SUB-CONTRACTOR (Refer Clause of EQC) (On the Letter head of specialist sub-contractor)

I/We,.....(Legal Name of Specialist Subcontractor) hereby confirm that we are associating with ......(Legal name of the bidder) for the work of ......(Name of work as stated in Invitation for Bids {IFB}), for the key activity stated in clause 3.2 ( c) of ITT (if applicable).

I/We hereby undertake that in case M/s.....(Legal name of the bidder) are awarded the work of ......(Name of work as stated in Invitation for Bids {IFB}), the key activity stated in clause 3.2 (c) of ITT shall be undertaken by us as per bid conditions (if applicable).

#### STAMP & SIGNATURE OF AUTHORISED SIGNATORY OF SPECIALIST SUB CONTRACTOR

#### STAMP & SIGNATURE OF AUTHORISED SIGNATORY OF BIDDER



Form CL-3

#### <u>Availability of Financial Resources</u> (Section-2, ITT clause 3.3 (b))

Bidders must demonstrate sufficient financial resources, comprising of Working Capital supplemented by credit line statements or overdraft facilities to meet the Bidder's financial requirements for

- a) its current contract commitments, and
- b) the subject contract.

In case of a Joint Venture, each Joint Venture Partner must fill out this form separately and provide the Joint Venture Partner's name:

Joint Venture Partner: \_\_\_\_\_

	Financial Resources					
No.	Source of financing	Amount (equivalent)				
1	Working Capital					
2	Credit Line					
Total	Available Financial Resources					

<sup>a</sup>To be considered, Credit Line must be substantiated by a letter from the bank issuing the line of credit, specific for the subject contract, as prescribed. Any letter or document not complying with this requirement shall not be considered as supplementary financial resources.

#### Note:

In case the financial statement data is other than Indian Rupees, the equivalent Indian Rupees with the exchange rates as defined in the Section-2, ITT.



#### Form CL-4

#### Evidence of Availability of Credit Line Financial Resources (Section-2 ITT, Clause:3(b))

[Each Bidder must fill out this form to demonstrate financial resources comprising credit line statements or overdraft facilities.]

#### **Project Name:**

Bidding Package Name and Identification Number: ..... (to be filled in as indicated in ITT 1) ...

#### BANK CERTIFICATE

This is to certify that M/s ..... is a reputed company with a good financial standing.

If the contract for the work, namely..... is awarded to the above firm, we shall be able to provide overdraft / credit facilities to the extent of Rs ..... to meet their working capital requirements for executing the above contract.

\_\_\_\_Sd.\_\_\_\_

Name of Bank: \_\_\_\_\_

Senior Bank Manager\_\_\_\_\_

Address of the Bank\_\_\_\_\_

\_\_\_\_\_

[In case of Joint Venture, change the text as follows:]

This is to certify that M/s ...... who has formed a Joint Venture with M/s ..... and M/s ..... for participating in this bid, is a reputed company with a good financial standing.

If the contract for the work, namely..... is awarded to the above joint venture, we shall be able to provide overdraft / credit facilities to the extent of Rs..... to M/s ..... to meet their working capital requirements for executing the above contract.



#### Form EXP-1

#### WORK EXPERIENCE CERTIFICATE

To whom so ever it may concern (Issued for the purpose of Quoting in K-RIDE tenders)

M/s/Sri ...... (Name and address of the contractor) is a working contractor of this unit and was awarded the following work. The relevant details of the work are as under: -

	Name of work	
0		
2	Acceptance Letter No and Date	
3	Agreement Number, date and name of the agency	
4	Agreement value in Rupees (in words and figures)	
5	Due date of completion	
6	Actual date of completion of work	
7	Value of Final Bill if passed (in words)	
	Work completed but Final measurements not recorded. a) Amount paid so far as in CC bill No.	
	Work completed. Final measurements recorded with negative variation a) Amount so far paid as in CC bill No.	
10	Work completed. If Final measurements recorded with Positive variation which is not sanctioned yet.	
	Original agreement value of Last sanctioned agreement value whichever is lower.	
	Scope of work (Broad category of works i.e., the name of the work in the agreement on which work is	
12	Details of values of major components/ works executed in the completed work.	



Note:

The Certificate to satisfy similar work should be signed by an officer not lower than JAG officer in Railways and Executive Engineer rank or equivalent grade in other department of Govt. of India/State Government/PSUs of Government of India / State Undertaking and Competent Authority of Public Listed Company.

Signature :
Name of officer
Designation:
Office seal:
Phone/FAX No.:
Date :



#### 38. FORMAT OF BID SECURITY (BANK GUARANTEE PERFORMA)

WHEREAS \_\_\_\_\_\_ having its registered office at \_\_\_\_\_\_ (hereinafter called the Bidder) has submitted his bid dated \_\_\_\_\_\_ for the work "Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yeshwanthapur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.

"(hereinafter called "the Works") KNOW ALL PEOPLE by these presents that we, having its registered office at \_\_\_\_\_\_\_(hereinafter called the Bank) are bound unto the Managing Director, Rail Infrastructure Development Company Karnataka Ltd (**K-RIDE**),Bangalore.(hereinafter called "the Employer") in the sum of Rs.\_\_\_\_\_\_(Rupees\_\_\_\_\_\_) for which payment well and truly to be made to the said Employer the Bank binds itself, his successors and assigns by these presents; SEALED with the Common Seal of the said Bank this day

#### THE CONDITIONS OF THIS OBLIGATION ARE:

(1) If after Bid opening the Bidder withdraws his Bid during the period of Bids validity specified in the Form of Bid.

OR

- (2) If the Bidder having been notified of the acceptance of his Bid by the Employer during the period of Bid Validity.
  - a. Fails of confuses to execute the form of Agreement in accordance with the instructions to Bidders, if required; or
  - b. Fails or refuse to furnish the Performance Security, in accordance with the instruction to Bidders; or
  - c. Does not accept the correction of the Bid Price pursuant to clause24

We undertake to pay to the Employer up to the above amount upon receipt of his first written demand, without the Employer having to substantiate his demand, provided that in his demand the Employer will note that the amount claimed by him is due to him owing to the conditions of one or both of the two conditions, specifying the occurred condition or conditions.

This Guarantee will remain in force up to and including the date 240 days after the deadline for submission of bids as such deadline is stated in the instructions to Bidders of as it may be extended by the Employer, notice of which extension(s) to the Bank is hereby waived. Any demand in respect of this Guarantee should reach the Bank not later than the above date.

DATE	
SIGNATURE OF THE BANK	
WITNESS	_
SEAL	

YPR-CSDR – Collection of Ballast



# **SECTION-4**

## FORM OF TENDER, LETTER OF ACCEPTANCE, NOTICE TO PROCEED WITH THE WORK AND AGREEMENT FORM ETC.,

## FORM OF TENDER, LETTER OF ACCEPTANCE, NOTICE TO PROCEED WITH THE WORK AND AGREEMENT FORM ETC.,

SL. NO.	TITLE	FORM NUMBER	PAGE NO.		
1	LETTER OF ACCEPTANCE	FORM-1	88		
2	ISSUE OF NOTICE TO PROCEED WITH THE WORK	FORM-2	89		
3	AGREEMENT FORM	FORM-3	90		

R



#### FORM OF TENDER (DELETED)

Please refer Form PS-1 of Section 3: Qualification Information/Bidding Forms.

FORM-1

### LETTER OF ACCEPTANCE

(Letter head paper of the Employer)

\_\_\_\_[date]

To: \_\_\_\_\_[name and address of the Contractor]

Dear Sirs,

This is to notify you that your Tender dated	for execution of the "Name of the work "
, Dated	: for the Contract Price of Rupees
([amount_in	words and figures], as corrected and modified in
accordance with the Instructions to Tenderers is he	ereby accepted by our Agency.

You are hereby requested to furnish Security deposit (Performance Security) plus additional security for unbalanced tenders in accordance with of Clause 25.5 of ITT, in the form detailed in Clause 29.1 of ITT and clause 43 of the conditions of contract for an amount of Rs.————(As defined in contract data) within 20 days of the receipt of this letter of acceptance valid up to 30 days from the date of expiry of Defects Liability Period i.e. up to ........... and sign the contract.

Yours faithfully,

Authorized Signature

Name and Title of Signatory

Name of Agency.



FORM-2

(Date)

#### **ISSUE OF NOTICE TO PROCEED WITH THE WORK**

(Letter head of the Employer)

То

\_\_\_\_\_ (name and address of the Contractor)

Dear Sirs:

With reference to LOA, for the construction of "**Name of the work** -------". Tender Price of Rs.— , you are hereby instructed to proceed with the execution of the said works in accordance with the contract documents.

Yours faithfully,

(Signature, name and title of signatory authorized to sign on behalf of Employer)



FORM-3
--------

#### AGREEMENT FORM

This betweer	agreement, I	made	the			da	ay	of			_20	
				(Name	and	Address	of	Employer)	(herein	after	called	"the
Employe	er")	of		the		on	e		part			and
											[n	ame

and address of contractor] (herein after called "the Contractor") of the other part.

Whereas the Employer is desirous that the Contractor execute, "**Name of the work -------**". Tender No: ------, Dated: ------- for (herein after called "The Works") and the Employer has accepted the Tender by the Contractor for the execution and completion of such Works and the remedying of any defects therein at a contract price of Rupees.....

NOW THIS AGREEMENT WITNESSETH as follows:

- 1. In this Agreement, words and expression shall have the same meanings as are respectively assigned to them in the Conditions of Contract hereinafter referred to, and they shall be deemed to form and be read and construed as part of this Agreement.
- In consideration of the payments to be made by the Employer to the Contractor as hereinafter mentioned, the Contractor hereby covenants with the Employer to execute and complete the Works and remedy any defects therein in conformity in all aspects with the provisions of the Contract.
- 3. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying the defects wherein the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.
- 4. The following documents shall be deemed to form and be read and construed as part of this Agreement, viz:
  - i) Letter of Acceptance;
  - ii) Notice to proceed with the works;
  - iii) Contractor's Tender;
  - iv) Contract Data;
  - v) Conditions of contract (including Special Conditions of Contract and Particular Condition of Contract)
  - vi) Specifications;
  - vii) Drawings;
  - viii) Bill of Quantities; and
  - ix) Any other document listed in the Contract Data as forming part of the contract.

In witness whereof the parties thereto have caused this Agreement to be executed the day and year first before written.

The Common Seal of	
was hereunto affixed in the presence of:	
Signed, Sealed and Delivered by the said	
in the procence of:	

in the presence of.
Binding Signature of Employer
Binding Signature of Contractor



## <u>SECTION – 5</u>

## CONDITIONS OF CONTRACT(CC) AND SPECIAL CONDITIONS OF CONTRACT (SCC)

CONDITIONS OF CONTRACT(CC) AND SPECIAL CONDITIONS OF CONTRACT



#### **CONDITIONS OF CONTRACT**

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#### **CONDITIONS OF CONTRACT**

#### A. <u>GENERAL</u>

#### 1. **DEFINITIONS**

1.1 Terms which are defined in the Contract Data are not also defined in the Conditions of Contract but keep their defined meanings. Bold letters are used to identify defined terms.

**Bill of Quantities** means the priced and completed Bill of Quantities forming part of the Tender.

Compensation Events are those defined in Clause 38 hereunder.

The **Completion Date** is the date of completion of the Works as certified by the Employer in accordance with Sub Clause 46.1.

The **Contract** is the contract between the Employer and the Contractor to execute, complete and maintain the Works. It consists of the documents listed in Clause 2.2 below.

The Contract Data defines the documents and other information which comprise the Contract.

The **Contractor** is a person or corporate body or Joint Venture whose Tender to carry out the Works has been accepted by the Employer.

The **Contractor's Tender** is the completed Tender document submitted by the Contractor to the Employer.

The **Contract Price** is the price stated in the Letter of Acceptance and thereafter as adjusted in accordance with the provisions of the Contract.

Days are calendar days; months are calendar months.

A Defect is any part of the Works not completed in accordance with the Contract.

The **Defects liability period** is the period named in the Contract Data and calculated from the Completion Date.

The **Employer** is the party who will employ the Contractor to carry out the Works.

**Equipment** is the Contractor's machinery and vehicles brought temporarily to the Site to construct the Works.

The **Initial Contract price** is the Contract Price listed in the Employer's Letter of Acceptance.

The **Intended Completion Date** is the date on which it is intended that the Contractor shall complete the Works. The Intended Completion Date is specified in the Contract Data. The Intended Completion Date may be revised only by the Employer by issuing an extension of time.



**'Joint Venture'** means an ad hoc association of firms that pool their resources and skills to undertake a large or complex contract in the role of "Contractor," with all firms (partners in the JV) being legally liable, jointly and severally, for the execution of the Contract in the event of a partner's withdrawal.

**Materials** are all supplies, including consumables, used by the contractor for incorporation in the Works.

**Plant** is any integral part of the Works which is to have a mechanical, electrical, electronic or chemical or biological function.

The Site is the area defined as such in the Contract Data.

**Specification** means the Specification of the Works included in the Contract and any modification or addition made or approved by the Employer.

The **Start Date** is given in the Contract Data. It is the date when the Contractor shall commence execution of the works. It does not necessarily coincide with any of the Site Possession Dates.

A Subcontractor is a person or corporate body who has a Contract with the Contractor to carry out a part of the work in the Contract which includes work on the Site.

A Variation is an instruction given by the Employer which varies the Works.

The **Works** are what the Contract requires the Contractor to construct, install, and turn over to the Employer, as defined in the Contract Data.

#### 2. INTERPRETATION

- 2.1 In interpreting these Conditions of Contract, singular also means plural, male also means female or neuter, and the other way around. Headings have no significance. Words have their normal meaning under the language of the Contract unless specifically defined. The Employer will provide instructions clarifying queries about the Conditions of Contract.
- 2.2 The documents forming the Contract shall be interpreted in the following order of priority:
  - (1) Agreement
  - (2) Letter of Acceptance, notice to proceed with the works
  - (3) Contractor's Tender
  - (4) Contract Data
  - (5) Conditions of Contract
  - (6) Specifications
  - (7) Drawings
  - (8) Bill of quantities and
  - (9) any other document listed in the Contract Data as forming part of the Contract.



#### 3. LAW GOVERNING CONTRACT

3.1 The law governing the Contract is the Laws of India supplanted by the Karnataka Local Acts.

#### 4. EMPLOYER'S DECISIONS

4.1 Except where otherwise specifically stated, the Employer will decide contractual matters between the Employer and the Contractor.

#### 5. DELEGATION

5.1 The Employer may delegate any of his duties and responsibilities to other people after notifying the Contractor and may cancel any delegation after notifying the Contractor.

#### 6. COMMUNICATIONS

6.1 Communications between parties which are referred to in the conditions are effective only when in writing. A notice shall be effective only when it is delivered (in terms of Indian Contract Act).

#### 7. SUBCONTRACTING:

7.1 The Contractor may subcontract with the approval of the Employer but may not assign the Contract without the approval of the Employer in writing. Subcontracting does not alter the Contractor's obligations.

#### 8. OTHER CONTRACTORS

8.1 The Contractor shall cooperate and share the Site with other contractors, public authorities, utilities, and the Employer.

#### 9. PERSONNEL

- 9.1 The Contractor shall employ the technical personnel (of number and qualifications) as may be stipulated by K-RIDE from time to time during the execution of the work. The technical staff so employed shall be available at site as may be stipulated by the Employer.
- 9.2 If the Employer asks the Contractor to remove a person who is a member of the Contractor's staff or his work force stating the reasons, the Contractor shall ensure that the person leaves the Site within seven days and has no further connection with the work in the Contract.

#### 10. EMPLOYER'S AND CONTRACTOR'S RISKS

10.1 The Employer carries the risks which this Contract states are Employer's risks, and the Contractor carries the risks which this Contract states are Contractor's risks.



#### 11. EMPLOYER'S RISKS

- 11.1 The Employer is responsible for the excepted risks which are:
  - (a) Rebellion, riot commotion or disorder unless solely restricted to employees of the Contractor or his Sub Contractors arising from the conduct of the Works; or
  - (b) a cause due solely to the design of the Works, other than the Contractor's design; or
    - (i) could not have reasonably foreseen; or
    - (ii) could reasonably have foreseen, but against which he could not reasonably have taken at least one of the following measures;
    - (A) prevent loss or damage to physical property from occurring by taking appropriate measures or
    - (B) insure against such loss or damage

#### 12. CONTRACTOR'S RISKS

12.1 All risks of loss of or damage to physical property and of personal injury and death which arise during and in consequence of the performance of the Contract other than the excepted risks are the responsibility of the Contractor.

#### 13. INSURANCE:

- 13.1 The Contractor shall prior to commencing the works, effect and thereafter maintain insurances, in the joint names of the Employer and the Contractor, (cover from the first working day after the Start Date to the end of Defects Liability Period), in the amounts stated in the Contract Data:
  - (a) for loss of or damage to the Works, Plants and Materials and the Contractor's equipment;
  - (b) for liability of both Parties for loss, damage, death and injury to third parties or their property arising out of the Contractor's performance of the Contract including the Contractor's liability for damage to the Employer's property other than the Works and
  - (c) for liability of both Parties and of any Employer's representative for death and injury to the Contractor's personnel except to the extent that liability arises from the negligence of the Employer, any Employer's representative or their Employees.
- 13.2 Policies and certificates for insurance shall be delivered by the Contractor to the Employer for his approval before the Start Date. All such insurance shall provide for compensation to be payable to rectify the loss or damage incurred. All payments received from insurers relating to loss or damage shall be held jointly by the Parties and used for the repair of the loss or damage or as compensation for loss or damage that is not to be repaired.



- 13.3 If the Contractor fails to effect or keep in force any of the insurances referred to in the previous sub-clauses or fails to provide satisfactory evidence, policies or receipts, the Employer may without prejudice to any other right or remedy, effect insurance for the cover relevant to such default and pay the premiums due and recover the same as a deduction from any other monies due to the Contractor. If no payments is due, the payment of the premiums shall be a debt due.
- 13.4 Alterations to the terms of an insurance shall not be made without the approval of the Employer.
- 13.5 Both Parties shall comply with any conditions of the insurance policies.

#### 14. SITE INVESTIGATION REPORTS:

14.1 The Contractor, in preparing the tender, shall rely on any site investigation reports referred to in the Contract data, supplemented by any information available to the Tenderer.

#### 15. QUERIES ABOUT THE CONTRACT DATA

15.1 The Employer will clarify queries on the Contract Data.

#### 16. CONTRACTOR TO CONSTRUCT THE WORKS

16.1 The Contractor shall construct the Works in accordance with the Specification and Drawings.

#### 17. THE WORKS TO BE COMPLETED BY THE INTENDED COMPLETION DATE

17.1 The Contractor may commence execution of the Works on the Start Date and shall carry out the Works in accordance with the program submitted by the Contractor, as updated with the approval of the Employer, and complete them by the Intended Completion Date.

#### 18. APPROVAL BY THE EMPLOYER:

- 18.1 The Contractor shall submit Specification and drawings showing the proposed Temporary Works to the Employer, who is to approve them if they comply with the Specifications and Drawings.
- 18.2 The Contractor shall be responsible for the design of Temporary Works
- 18.3 The Employer's approval shall not alter the Contractor's responsibility for design of the Temporary Works.
- 18.4 The Contractor shall obtain approval of third parties to design of the temporary Works where required.
- 18.5 All Drawings prepared by the Contractor for the execution of the temporary or permanent Works, are subject to prior approval by the Employer before their use.



#### 19. SAFETY

19.1 The Contractor shall be responsible for the safety of all activities on the Site.

#### 20 DISCOVERIES

20.1 Anything of historical or other interest or of significant value unexpectedly discovered on the Site is the property of the Employer. The Contractor is to notify the Employer of such discoveries and carry out the Employer's instructions for dealing with them.

#### 21 POSSESSION OF THE SITE

21.1 The Employer shall give possession of all parts of the Site to the Contractor progressively, if possession of a part is not given by the date stated in the Contract Data the Employer is deemed to have delayed the start of the relevant activities and this will be compensation event.

#### 22 ACCESS TO THE SITE

22.1 The Contractor shall allow the Employer and any person authorized by the Employer access to the Site, to any place where work in connection with the Contract is being carried out or is intended to be carried out and to any place where materials or plant are being manufactured / fabricated / assembled for the works.

#### 23 INSTRUCTIONS

23.1 The Contractor shall carry out all instructions of the Employer which comply with the applicable laws where the Site is located.

#### 24 PROCEDURE FOR RESOLUTION OF DISPUTES:

- 24.1 If the Contractor is not satisfied with the decision taken by the Employer, the dispute shall be referred by either party to Arbitration within 30 days of the notification of the Employer's decision.
- 24.2 If neither party refers the dispute to Arbitration within the above 30 days, the Employer's decision will be final and binding.
- 24.3 The Arbitration shall be conducted in accordance with the arbitration procedure stated in the Special Conditions of Contract.



#### **B. TIME CONTROL**

#### 25. PROGRAM

- 25.1 Within the time stated in the Contract Data the Contractor shall submit to the Employer for approval a Program showing the general methods, arrangements, order, and timing for all the activities in the Works.
- 25.2 The Employer's approval of the Program shall not alter the Contractor's obligations. The Contractor may revise the Program and submit it to the Employer again at any time. A revised Program is to show the effect of Variations and Compensation Events.

#### 26. EXTENSION OF THE INTENDED COMPLETION DATE

- 26.1 The Employer shall extend the Intended Completion Date if a Compensation Event occurs or a Variation is issued which makes it impossible for Completion to be achieved by the Intended Completion Date.
- 26.2 The Employer shall decide whether and by how much to extend the Intended Completion Date within 21 days of the Contractor asking the Employer for a decision upon the effect of a Compensation Event or Variation and submitting full supporting information.

#### 27. DELAYS ORDERED BY THE EMPLOYER

27.1 The Employer may instruct the Contractor to delay the start or progress of any activity within the Works.

#### 28. MANAGEMENT MEETINGS

- 28.1 The Employer may require the Contractor to attend a management meeting. The business of a management meeting shall be to review the progress achieved and the plans for remaining work.
- 28.2 The responsibility of the parties for actions to be taken is to be decided by the Employer either at the management meeting or after the management meeting and stated in writing to be distributed to all who attended the meeting.



#### C. QUALITY CONTROL

#### 29. IDENTIFYING DEFECTS

29.1 The Employer shall check the Contractor's work and notify the Contractor of any Defects that are found. Such checking shall not affect the Contractor's responsibilities. The Employer may instruct the Contractor to search for a Defect and to uncover and test any work that the Employer considers may have a Defect.

#### 30. TESTS

30.1 If the Employer instructs the Contractor to carry out a test not specified in the Specification to check whether any work has a Defect and the test shows that it does, the Contractor shall pay for the test and any samples. If there is no Defect the test shall be a Compensation Event.

#### 31. CORRECTION OF DEFECTS

- 31.1 The Employer shall give notice to the Contractor of any Defects before the end of the Defects Liability Period, which begins at Completion and is defined in the Contract Data. The Defects Liability Period shall be extended for as long as Defects remain to be corrected.
- 31.2 Every time notice of a Defect is given, the Contractor shall correct the notified Defect within the length of time specified by the Employer's notice.

#### 32. UNCORRECTED DEFECTS

32.1 If the Contractor has not corrected a Defect within the time specified in the Employer's notice, the Employer will assess the cost of having the Defect corrected, and the Contractor will pay this amount.

#### D. COST CONTROL

#### 33. BILL OF QUANTITIES (BOQ)

- 33.1 The BOQ shall contain items for the construction, installation, testing, and commissioning work to be done by the Contractor.
- 33.2 The BOQ is used to calculate the Contract Price. The Contractor is paid for the quantity of the work done at the rate in the BOQ for each item.

#### 34. VARIATIONS

- 34.1 The Employer shall have power to order the Contractor to do any or all of the following as considered necessary or advisable during the progress of the work by him
  - (a) Increase or decrease of any item of work included in the Bill of Quantities (BOQ);



- (b) Omit any item of work;
- (c) Change the character or quality or kind of any item of work;
- (d) Change the levels, lines, positions and dimensions of any part of the work;
- (e) Execute additional items of work of any kind necessary for the completion of the works; and
- (f) Change in any specified sequence, methods or timing of construction of any part of the work.
- 34.2 The Contractor shall be bound to carry out the work in accordance with any instructions in this connection, which may be given to him in writing by the Employer and such alteration shall not vitiate or invalidate the contract.
- 34.3 Variations shall not be made by the Contractor without an order in writing by the Employer, provided that no order in writing shall be required for increase or decrease in the quantity of an item appearing in the BOQ so long as the work executed conforms to the approved drawings.
- 34.4 The Contractor shall promptly request in writing to the Employer to confirm verbal orders and the officer issuing oral instructions shall confirm it in writing within 30 days of request, failing which the work shall be carried out as through there is no variation. In case variation is approved it shall be accompanied with BOQ, failing which the contractor shall be responsible for deviation if any.

#### 35. PAYMENTS FOR VARIATIONS

35.1 For the details of payment for variation, please refer clause 35/CC of Section - 07

#### 36. SUBMISSION OF BILLS FOR PAYMENT

- 36.1 The Contractor shall submit to the Employer monthly bills of the value of the work completed less the cumulative amount paid previously.
- 36.2 The Employer shall check the Contractor's bill and determine the value of the work executed which shall comprise of (i) value of the quantities of the items in the BOQ completed and (ii) valuation of Variations and Compensation Events.
- 36.3 The Employer may exclude any item paid in a previous bill or reduce the proportion of any item previously paid in the light of later information.

#### 37. PAYMENTS

37.1 Payments shall be adjusted for deductions for advance payments other than recoveries in terms of contract and taxes, at source as applicable under law. The Employer shall pay the



Contractor within 60 days of submission of bill. The Contractor shall be liable to pay liquidated damages for shortfall in progress.

37.2 Items of the Works for which no rate or price has been entered in will not be paid for by the Employer and shall be deemed covered by other rates and prices in the Contract.

#### 38. COMPENSATION EVENTS:

- 38.1 The following are Compensation Events unless they are caused by the Contractor:
  - (a) The Employer instructs the Contractor to uncover or to carry out additional tests upon work which is then found to have no Defects.
  - (b) The Employer gives an instruction for dealing with an unforeseen condition, caused by the Employer, or additional work required for safety or other reasons.
  - (c) The effect on the Contractor of any of the Employer's Risks.
  - (d) Other Compensation Events listed in the Contract Data or mentioned in the Contract.
- 38.2 If a Compensation Event would cause additional cost or would prevent the work being completed before the Intended Completion Date, the Contract Price shall be increased and/or the Intended Completion Date is extended. The Employer shall decide whether and by how much the Contract Price shall be increased and whether and by how much the Intended Completion Date shall be extended.
- 38.3 As soon as information demonstrating the effect of each Compensation event upon the Contractor's forecast cost has been provided by the Contractor, it is to be assessed by the Employer and the Contract Price shall be adjusted accordingly. If the Contractor's forecast is deemed unreasonable, the Employer shall adjust the Contract Price based on Employer's own forecast. The Employer will assume that the Contractor will react competently and promptly to the event.
- 38.4 The Contractor shall not be entitled to compensation to the extent that the Employer's interests are adversely affected by the Contractor not having given early warning or not having cooperated with the Employer.

#### 39. TAX

39.1 The rates quoted by the Contractor shall be deemed to be inclusive of the sales, GST and other taxes that the Contractor will have to pay for the performance of this Contract. The Employer will perform such duties in regard to the deduction of such taxes at source as per applicable law.

#### 40. PRICE ADJUSTMENT:

#### CHANGE IN COSTS - PRICE ADJUSTMENT PRICE ADJUSTMENT CLAUSE FOR WORKS CONTRACTS



Price variation clause is applicable in the instant tender. Contract prices hall be adjusted for increase or decrease in rates and prices of labour, materials, fuels and lubricants in accordance with the following principles and procedures and as per formula given here under.

(a) The price Adjustment shall apply for the work done from the date of commencement up to the end of original period of completion and shall not apply to work carried out beyond the stipulated period of completion for reasons attributable to the Contractor.

(b) The Price adjustment shall be determined during each quarter from the formula given in contract data.

(c) Following expression and meanings are assigned to the work done during the quarter:

R - Total value of work done during the quarter. It would include the amount of secured advance for materials paid for (if any) during the quarter, less the amount of the secured advance recovered during the quarter. It will exclude value for works executed under variations for which price adjustment (if any) will be worked out separately based on the terms mutually agreed.

(d) To the extent that full compensation for any rise or fall in costs to the contractor is not covered by the provisions of this or other Clauses in the Contract, the unit rates included in the contract shall be deemed to include amounts to cover the contingency of such other rise or fall in costs.

#### 41. LIQUIDATED DAMAGES

- 41.1 The Contractor shall pay liquidated damages to the Employer at the rate per day stated in the Contract Data for each day that the Completion Date is later than the Intended Completion Date (for the whole of the works or the milestone as stated in the Contract Data). The total amount of liquidated damages shall not exceed the amount defined in the Contract Data. The Employer may deduct liquidated damages from payments due to the Contractor. Payment of liquidated damages does not affect the Contractor's liabilities.
- 41.2 If the Intended Completion Date is extended after liquidated damages have been paid, the Employer shall correct any overpayment of liquidated damages by the Contractor by adjusting the next payment of bill.

#### 42. ADVANCE PAYMENTS:

42.1 The Employer shall make payment to the Contractor of the amounts stated in the Contract Data by the date stated in the Contract Data, against provision by the Contractor of an unconditional bank guarantee in a form acceptable to the Employer issued by a Nationalized/Scheduled Bank in amounts equal to the advance payment. The guarantee shall remain effective until the advance payment has been repaid, but the amount of the guarantee shall be progressively reduced by the amounts repaid by the Contractor. The advance payments shall be repaid with prevailing bank interest.



- 42.2 The Contractor is to use the advance payment only to pay for Mobilization expenses required specifically for execution of the Works. The Contractor shall demonstrate that advance payment has been used in this way by supplying copies of invoices or other documents to the Employer
- 42.3 The advance payment shall be repaid by deducting proportionate amounts from payments otherwise due to the Contractor, following the schedule of completed percentages of the Works on a payment basis. No account shall be taken of the advance payment or its repayment in assessing valuation of the work done, variations, price adjustments, compensation events or liquidated damages

#### 43. SECURITIES:

43.1 The Performance Security (including additional security for unbalanced tenders) shall be provided to the Employer no later than the date specified in the Letter of Acceptance and shall be issued in an amount and form and type of instrument acceptable to the Employer. The Performance Security as indicated in the contract data shall be valid until a date 30 days from the date of expiry of Defects Liability Period and the additional security for unbalanced tenders shall be valid until a date 30 days from the date of issue of the certificate of completion.

#### 44. COST OF REPAIRS:

44.1 Loss or damage to the Works or Materials to be incorporated in the Works between the Start Date and the end of the Defects Correction periods shall be remedied by the Contractor at the Contractor's cost if the loss or damage arises from the Contractor's acts or omissions.

#### E. FINISHING THE CONTRACT

#### 45. COMPLETION

45.1 The Contractor shall request the Employer to issue a Certificate of Completion of the Works and the Employer will do so upon deciding that the Work is completed.

#### 46. TAKING OVER

46.1 The Employer shall take over the Site and the Works within seven days of issuing a certificate of Completion.

#### 47. FINAL ACCOUNT

47.1 The Contractor shall supply to the Employer a detailed account of the total amount that the Contractor considers payable under the Contract before the end of the Defects Liability Period. The Employer shall issue a Defect Liability Certificate and certify any final payment that is due to the Contractor within 90 days of receiving the Contractor's account if it is correct and complete. If it is not, the Employer shall issue within 90 days a schedule that states the scope of the corrections or additions that are necessary. If the Final Account is still unsatisfactory after



it has been resubmitted, the Employer shall decide on the amount payable to the Contractor and make payment within 60 days of receiving the Contractor's revised account.

### 48. AS BUILT DRAWINGS AND /OR OPERATING AND MAINTENANCE MANUALS

- 48.1 If "As Built Drawings" (Completion Drawing) and/or operating and maintenance manuals are required, the Contractor shall supply them by the dates stated in the Contract Data.
- 48.2 If the Contractor does not supply the Drawings by the dates stated in the Contract Data, or they do not receive the Employer's approval, the Employer shall withhold the amount stated in the Contract Data from payments due to the Contractor.

### 49. TERMINATION

- 49.1 The Employer may terminate the Contract if the other party causes a fundamental breach of the Contract.
- 49.2 Fundamental breaches of Contract include, but shall not be limited to the following:
  - (a) the Contractor stops work for 45 days when no stoppage of work is shown on the current Program and the stoppage has not been authorized by the Employer;
  - (b) "DELETED"-
  - (c) The Contractor becomes bankrupt or goes into liquidation other than for a reconstruction or amalgamation;
  - (d) "DELETED"-
  - the Employer gives Notice that failure to correct a particular Defect is a fundamental breach of Contract and the Contractor fails to correct it within a reasonable period of time determined by the Employer;
  - (f) the Contractor does not maintain a security which is required;
  - (g) the Contractor has delayed the completion of works by the number of days for which the maximum amount of liquidated damages can be paid as defined in the Contract data; and
  - (h) if the Contractor, in the judgment of the Employer has engaged in corrupt or fraudulent practices in competing for or in the executing the Contract.

For the purpose of this paragraph: "corrupt practice" means the offering, giving, receiving or soliciting of anything of value to influence the action of a public official in the procurement process or in contract execution. "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the



execution of a contract to the detriment of the Borrower, and includes collusive practice among Tenderers (prior to or after Tender submission) designed to establish Tender prices at artificial non-competitive levels and to deprive the Borrower of the benefits of free and open competition."

- 49.3 When either party to the Contract gives notice of a breach of contract to the Employer for a cause other than those listed under Sub Clause 49.2 above, the Employer shall decide whether the breach is fundamental or not.
- 49.4 Notwithstanding the above, the Employer may terminate the Contract for convenience.
- 49.5 If the Contract is terminated the Contractor shall stop work immediately, make the Site safe and secure and leave the Site as soon as reasonably possible.

### 50. PAYMENT UPON TERMINATION

- 50.1 If the Contract is terminated because of a fundamental breach of Contract by the Contractor, the Employer shall prepare bill for the value of the work done less advance payments received up to the date of the bill, less other recoveries due in terms of the contract, less taxes due to be deducted at source as per applicable law and less the percentage to apply to the work not completed as indicated in the Contract Data. Additional Liquidated Damages shall not apply. If the total amount due to the Employer exceeds any payment due to the Contractor, the difference shall be a debt payable to the Employer.
- 50.2 If the Contract is terminated at the Employer's convenience, the Employer shall prepare bill for the value of the work done, the reasonable cost of removal of Equipment, repatriation of the Contractor's personnel employed solely on the Works, and the Contractor's costs of protecting and securing the Works and less advance payments received up to the date of the certificate, less other recoveries due in terms of the contract, and less taxes due to be deducted at source as per applicable law and make payment accordingly.

#### 51. PROPERTY

51.1 All materials on the Site, Plant, Equipment, Temporary Works and Works are deemed to be the property of the Employer, if the Contract is terminated because of a contractor's default.

#### 52. RELEASE FROM PERFORMANCE

52.1 If the Contract is frustrated by any event entirely outside the control of either the Employer or the Contractor the Employer shall certify that the Contract has been frustrated. The Contractor shall make the Site safe and stop work as quickly as possible after receiving this certificate and shall be paid for all work carried out before receiving it and for any work carried out afterwards to which commitment was made.



### F. SPECIAL CONDITIONS OF CONTRACT

### 1. LABOUR :

The Contractor shall, unless otherwise provided in the Contract, make his own arrangements for the engagement of all staff and labour, local or other, and for their payment, housing, feeding and transport.

The Contractor shall, if required by the Employer, deliver to the Employer a return in detail, in such form and at such intervals as the Employer may prescribe, showing the staff and the numbers of the several classes of labour from time to time employed by the Contractor on the Site and such other information as the Employer may require.

### 2. COMPLIANCE WITH LABOUR REGULATIONS:

During continuance of the Contract, the Contractor and his sub-contractors shall abide at all times by all existing labour enactments and rules made there under, regulations, notifications and bye laws of the State or Central Government or local authority and any other labour law (including rules), regulations, bye laws that may be passed or notification that may be issued under any labour law in future either by the State or the Central Government or the local authority. The Contractor shall keep the Employer indemnified in case any action is taken against the Employer by the competent authority on account of contravention of any of the provisions of any Act or rules made there under, regulations or notifications including amendments. If the Employer is caused to pay or reimburse, such amounts as may be necessary to cause or observe, or for non-observance of the provisions stipulated in the notifications/bye laws/Acts/Rules/regulations including amendments, if any, on the part of the Contractor, Employer shall have the right to deduct any money due to the Contractor including his amount of security deposit. The Employer shall also have right to recover from the Contractor any sum required or estimated to be required for making good the loss or damage suffered by the Employer.

The employees of the Contractor and the Sub-Contractor in no case shall be treated as the employees of the Employer at any point of time.

### 3. **PROTECTION OF ENVIRONMENT:**

The Contractor shall take all reasonable steps to protect the environment on and off the Site and to avoid damage or nuisance to persons or to property of the public or others resulting from pollution, noise or other causes arising as a consequence of his methods of operation. During continuance of the contract, the Contractor and his sub-contractors shall abide at all times by all existing enactments on environmental protection and rules made there under, regulations, notifications and bye-laws of the State or Central Government, or local authorities and any other law, bye-law, regulations that may be passed or notification that may be issued in this respect in future by the State or Central Government or the local authority.



### 4. CLAIMS, DISPUTES AND ARBITRATION

#### 4.1 Contractor's Claims

If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall give notice to the Engineer, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.

If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Employer shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clause shall apply.

The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.

The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Engineer. Without admitting the Employer's liability, the Engineer may, after receiving any notice under this Sub-Clause, monitor the record-keeping and/or instruct the Contractor to keep and provide further contemporary records. The Contractor shall permit the Engineer to inspect all these records, and shall (if instructed) submit copies to the Engineer.

Within 45 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Engineer, the Contractor shall send to the Engineer a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:

- (a) this fully detailed claim shall be considered as interim;
- (b) the Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Engineer may reasonably require; and
- (c) the Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Engineer.

Within 45 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Engineer and approved by the Contractor, the Engineer shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall nevertheless give his response on the principles of the claim within such fixed period of time.

Each Payment Certificate shall include such amounts for any claim as have been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall



only be entitled to payment for such part of the claim as he has been able to substantiate.

The Engineer shall proceed in accordance with Sub-Clause: [Determinations] to agree or determine (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with Sub-Clause: [Extension of Time for Completion], and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.

The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub-Clause.

### 4.2 Amicable Settlement

In case any dispute between the Engineer and the Contractor for which claim has already been made by the contractor, remains unresolved, the Contractor shall, then, give notice of dissatisfaction and intention to commence arbitration to the Employer duly specifying the subject of the dispute or difference as also the amount of claim item wise. The Parties shall make attempts to settle the dispute amicably before the commencement of arbitration as per procedure set by K-RIDE. However, unless both Parties agree otherwise, demand for arbitration may be made by the Contractor after ninety days from the day on which a notice of dissatisfaction and intention to commence arbitration was given, even if no attempt at amicable settlement has been made.

### Procedure for Amicable Settlement in contracts

- 1. Amicable Settlement Committee at senior management level shall make an attempt to resolve the issues/disputes within 90 days of request by the Contractor.
- 2. The committee shall comprise of the following: -
  - (i) GM /K-RIDE directly in-charge of the project;
  - (ii) Concerned finance officer, and
  - (iii) GM /K-RIDE (in the same order) directly in-charge of the project of other discipline(s) in case the issues involve other discipline(s) of the engineering
- 3. Whenever the Contractor submits a request for amicable settlement, MD/K-RIDE should forward the same to concerned GM /K-RIDE (in the same order) directly in-charge of the project. GM /K-RIDE on receipt of the same shall issue a note to the concerned finance officer and concerned GM/K-RIDE of other discipline in case the issues involved other discipline(s) of engineering, about the request for amicable settlement to be dealt by them and fix a date in consultation with them for a hearing. The date should then be communicated to the MD/K-RIDE, GM/ /K-RIDE of other department (if the issues involved their department) and Contractor for presenting their case before the Amicable Settlement Committee.
- 4. This being an additional workload like arbitration, the Committee members shall be paid fee by K-RIDE at the rates payable to the Arbitrators of K-RIDE.



### 4.3 Arbitration

Any dispute, in respect of which amicable settlement has not been reached, arising between the Employer and the Domestic or Foreign Contractor related to any matter arising out of or connected with this contract, then the contractor shall be entitled to demand in writing that the dispute or difference be referred to arbitration.

Only such dispute(s) or difference(s) in respect of which the demand had been made for amicable settlement under GCC 4.2 but could not be settled, shall be referred to arbitration subject to the condition that cumulative amount of claims in the Contract is not exceeding 20% of the Contract price. In case the cumulative amount of claims exceeds 20% of the Contract price, arbitration clause will not be applicable.

The Arbitration proceedings shall commence from the day, a written and duly quantified demand for arbitration is received by Managing Director, Rail Infrastructure Development Company (Karnataka) Limited, Bangalore /K-RIDE).

The disputes so referred to arbitration shall be settled in accordance with the Indian Arbitration & Conciliation Act, 1996 and any statutory modification or re-enactment thereof.

Any dispute or difference or claim arising out of, or in connection with, or relating to the present contract or the breach, termination or invalidity thereof shall be referred and settled under the Arbitration Centre – Karnataka (Domestic and International) Rules 2012, by one or more arbitrators appointed in accordance with its rules.

Further, it is agreed between the Parties as under.

### 4.3.1 Number of Arbitrators: The arbitral tribunal shall consist of three arbitrators.

### 4.3.2 Procedure for Appointment of Arbitrators: The arbitrators shall be appointed as per following procedure:

- (a) The Contractor, while invoking demand for arbitration, shall submit to MD/K-RIDE, claims duly quantified along with name and contact details of his nominee arbitrator. Thereafter, the Employer will nominate his nominee arbitrator within a period of 30 days from receipt of such demand from the Contractor and will issue letter of appointment to both the arbitrators appointed by the Parties with a copy of the same given to the Contractor.
- (b) The third Arbitrator shall be chosen by the two Arbitrators so appointed by the Parties and shall act as Presiding Arbitrator. In case of failure of the two Arbitrators appointed by the Parties to reach a consensus within a period of 30 days from the appointment of the said Arbitrators, then, upon the request of either or both Parties, the Presiding Arbitrator shall be appointed by the Managing Director, Rail Infrastructure Development Company (Karnataka) Limited, Bangalore.



- (c) If one or more of the arbitrators appointed as above refuses to act as arbitrator, withdraws from his office as arbitrator, or vacates his/their office/offices or is/are unable or unwilling to perform his functions as arbitrator for any reason whatsoever or dies or in the opinion of the concerned GM/K-RIDE fails to act without undue delay, the MD/K-RIDE shall appoint new arbitrator/arbitrators to act in his/their place except in case of new Presiding Arbitrator who shall be chosen following the same procedure as mentioned in para (b) above. Such reconstituted Tribunal may, at its discretion, proceed with the reference from the stage at which it was left by the previous arbitrator(s).
- **4.3.3** Qualification and Experience of Arbitrators (to be appointed as per sub-clause 4.3.2 above): The contract being of specialized nature requiring knowledge and experience of dealing with construction contracts, the arbitrators to be appointed shall have minimum qualification and experience as under:

Arbitrator shall be;

a working/retired officer (not below E-9 grade and above in a PSU with which K-RIDE has no business relationship) of any discipline of Engineering or Accounts/Finance department, having experience in Contract Management of construction contracts; or

a retired officer (retired not below the HAG level) of any Engineering/Accounts Services of Central Government, having experience in Contract Management of construction contracts; or a retired officer who should have retired more than 3 years previously from the date of appointment as Arbitrator (retired not below E-9 grade in K-RIDE or a PSU with which K-RIDE has a business relationship) of any Engineering discipline or Accounts department, having experience in Contract Management of construction contracts.

**4.3.4** No person other than the persons appointed as per above procedure and having above qualification and experience shall act as Arbitrator. In case any person having the qualification and experience other than that mentioned above is nominated as arbitrator, the arbitration clause shall cease to exist and shall not be applicable.

No new claim, except as otherwise mutually agreed by the Parties, shall be added during proceedings by either Party. However, a Party may amend or supplement the original claim or defence thereof during the course of arbitration proceedings subject to acceptance by Tribunal having due regard to the delay in making it.

- **4.3.5** Neither Party shall be limited in the proceedings before such arbitrators to the evidence nor the arguments previously put before during amicable settlement.
- **4.3.6** The reference to arbitration may proceed, notwithstanding that the Works shall not then be or be alleged to be complete, provided always that the obligations of the Employer, the Engineer and the Contractor shall not be altered by the reason of the arbitration being conducted during the progress of the Works. Neither Party shall be entitled to suspend the Works, nor shall payment to



the Contractor be withheld on account of such proceedings

- **4.3.7** If the contractor(s) does/do not prefer his/their specific and final claims in writing, within a period of 90 days of receiving the intimation from the Employer/Engineer that the final bill is ready for signature of the Contractor(s), he/they will be deemed to have waived his/their claim(s) and the Employer shall be discharged and released of all liabilities under the contract in respect of these claims.
- **4.3.8** Arbitration proceedings shall be held at Bangalore, India or at a place where GM(CIVIL)/**K**-**RIDE**'s (dealing the contract) office is located, and the language of the arbitration proceedings and that of all documents and communications between the Parties shall be in English.
- **4.3.9** The Arbitral Tribunal should record day to day proceedings. The proceedings shall normally be conducted on the basis of documents and written statements.

All arbitration awards shall be in writing and shall state item wise, the sum and detailed reasons upon which it is based.

**4.3.10** Any ruling on award shall be made by a majority of members of Arbitral Tribunal. In the absence of such a majority, the views of the Presiding Arbitrator shall prevail.

A Party may apply for correction of any computational errors, any typographical or clerical errors or any other error of similar nature occurring in the award of a tribunal and interpretation of specific point of award to tribunal within 60 days of the receipt of award.

A Party may apply to Arbitral tribunal within 60 days of receipt of award to make an additional award as to claims presented in the arbitral proceedings but omitted from the arbitral award.

- **4.3.11** Where the Arbitral award is for the payment of money, no interest shall be payable on whole or any part of the money for any period till the date on which the award is made.
- **4.3.12** The fees and other charges of the conciliator/arbitrators shall be as per the fee structure fixed by the Employer as amended from time to time irrespective of the fact whether the Arbitrator(s) is/are appointed by the Parties or by the Court of law unless specifically directed by Hon'ble Court otherwise on the matter, and shall be shared equally by the Employer and the Contractor. However, the expenses incurred by each Party in connection with the preparation, presentation will be borne by itself.
- **4.3.13** Performance under the contract shall continue during the arbitration proceedings and payments due the Contractor by the Employer shall not be withheld, unless they are the subject matter of the arbitration proceeding.

### 4.3.14 Excepted matters:

The following are the list of excepted matters in arbitration.

- a. Assistance by Employer for the Stores to be obtained by the Contractor.
- b. Illegal Gratification.



- c. Meaning and intent of specifications and Drawings.
- d. Rates for Non-tendered items of works.
- e. Signing of "No claim Certificate"
- f. Measurement of works.
- g. Provisions of Payment of Wages Act 1936.
- h. Provisions of Contract Labour (Regulation and Abolition) Act, 1970.
- i. Provisions of Employees Compensation Act 1923.
- j. Provisions of Mines Act 1952.
- k. Right of Employer to determine the Contract
- I. Payment on determination of Contract by Employer.

### 5. JURISDICTION OF COURTS

The Contract Agreement shall be subject to exclusive jurisdiction of Courts as indicated in the Contract Data. The **Jurisdiction of Courts is Bengaluru, Karnataka** 

- 6. If K-RIDE wishes to engage third party consultants for quality control assessment, apart from the K-RIDE quality control and field tests, the Contractor should co-operate with both Quality control authorities and the third party.
- 7. Defect liability period will be ONE Year from the date of commercial operations of the Section/Corridor.
- 8. Royalty Charges shall be recovered as per the prevailing rates by the Department of Mines & Geology, if not paid by the Contractor.
- 9. As per GO No. CD/300/ LET/ 2006: Dated 18-12-2007, 1% cess will be deducted from the bill as per labour welfare act.
- 10. All the works are to be carried out as per the Standard specification Issued from time to time.

### 11 RECOVERY OF ROYALTY CHARGES:

**11.1.** Royalty charges / seigniorage on supply of Contractor's own earth, ballast, moorum and blanketing as fixed by the respective State Government (Karnataka, Tamil Nadu), as the case may be) as prevailing on the date of opening of tender as per extant notification of respective State Government will be recovered by the Railway from the Contractors through on account and final bills and will be remitted to the State Government.



The rates quoted by the tenderer shall be inclusive of these charges. However, no royalty charges/fee shall be recovered from the bills of the contractor, if the contractor produces documentary evidence e.g., transit pass/Mineral Dispatch Permit issued by State Government Officials in token of having paid royalty fee. In such cases, the genuineness of such documentary evidence produced along with proof of payment of royalty charges, shall be got verified by the K-RIDE from the website/online portal of the concerned Mining and Geology Department.

In States where the system of online verification of Mineral Despatch Permit has been introduced by DoMG, the procedure to be adopted is as follows: On production of the original Mineral Despatch Permit by the contractor towards payment of Royalty charges, PMC shall verify the authenticity of the documents submitted by following the procedure mentioned on the reverse side of the Mineral Despatch Permit and then submit, a certified extract of the quantity as per the "Mineral Despatch Permit" issued by the Department of Mines & Geology along with the CC/final bill.

AM/Executive/concerned of KRIDE shall check the correctness of the extract prepared by PMC randomly to the extent of minimum 10% of the total MDPs submitted. Project Manager of PMC shall submit a certificate to the effect that quantity of minerals supplied for which payment is proposed in CC bill/final bill is supported by the original Mineral Despatch Permits and genuineness of which is verified from the DoMG portal by in-charge field officials of PMC, and certificate is forwarded to KRIDE finance to pass the CC/FCC bills without effecting the recovery of Royalty charges.

In States where the system of online verification of Mineral Despatch Permit has not been introduced by the concerned DoMG, the system of obtaining written confirmation from the Department may be followed. Any instructions issued by Department of Mines & Geology from time to time shall become applicable automatically in addition to the conditions contained herein.

### 11.2 Increase in Royalty charges during currency of contract:

## (a) <u>When Royalty charges are recovered from contractors CC/Final bills and remitted to Mining</u> <u>department (of the concerned State Government) by K-RIDE:</u>

The increased amount will be recovered by the K-RIDE from the contractors "on account" and "final bills" and remitted to the State Government on receipt of the State Government orders to that effect. However, **the K-RIDE shall reimburse the additional liability to the contractor**, provided that the work executed falls in the original completion period of the work or in the extended period granted on administrative grounds i.e., 26.5 of PCC. As such, claims regarding reimbursement due to increase in seigniorage charges shall not be payable for work executed in the extended period granted on contractor's account under clause 26.8 of PCC.

### (b) When royalty is paid directly by the contractor to Mining department:

In such cases, the increase in royalty charges over and above that prevailing on the date of tender opening, shall be reimbursed to the contractor on production of documentary proof of payment of



royalty at such increased rate. However, no reimbursement shall be made for such cases where time extension has been granted under clause 26.8 of PCC on contractor's account.

### 11.3 Decrease in Royalty charges during currency of the contract:

### (a) <u>When Royalty is recovered from contractors CC/Final bills and remitted to Mining department (of the concerned State Government) by K-RIDE:</u>

The recovery of Royalty charges from the contracts "on account" and "final bills" will be made as per the rate prevailing as on the date of Tender Opening but not at the reduced rate. However, remittance of royalty to the Mining Department shall be made as per the reduced rate and the benefit of reduction in rates shall be passed on to K-RIDE.

### (b) When Royalty is being paid directly by the contractor to Mining department:

The difference in the rate of royalty will be recovered from the contractors CC/Final bills and shall be retained by the Railways. A register shall be maintained by Project Management Consultant (PMC) concerned in which the entries should be made regarding the documentary evidence i.e., Serial No. of "transit passes" issued by concerned authority showing proof of payment of royalty charges, for each bill. Relevant entries shall also be made on receipt of verified document from Mines & Geology department of State Government against the particular bill and "Transit pass". The verified "Transit passes" shall be scored out with cross mark with an endorsement "Accounted against CC/Final Bill No.\_\_\_\_\_ dated \_\_\_\_\_ for Agreement No.\_\_\_\_\_". These passes shall be kept on record for subsequent verification till closure of the contract. The register should be page numbered and one page allotted to one contract. The reference where the verified, "Transit pass" is filed shall also be made on the register.

## 12 SAFETY PRECAUTIONS AT WORK SITE AND MEASURES TO BE OBSERVED DURING EXECUTION OF ENGINEERING WORKS:

12.1 1) All precautions to ensure safety of workmen must be taken while unloading and leading the materials during execution of work. Traffic rules should be strictly followed and the contractor should indemnify the Railway against any claim due to accidents and unforeseen incidents.

2) The contractor must ensure the safety of labourers engaged by him while crossing the track during the course of execution of work and the Railway will not be responsible for any injury sustained by the labourer or for any fatal accident. The contractor should bear all the loss and expenditure involved. Wherever necessary he should also provide necessary look out men. 3) The work should be carried out without any interference to the normal working of the Railway track and structures. The contractor will be held responsible for any loss or damage or injury caused during the course of work to the labourer



or to the public/private person or to the Railway/ Public/private property and the contractor should bear all the loss and expenditure involved.

4) Wherever work is to be executed close to any running railway lines or roads or buildings or public passage, the Contractor shall ensure proper protection of public, railway/public property. He shall also ensure all special precautions as provided in this tender.

5) The contractor shall be responsible for anti-larval work at his cost during progress of works as may be prescribed by the Engineer on the advice of the Railway or any Government Medical authority and where use of insecticides are involved, it shall be done in accordance with the provisions of the act and rules in this behalf at the cost of contractor, who shall also be solely responsible for any acts or omissions under the provision of the aforesaid rules.

6) The Contractor shall ensure that necessary sanitary facilities are provided by the Contractor for their labour and where they fail to do so notice shall be given to the Contractor that the same will be provided by the KRIDE at their cost and recovery shall be made from their bills.

7) Where contractor avails existing sanitary arrangements of the Railways, charges as decided by Railway from time to time is recoverable from the contractor.

12.2 The contractor shall arrange to obtain permission direct from the State Government or local authorities concerned for using Forest, PWD or Panchayat roads. The rates tendered shall be inclusive of any cess, tax or any other charges payable to the authorities concerned.

12.3 The contractor shall make his own arrangements for obtaining the license for any explosives, as may be necessary, for procurement, transportation, storage and use of the same. All possible assistance will be given by the KRIDE, should there be any difficulties in obtaining the license etc. However, any failure shall not form the basis for any claim by the contractor against the KRIDE or for additional payment for the work.

12.4 In case of use of explosives for blasting the contractor shall strictly abide by the Indian Explosive Act, the Rules and Regulations framed there under in carrying out the work, shall observe all the provisions of the Indian Mine Act and the metalliferous mines regulations and rules there under as well as any other Act and Rules, as may be enacted and laid down by the State and Central Government from time to time, for such work.

12.5 The contractor will be held responsible for any loss/damage/injury caused during explosion to the labourers or to the public/private persons or to Railway/Public/Private property and the contractor should bear all the loss/expenditure thereby involved.

12.6 The contractor shall be fully responsible for ensuring safety at all times and shall bear the cost of all damages in cases of accidents/unusual occurrences resulting in damages to Railway property and passengers. Any breach of the safety conditions for precautions and measures as specified hereunder



and/or elsewhere in the tender document by the contractor and/or his agents/representatives affecting the safety of movement of trains, engines, or other rolling stock of the Railway, shall constitute a breach of contract by the contractor leading to termination of contract for default on the part of the contractor including recovery of damages.

12.7 The works required to be done under traffic block shall be carried out only in the presence of KRIDE/PMC officials. The Railway/KRIDE/PMC supervisor shall certify safe conditions for passage of trains before resumption of traffic. The works to be done under traffic Block shall be carried out under the provision of banner flag and protection of engineering flagman.

12.8 Safe practices at all times and non-infringement to moving trains shall be ensured. Road vehicles, material trolleys, dollies etc., which may have tendency to roll off towards the running lines shall be checked by providing chains, locking arrangements, blocks etc. Site in-charge of the contractor shall be primarily responsible.

12.9 All equipment like cranes, lifting jack etc., shall be tested, duly calibrated and certified prior to use at construction site. They shall also have specific indications conforming that the operators handling them are trained in the safety precautions near track.

12.10 Construction workers at site shall be provided with personal safety gear like reflective vest, helmet, leather shoes, gloves, eye-wear etc., as approved as per construction industry standards. For persons working at pier top/girder level, temporary supports, hand railing etc., protection with help of ropes, slings and temporary railings shall be provided.

12.11 All locations, where construction activity is in progress adjacent to existing railway lines, should be cordoned off with proper barricades. Barricades consisting of bamboo/signalling poles and supported horizontally by similar bamboo/signalling poles should be provided. These barricades should be provided at a distance of approximately 3.5m from the centre line of track or as directed by the Engineer-incharge. All the barricades are to be painted or struck on with red luminous paint/strips at suitable intervals on the barricades. Barricade should be available at every stage of work as directed by the Engineer-in-charge and shall be maintained in perfect condition all the time.

12.12 Road vehicles employed by the contractor should have the certificate for its road worthiness and each vehicle numbered and the license particulars maintained. Contractors should ensure that the drivers permitted by them to work on such road vehicles are identified, counselled, certified and are provided with photo Identity cards. Wherever the work requires the movement of road vehicle within a distance of 3.5 to 6m from the centre line of the nearest track, such work shall be done only in the presence of KRIDE/PMC representative. The driver of the vehicle shall always face the track when reversing the vehicle and whenever he cannot face the track, for whatever reason, heshall be invariably assisted by a helper with a whistle who should guide him and ensure safety.



12.13 The contractor shall execute a Bond undertaking to ply the road vehicles in a safe and satisfactory manner and strictly in accordance with the stipulations and other conditions specified by the Engineer and to engage and retain only the permit holder to be the contractor's agent in charge of the vehicle while driving or at rest. The person in charge of the vehicles and the attendants shall, at all times, be vigilant and on the lookout for signals from the lookout men, flagmen or other personnel available at site with a view to stop or regulate the road movement so as to ensure adequate margin of safety for the timely passage of an approaching train or a Railway engine, without any delay or detention. The contractor shall also be bound by the provisions of this agreement to ply the road vehicles only with adequate margin of safety, well clear of the fixed structure profile of infringements, as stipulated in the rules made under the Indian Railways Act and to seek and be guided by the signals and other directions of any lookout men or other personnel retained for the purpose of ensuring safety and to ensure extra care and vigilance while turning, reversing or moving the road vehicles in any other manner at an inclination to the running railway track or the siding as the case may be. The contractor shall employ necessary look out men also at his own cost, irrespective of any other arrangement that railway may make in this regard.

12.14 All work sites shall be supervised by the contractor's representative and also a representative of the KRIDE/PMC. Whenever work of plying road vehicle within 6m zone is actually in progress, Look-out men should invariably be available. Lookout men will have to be provided by the contractor, from out of the list of persons who are authorised to carry out these duties. Authorisation will be issued to the individuals, by the representative of the Engineer-in-charge. K-RIDE/PMC supervisor will monitor the availability and alertness of the lookout men. In case of non-availability of lookout men, this KRIDE/PMC's supervisor shall stop further activities of plying of road vehicles. Even if no work is executed in the night, look-out men shall patrol the beat as identified by the representative of the construction organisation to ensure the safety of the running trains, especially from any infringement.

12.15 Working alongside the track during night hours is normally prohibited. Such work can be done in the night only with the written permission of the Engineer-in-charge. Where night working is permitted, lighting of the work site as required should be done.

12.16 The following activity of work shall be carried out under supervision of KRIDE/PMC engineer or his nominated supervisor: a) Excavation of foundation/Ground level near to Railway track. b) Concrete casting and/or masonry very close to Railway track. c) Erection of temporary structures near to running lines. d) Casting of structures like girder/slab over railway track. e) Stage-Pre-stressing of girder when placed across Railway tracks properly supported. f) Launching of precast/pre-assembled girders across Railway track g) Any work of lifting, side shifting and slewing of girders over the Railway track. h) Dismantling of temporary structures, shutters, scaffolding, etc. Adjacent and above the Railway track. i) Any track work/P&C work on the running line or adjoining to the running line. j) Platform/structures/FOB/building works adjacent or over the running lines.



12.17 For carrying out above activities, the contractor's engineer shall furnish the construction programme in advance to railway Supervisor/Engineer. No such work should be taken up in absence of the supervising railway engineer.

### 13 UNDERGROUND SIGNALING, ELECTRICAL & TELECOMMUNICATIONS CABLE:

13.1 During the course of execution of work if any underground/overhead or any other cable/OFC are damaged by the contractor or his labour etc., purely due to the default of the contractor, the cost of damage, as decided by the Railway Administration will be borne by the contractor. The default of the contractor will be decided as per the extant instructions in force.

13.2 The contractor shall take special precautions as per guidelines of Railway while carrying out works at location where there is likelihood of any underground cables/OFC etc., and the work shall not be carried out without the presence of authorised KRIDE/PMC/Railway Supervisor/staff deputed to supervise the work.

13.3 Before taking up any digging work, it is the responsibility of the contractor to get cable layout plan from Engineer-in-charge of the work and arrange to demarcate the same at the site.

### 14 CONTRACTOR'S VEHICLES, PLANT & MACHINERY ETC .:

14.1 Necessary permit/interstate permits for the movements of vehicles/Plant & machinery shall be arranged by the contractor.

14.2 Breakdown to transport vehicles, machinery etc., if any, will be on the contractor's account.

14.3 Accidents, if any, to his vehicles, Plant and Machinery or to persons would be the responsibility of the contractor and the Railway will not be responsible for the damage or compensation thereof.

### 15 USE OF CONTRACTORS VEHICLES, PLANT & MACHINERY ETC., FOR ACCIDENT RESTORATION WORKS:

15.1 The vehicle and equipment of contractors are liable to be drafted by Railway Administration in case of accidents / natural calamities involving human lives for speedy restoration work.

15.2 For payment purpose, this item will be operated as a Non-Schedule (NS) item, duly negotiating rates as per the conditions of contract.

15.3 Contractor/Tenderer shall furnish the details of vehicles /equipment available with them to keep a record of the same.

### 16 Special condition for disposal of construction and demolition waste:

In exercise of the powers conferred by sections 6, 25 of the Environment (Protection) Act, 1986 (29 of 1986), and in supersession of the Municipal Solid Wastes (Management and Handling) Rules, 2000, except as respect things done or omitted to be done before such supersession, the Central



Government notified the rules for Management of Construction and Demolition Waste by publishing in the Gazette of India Part-II Section 3 Sub-section-ii dt.29.03.2016. Being waste generator, rules indicated under Para 4 and as a service provider rule under Para 5 are to be followed Railways/KRIDE through agencies and same are reproduced as follows and any subsequent amendment thereof through notification by Government of India will be applicable in terms of Law of Governing of Contract.

(1) The tenderer shall remove all construction and demolition waste and clean the area every day, if possible, or depending upon the duration of the work, the quantity and type of waste generated, appropriate storage and collection, a reasonable timeframe shall be worked out in consultation with the concerned local authority.

(2) The tender shall prima-facie be responsible for collection, segregation of concrete, soil and others and storage of construction and demolition waste generated, as directed or notified by the concerned local authority in consonance with rules.

(3) The tenderer shall ensure that other waste (such as solid waste) does not get mixed with this waste and is stored and disposed separately.

(4) The tenderer who generate more than 20 tons or more in one day or 300 tons per project in a month shall segregate the waste into four streams such as concrete, soil, steel, wood and plastics, bricks and mortar and shall submit waste management plan and get appropriate approvals from the local authority before starting construction or demolition or remodeling work and keep the concerned authorities informed regarding the relevant activities from the planning stage to the implementation stage and this should be on project to project basis.

(5) The tenderer shall keep the construction and demolition waste within the premise or get the waste deposited at collection centre so made by the local body or handover it to the authorized processing facilities of construction and demolition waste; and ensure that there is no littering or deposition of construction and demolition waste so as to prevent obstruction to the traffic or the public or drains.

(6) The tenderer shall pay relevant charges for collection, transportation, processing and disposal as notified by the concerned authorities; Waste generators who generate more than 20 tons or more in one day or 300 tons per project in a month shall have to pay for the processing and disposal of construction and demolition waste generated by them, apart from the payment for storage, collection and transportation. The rate shall be fixed by the concerned local authority or any other authority designated by the State Government.

(7) In case of the tender have no logistics support to carry out the work, they shall tie up with the authorized agencies for removal of construction and demolition waste and pay the relevant charges as notified by the local authority.

**Note:** Tenderer is responsible for penal action imposed by responsible authority towards non implementation of above provisions.

YPR – CSDR – Collection of Ballast



# <u>SECTION – 6</u> CONTRACT DATA

**SECTION – 6: CONTRACT DATA** 



### INDEX

### Table of Contents

SL NO.	DESCRIPTION	PAGE NO.
1	MILE STONE DATES	
2	INSURANCE REQUIREMENT	124-127
3	PRICE ADJUSTMENT	



<b>SECTION 6: CONTRACT DATA</b>	
Items marked "N/A" do not apply in this Contract.	
The following documents are also part of the Contract:	Clause Reference
<ul> <li>The Methodology and Program of Construction</li> </ul>	(25 of GCC)
Site Investigation Reports	(14 of GCC)
<ul> <li>The Schedule of Key and Critical Equipment to be deployed on the work as per agreed program of construction.</li> </ul>	(3.3 of ITT, 25 GCC)
The Employer is: Name: K-RIDE Address: K-RIDE, Bangalore	(1.1 of GCC)
Name of authorized Representative:	K-RIDE

NAME OF WORK: Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yeshwanthapur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.

Tender No: K-R	RIDE/DL/1/2023
----------------	----------------

**Description of Work:** 

(1) Collection of Ballast

The start date shall be the date of issue of notice to proceed with the work. [1.1 of GCC]

The Intended Completion Date for the whole of the Works is **12 MONTHS INCLUDING MONSOON** with the following milestones

Date 27.01.2023



### **MILESTONES:**

Programme chart of the important activity is given as under. The works are to be completed as per the programme chart given as under

SI No	Description	1	2	3	4	5	6	7	8	9	10	11	12
1	Supply of Ballast on cess/formation			YPR	– HEB				HEB	- BP\	/L –" /	A" Pa	inel
2	Supply of Ballast on Depot						epot pply						)epot upply

### ii) The Defect Liability period is One year.

Insurance requirement is as below.

SI No.	Type of Cover	Minimum cover for Insurance <sup>36</sup>
(i)	Works and Plant and materials	The sum stated in the Agreement
(ii)	Loss or Theft or damage to equipment	Full replacement cost
(iii)	Loss or damage to property of Third Party	Full replacement cost
(iv)	Personal injury or death insurance	The Contractor to take appropriate policy in
	(a) for Third Party	accordance with the statutory requirements applicable to
	(b) for Contractor's employees or labour	Karnataka.

### PRICE ADJUSTMENT:

### **CHANGE IN COSTS - PRICE ADJUSTMENT**

### PRICE ADJUSTMENT CLAUSE FOR WORKS CONTRACTS: Refer Particular Conditions of Contract, Clause: Price Adjustment Clause

### Liquidated Damages:

For the purpose of this clause, the contract value of the works shall be taken as value of work as per contract agreement including any supplementary work order/contract agreement issued. Provided also. that the total amount of liquidated damages under this condition shall not exceed 10% of the contract



value or of the total value of the item or groups of items of work for which a separated distinct completion period is specified in the contract.

S.No.	Damage & Delay	Rate of Penalty		
(i)	For delay in achieving physical/Financial target as per the	0.01% of contract value for each		
	agreed programme	week or part of the week		
S.No.	Duration of extension of time under Clause 26.8	Rate of Penalty		
	of PCC			
(i)	Up to Twenty percent of original period of completion including period of extension of DOC granted under Section 26.5/26.6 of PCC	As decided by Engineer, between 0.01% to 0.10% of contract value for each week or part of the week		
(ii)	Above Twenty percent but upto Thirty percent of original period of completion including period of extension of DOC granted under Section 26.5/26.6 of PCC	0.20% of contract value for each week or part of the week		
(i)	Above Thirty percent but upto Forty percent of original period of completion including period of extension of DOC granted under Section 26.5/26.6 of PCC	0.30% of contract value for each week or part of the week		
(ii)	Above Forty percent of original period of completion including period of extension of DOC granted under Section 26.5/26.6 of PCC	0.50% of contract value for each week or part of the week		

Provided further, that if the employer is not satisfied that the works can be completed by the Contractor and in the event of failure on the part of the contractor to complete the work within further extension of time allowed as aforesaid, the employer shall be entitled without prejudice to any other right or remedy available in that behalf.

The amounts of the advance payment are:

[GCC 42]

Nature of Advance	Amount Rs.
Mobilization	NOT APPLICABLE IN THE TENDER

(The advance payment will be paid to the contractor no later than 30 days after fulfillment of the above condition.)

### Repayment of advance payment for mobilization:

The recovery of the Mobilization and Plant and Machinery Advances shall be made from each bill in equal monthly instalments commencing after 15% of contract value is billed and recovery to be completed within 85% of the contract value and the recovery shall be made at the rate 10% of the amount the Interim payment certificate until such time as Ioan has been repaid, always provided that the



loan shall be completely repaid prior to the expiry of the original time for completion pursuant to Clauses 17 and 26.

The contractor has contravened Sub-clause 7.1 and Clause 9 of Condition of contract.

The percentage to apply to the value of the work not completed representing the Employer's additional cost for completing the works shall be **30%** [GCC 50.1]

### Jurisdiction of Courts:

Jurisdiction of Courts is Bengaluru, Karnataka



# SECTION-7 PARTICULAR CONDITIONS OF CONTRACT (PCC)



**INDEX** 

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K-RIDK



### PARTICULAR CONDITIONS OF CONTRACT (PCC)

The following Particular Conditions of Contract (PCC) shall supplement the Conditions of Contract (CC)/GCC/SCC/Contract Data. Whenever there is a conflict, the provisions herein shall prevail over those in the CC/GCC/SCC/Contract Data. The conditions indicated in PCC will be on priority as compared to the conditions of CC/GCC/SCC/Contract Data.

CC/SCC REFERANCE CLAUSE	DESCRIPTION
Clause-1/CC	The following paras are added to the Existing CC Clauses.
Definitions	" <b>Contract Agreement</b> " The Parties shall enter into a Contract Agreement within 28 days after the Contractor receives the Letter of Acceptance, unless they agree otherwise.
	<b>"Letter of Acceptance</b> " means the letter of formal acceptance, signed by the Employer, of the Letter of Bid, including any annexed memoranda comprising agreements between and signed by both Parties. If there is no such letter of acceptance, the expression "Letter of Acceptance" means the Contract Agreement and the date of issuing or receiving the Letter of Acceptance means the date of signing the Contract Agreement.
	"Letter of Bid" means the document entitled letter of bid, which was completed by the Contractor and includes the signed offer to the Employer for the Works.
	<b>"Drawings"</b> means the drawings of the Works, as included in the <b>Contract</b> , and any additional and modified drawings issued by (or on behalf of) the Employer in accordance with the Contract.
	<b>"Schedules"</b> means the document(s) entitled schedules, completed by the Contractor and submitted with the Letter of Bid, as included in the Contract. Such document may include the Bill of Quantities, data, lists, and schedules of rates and/or prices.
	<b>"Bid/Tender"</b> means the Letter of Technical Bid <b>and</b> Letter of Price Bid and all other documents which the Contractor submitted with the Letter of Technical Bid and Letter of Price Bid, as included in the Contract.
	" <b>Employer's Requirements</b> " means the document entitled 'Employer's Requirements' as part of Works Requirements and as included in the Contract, and any additions and modifications to such document in accordance with the Contract. Such document specifies the purpose, scope, and/or design and/or other technical criteria, for the works.
	Parties and Persons
	"Party" means the Employer or the Contractor, as the context requires.
	<b>"Engineer"</b> means the person nominated by the Employer to act as the Engineer for the purposes of the Contract and named in the Contract Data, or other person appointed from time to time by the Employer and notified to the Contractor under New-Clause 3.4 [Replacement of the Engineer]. The person nominated to act as an engineer may be an employee of Rail Infrastructure Development Company (Karnataka) Ltd (K-RIDE) or an employee of a <b>Project Management Consultancy</b> firm engaged by K-RIDE for project



management as per the discretion of the Employer.

" <b>Contractor's Representative</b> " means the person named by the Contractor in Contract or appointed from time to time by the Contractor under New-Clause [Contractor's Representative], who acts on behalf of the Contractor.	
" <b>Employer's Representative</b> " means the person named by the Employer in the Cont or appointed from time to time by the Employer who acts on behalf of the Employer.	tract
" <b>Employer's Personnel</b> " means the Engineer, the assistants referred to in New-Cla 3.2 [Delegation by the Engineer] and all other staff, labour and other employees of Engineer and of the Employer; and any other personnel notified to the Contractor, by Employer or the Engineer, as Employer's Personnel.	the
" <b>Contractor's Personnel</b> " means the Contractor's Representative and all perso whom the Contractor utilises on Site, who may include the staff, labour and c employees of the Contractor and of each Subcontractor; and any other perso assisting the Contractor in the execution of the Works.	ther
"Base Date" means the date 28 days prior to the deadline for submission of bids.	
<b>"Tests on Completion"</b> means the tests which are specified in the Contract or agree both Parties or instructed as a Variation, and which are carried out under Clause 30 [T on Completion] before the Works or a Section (as the case may be) are taken over by Employer.	ests
"Day" means any (working or non-working) calendar day from 00:00 hrs. to 24:00 hrs.	
<b>"Time</b> Periods" Any reference to time period commencing "from" the specified day or "till" or "until" a specified day shall include both such days.	date
Any reference to "Time" shall be according to Indian Standard Time (IST).	
Money and Payments	
"Accepted Contract Amount" means the amount accepted in the Letter of Acceptate for the execution and completion of the Works and the remedying of any defects. In Letter of Acceptance, the Accepted Contract Amount shall have two components i.e the base amount excluding GST (ii) GST component (calculated at the rate for w contract service as per GST Laws).	the e. (i)
" <b>Cost</b> " means all expenditure reasonably incurred (or to be incurred) by the Contra whether on or off the Site, including overhead and similar charges, but does not inc profit.	
"Final Statement" means the statement defined in Sub-Clause 37.12 [Application Final Payment Certificate].	n for
"Foreign Currency" means a currency in which part (or all) of the Contract Pric payable, but not the Local Currency.	e is



"Local Currency" means the currency in Indian Rupees.
" <b>Statement</b> " means a statement submitted by the Contractor as part of an application, under Clause 37 and 40 [Contract Price and Payment], for a payment certificate
Works and Goods
"Contractor's Equipment" means all apparatus, machinery, vehicles and other things required for the execution and completion of the Works and the remedying of any defects. However, Contractor's Equipment excludes Temporary Works, Employer's Equipment (if any), Plant, Materials and any other things intended to form or forming part of the Permanent Works.
"Goods" means Contractor's Equipment, Materials, Plant and Temporary Works, or any of them as appropriate.
"Permanent Works" means the permanent works to be executed by the Contractor under the Contract.
"Section" means a part of the Works specified in the Contract Data as a Section (if any).
" <b>Temporary Works</b> " means all temporary works of every kind (other than Contractor's Equipment) required on Site for the execution and completion of the Permanent Works and the remedying of any defects.
Other Definitions
"Contractor's Documents" means the calculations, computer programs and other software, drawings, manuals, models and other documents of a technical nature (if any) supplied by the Contractor under the Contract.
<b>"Country</b> " means India, the country in which the Site (or most of it) is located, where the Permanent Works are to be executed.
<b>"Employer's Equipment</b> " means the apparatus, machinery and vehicles (if any) made available by the Employer for the use of the Contractor in the execution of the Works, as stated in the Specification; but does not include Plant which has not been taken over by the Employer.
"Laws" means all national (or state) legislation, statutes, ordinances and other laws, and regulations and by-laws of any legally constituted public authority.
"Site" means the places where the Permanent Works are to be executed and to which Plant and Materials are to be delivered, and any other places as may be specified in the Contract as forming part of the Site.
" <b>Unforeseeable</b> " means not reasonably foreseeable and against which adequate preventive precautions could not reasonably be taken by an experienced contractor by the date for submission of the Bid.
"Railway" means a railway, or any portion of a railway for public carriage of passengers and goods as defined in the Railways ACT 1989. Any reference to railway means the



	Indian Railways and the respective Zonal Railway				
Clause-1/CC	The following paras are added to the Existing CC Clauses. Employers Name and Address: K-RIDE (Rail Infrastructure Development Company (Karnataka) Limited) (A Joint venture of GoK and MoR) #8 , 1 <sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall Rajaji Nagar 1 <sup>st</sup> Block Bangalore – 560010				
	Tele: +91 6364890811, 6364894850				
	Employers Representative and address:				
	General Manager/Civil /Projects / K-RIDE, K-RIDE (Rail Infrastructure Development Company (Karnataka) Limited) #8, 1 <sup>st</sup> Floor, Samparka Soudha, Dr. Rajkumar Road, Opposite Orion Mall Rajaji Nagar 1 <sup>st</sup> Block Bangalore – 560010				
	Tele: +91 6364890811, 6364894850				
	E – Mail: praveen.kumar.kride@ka.gov.in				
Clause -2.1/CC	The following paras are added to the Existing CC Clauses.				
Interpretation.	(a) provisions including the word "agree," "agreed" or "agreement" require the agreement to be recorded in writing;				
	(b) "written" or "in writing" means hand-written, type-written, printed or electronically made, and resulting in a permanent record; and				
	(c) the word "tender" is synonymous with "bid", and "tenderer" with "bidder" and the words "tender documents" with "bidding documents"				
Clause-2.2/CC.	Replace the existing sub clause 2.2 of CC				
Priority of Documents	The documents forming the Contract are to be taken as mutually explanatory of one another. For the purposes of interpretation, the priority of the documents shall be in accordance with the following sequence:				
	1. Contract Agreement (if any),				
	2. Letter of Acceptance, notice to proceed to works,				
	3. Letter of bid/Contractor tender,				
	4. Addendum/Corrigendum including Reply to pre bid queries,				
	5. Schedules (including Priced Bill of Quantities),				
	6. Particular Conditions of Contract,				



	7. Conditions of Contract/SCC and Contract Data				
	8. Works/Employer's Requirements,				
	9. Technical Specifications,				
	10. Drawings,				
	11. any other documents forming part of the Contract.				
	If an ambiguity or discrepancy is found in the documents, the Engineer shall issue necessary clarification or instruction.				
Clause-6.1/CC,	The following para is added to the existing CC clause:				
Communications	Wherever these Conditions provide for the giving or issuing of approvals, certificates, consents, determinations, notices, requests and discharges, these communications shall be: in writing and delivered by hand (against receipt), sent by mail or courier, or transmitted using any of the agreed systems of electronic transmission as stated in the Contract Data; and delivered, sent or transmitted to the address for the recipient's communications as stated in the Contract Data.				
Clause- 7/CC	The following paras are added to the Existing CC Clauses.				
	7.1 Definition of nominated Subcontractor				
	In the Contract, "nominated Subcontractor" means a Subcontractor:				
	(a) who is stated in the Contract as being a nominated Subcontractor, or				
	(b) whom the Engineer, under Clause 7/CC [Sub-contracting], instructs the Contractor to employ as a Subcontractor.				
	Sub-contractors				
	The Contractor shall not subcontract the whole of the Works. The Contractor shall be responsible for the acts or defaults of any Subcontractor, his agents or employees, as if they were the acts or defaults of the Contractor.				
	Unless otherwise stated in the Conditions of Contract:				
	(a) The Contractor shall not be required to obtain consent to suppliers solely of Materials, or to a subcontract for which the Subcontractor is named in the Contract or as specifically provided in the Contract data or value of any sub-contract for Works, provided that such works are not for the key activities.				
	(b) The prior consent of the Engineer shall be obtained to other proposed Subcontractors and/or suppliers. While submitting his proposal in this regard, the Contractor shall ensure that;				
	<ul> <li>(i) Total value of Works requiring such consent for subcontracting shall not be more than 50% (fifty per cent) of the Contract Price;</li> </ul>				
	<ul> <li>(ii) The proposed subcontractor must have executed woks of 40% of value of the proposed subcontract through a single contract during last seven years; and</li> </ul>				
	(iii) No banning/blacklisting/declaration as poor performer by K-RIDE is in force on				



ſ	the proposed subcontractor (on the date of grant of consent by the Engineer);		
	<ul> <li>(iv) No contract of the proposed subcontractor has been terminated by K-RIDE during the last two years (to be reckoned from the date of grant of consent by the Engineer);</li> </ul>		
	<ul> <li>(v) The Contractor shall submit the proposal for subcontracting with the name, particulars and the relevant experience of the proposed subcontractor.</li> </ul>		
	(c) The Contractor shall give the Engineer not less than 28 days' notice of the intended date of the commencement of each Subcontractor's work, and of the commencement of such work on the Site;		
	<ul> <li>(d) Each subcontract shall include provisions which would entitle the Employer to require the subcontract to be assigned to the Employer under New-Clause 4.23/PCC [Assignment of Benefit of Subcontract] (if or when applicable) or in the event of termination under Sub-Clause 49.7/PCC. [Termination by Employer]; and</li> </ul>		
	(e) On getting consent from the Engineer, the Contractor shall provide to the Engineer copy of the agreement entered with such subcontractor.		
The Contractor shall ensure that the requirements imposed on the Contractor b Clause 1.6/PCC [Confidential Details] apply equally to each Subcontractor.			
	Where practicable, the Contractor shall give fair and reasonable opportunity contractors from the Country to be appointed as Subcontractors.		
	The Contractor shall endeavor to resolve all matters and payments amicably and speedily with the sub-contractors.		
	The Contractor shall indemnify and hold the Employer harmless against and from any claim of subcontractors or suppliers of the materials.		
	The Contractor shall release payment to the Sub-contractors/Suppliers promptly and shall endeavor to resolve all issues amicably and speedily with the Sub-contractors/Suppliers, so that the execution of work is not affected in any manner whatsoever.		
	In case a Sub-contractor/Supplier represents to the Engineer in writing with supporting documents, stating that he has not received payment due as per the agreement/work or purchase order for the works executed by such Sub-contractor or supplies made by such Supplier, which have been covered in previous Payment Certificates and the Engineer finds such representation having merit, the Engineer, before issuing next Payment Certificate, may forward a copy of the representation to the Contractor requesting the Contractor to supply reasonable evidence that the amount stated to be outstanding by the Sub-contractor/Supplier for the works executed or supplies made, which have been covered in previous Payment to the Sub-contractor/Supplier may recommend to make payment to the Sub-contractor/Supplier unless the Contractor submits reasonable evidence to the Engineer:		
	(i) that the amount claimed has been paid, or		
L	(ii) satisfying the Engineer in writing that the Contractor is entitled to withhold or		



· · · · ·	
	that the amount is not payable.
	On the recommendation of the Engineer, the Employer may (at his sole discretion) directly pay to the Sub-contractor/Supplier the amount due for and on behalf of the Contractor, part or all of such amounts previously certified (less applicable deductions) as are found due to the Sub-contractor/Supplier by the Engineer. The Employer shall adjust the amount paid directly to the Sub-contractor/Supplier from any amount due by it to the Contractor. The Contractor shall repay the amount, in case no amount is found due by the Employer to the Contractor.
	That the payment by Employer, on behalf of the Contractor to its Sub-contractor/Supplier, shall not alter any terms of agreement between the Employer and the Contractor and nor the same shall result in any privity of contract between the Employer and the Sub-contractor/Supplier.
	Assignment of Contractor's and Sub-contractor's Obligations:
	The Contractor shall not assign a right or benefit under the Contract without first obtaining Employer's prior written consent, otherwise than by:
	A. a charge in favor of the Contractor's bankers of any money due or to become due under the Contract, or
	B. assignment to the Contractor's insurers (in cases where the insurers have discharged the Contractor's loss or liability) of the Contractor's right to obtain relief against any other party liable.
	If a Subcontractor's obligations extend beyond the expiry date of Defects Liability Period, then the Contractor shall assign the benefits of such obligations to the Employer.
	In the event that a sub-contractor of any tier provides to the Contractor or any other sub- contractor a warranty in respect of Plant, Materials or services supplied in connection with the Works, or undertakes a continuing obligation of any nature whatsoever in relation to such Plant, Materials or services (including without limitation an obligation to maintain stocks of spare parts) extending for a period exceeding that of the Defects Liability Period or where there is more than one Defects Liability Period exceeding that of the latest Defects Liability Period, and if the Engineer so directs in writing within 21 days of the expiry of the Defects Liability Period or the latest Defects Liability Period (as the case may be), the Contractor shall immediately assign or obtain the assignment of the benefit of such warranty or obligation to the Employer or at the direction of the Employer, to any third party.
	Specialist Subcontracting
	If an Applicant intends to subcontract any highly specialized elements of the Works to specialist subcontractors, such elements and the proposed subcontractors shall be clearly identified, and the experience and capacity of the subcontractors shall be described in the relevant Information Forms.



### Acceptable Substitutes

With reference to subcontracting & specialist subcontracting, the Employer may require Applicants to provide more information about their proposals. If any proposed subcontractor is found ineligible or unsuitable to carry out an assigned task, the Employer may request the Applicant to propose an acceptable substitute, and may conditionally prequalify the Applicant accordingly, before issuing an invitation to tender.

### 7.2 Objection to Nomination

The Contractor shall not be under any obligation to employ a nominated Subcontractor against whom the Contractor raises reasonable objection by notice to the Engineer as soon as practicable, with supporting particulars. An objection shall be deemed reasonable if it arises from (among other things) any of the following matters, unless the Employer agrees to indemnify the Contractor against and from the consequences of the matter:

- (a) there are reasons to believe that the Subcontractor does not have sufficient competence, resources or financial strength;
- (b) the subcontract does not specify that the nominated Subcontractor shall indemnify the Contractor against and from any negligence or misuse of Goods by the nominated Subcontractor, his agents and employees; or
- (c) the subcontract does not specify that, for the subcontracted work (including design, if any), the nominated Subcontractor shall:
  - (i) undertake to the Contractor such obligations and liabilities as will enable the Contractor to discharge his obligations and liabilities under the Contract, and
  - (ii) Indemnify the Contractor against and from all obligations and liabilities arising under or in connection with the Contract and from the consequences of any failure by the Subcontractor to perform these obligations or to fulfil these liabilities.

### 7.3 Payments to nominated Subcontractors

The Contractor shall pay to the nominated Subcontractor the amounts which the Engineer certifies to be due in accordance with the subcontract. These amounts plus other charges shall be included in the Contract Price in accordance with sub-paragraph (b) of Sub-Clause 34.5/PCC [Provisional Sums], except as stated in Sub-Clause 7.4/PCC [Evidence of Payments].

### 7.4 Evidence of Payments

Before issuing a Payment, Certificate which includes an amount payable to a nominated Subcontractor, the Engineer may request the Contractor to supply reasonable evidence that the nominated Subcontractor has received (Within 7 days of receipt of previous payment by the contractor) all amounts due in accordance with



	previous Payment Certificates, less applicable deductions for retention or otherwise. Unless the Contractor, submits this reasonable evidence to the Engineer, or		
	(i) satisfies the Engineer in writing that the Contractor is reasonably entitled to withhold or refuse to pay these amounts, and		
	(ii) submits to the Engineer reasonable evidence that the nominated Subcontractor has been notified of the Contractor's entitlement,		
then the Employer may (at his sole discretion) pay, direct to the no Subcontractor, part or all of such amounts previously certified (less an deductions) as are due to the nominated Subcontractor and for which the Co has failed to submit the evidence described in sub-paragraphs (a) or (b) abo Contractor shall then repay, to the Employer, the amount which the no Subcontractor was directly paid by the Employer.			
	7.5 Assignment of Benefit of Subcontract		
	If a Subcontractor's obligations extend beyond the expiry date of the relevant Defects Notification Period and the Engineer, prior to this date, instructs the Contractor to assign the benefit of such obligations to the Employer, then the Contractor shall do so. Unless otherwise stated in the assignment, the Contractor shall have no liability to the Employer for the work carried out by the Subcontractor after the assignment takes effect.		
Clause11/CC	The following paras are added to the Existing CC Clauses.		
	Risk and Responsibility		
	11.1 Indemnities		
	The Contractor shall indemnify and hold harmless the Employer, the Employer's Personnel, Employers Representative and their respective agents, against and from all claims, damages, losses and expenses (including legal fees and expenses) in respect of:		
	(a) bodily injury, sickness, disease or death, of any person including railway user whatsoever arising out of or in the course of or by reason of the Contractor's design (if any), the execution and completion of the Works and the remedying of any defects, unless attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, or any of their respective agents, and		
	(b) damage to or loss of any property, real or personal (other than the Works), to the extent that such damage or loss arises out of or in the course of or by reason of the Contractor's design (if any), the execution and completion of the Works and the remedying of any defects, unless and to the extent that any such damage or loss is attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel,, their respective agents, or anyone directly or indirectly employed by any of them.		



<ul> <li>losses and expenses (including legal fees and expenses) in respect of (1) bodil injury, sickness, disease or death, which is attributable to any negligence, wilful at or breach of the Contract by the Employer, the Employer's Personnel, or any of the respective agents, and (2) the matters for which liability may be excluded from insurance cover, as described in sub-paragraphs (d)(i), (ii) and (iii) of Sub-Claus 13.8/PCC [Insurance Against Injury to Persons and Damage to Property].</li> <li>11.2 Contractor's Care of the Works         <ul> <li>The Contractor shall take full responsibility for the care of the Works and Good from the Commencement Date until the Taking-Over Certificate is issued (or i deemed to be issued under Sub-Clause 46.1/PCC [Taking Over of the Works and Sections]) for the Works, when responsibility for the care of the Section or part of the Works, responsibility for the care of the Section or part of the Works, responsibility for the care of the Section or pashall then pass to the Employer.</li> </ul> </li> <li>After responsibility for the care of any work which is outstanding on the date stated i a Taking-Over Certificate, until this outstanding work has been completed.</li> <li>If any loss or damage happens to the Works, Goods or Contractor's Document during the period when the Contractor's risk and cost, so that the Works, Goods an Contractor's Documents conform with the Contract.</li> <li>The Contractor shall also be liable for any loss or damage caused by any action performed by the Contractor after a Taking-Over Certificate has been issued. The Contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued. The contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued. The contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued. The contractor shall also be liable for</li></ul>	 		
The Contractor shall take full responsibility for the care of the Works and Good from the Commencement Date until the Taking-Over Certificate is issued (or i deemed to be issued under Sub-Clause 46.1/PCC [Taking Over of the Works and Sections]) for the Works, when responsibility for the care of the Works shall pass to the Employer. If a Taking-Over Certificate is issued (or is so deemed to be issued for any Section or part of the Works, responsibility for the care of the Section or part shall then pass to the Employer. After responsibility has accordingly passed to the Employer, the Contractor shat take responsibility for the care of any work which is outstanding on the date stated i a Taking-Over Certificate, until this outstanding work has been completed. If any loss or damage happens to the Works, Goods or Contractor's Document during the period when the Contractor is responsible for their care, from any caus not listed in Sub-Clause 11.3/PCC [Employer's Risks], the Contractor shall rectifit the loss or damage at the Contractor's risk and cost, so that the Works, Goods an Contractor's Documents conform with the Contract. The Contractor shall be liable for any loss or damage caused by any action performed by the Contractor after a Taking-Over Certificate has been issued. The Contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued.	Personnel, and their respective agents, against and from all claims, damages, losses and expenses (including legal fees and expenses) in respect of (1) bodily injury, sickness, disease or death, which is attributable to any negligence, wilful act or breach of the Contract by the Employer, the Employer's Personnel, or any of their respective agents, and (2) the matters for which liability may be excluded from insurance cover, as described in sub-paragraphs (d)(i), (ii) and (iii) of Sub-Clause 13.8/PCC [Insurance Against Injury to Persons and Damage to Property].		
from the Commencement Date until the Taking-Over Certificate is issued (or i deemed to be issued under Sub-Clause 46.1/PCC [Taking Over of the Works an Sections]) for the Works, when responsibility for the care of the Works shall pass to the Employer. If a Taking-Over Certificate is issued (or is so deemed to be issued for any Section or part of the Works, responsibility for the care of the Section or part shall then pass to the Employer. After responsibility has accordingly passed to the Employer, the Contractor shat take responsibility for the care of any work which is outstanding on the date stated i a Taking-Over Certificate, until this outstanding work has been completed. If any loss or damage happens to the Works, Goods or Contractor's Document during the period when the Contractor is responsible for their care, from any caus not listed in Sub-Clause 11.3/PCC [Employer's Risks], the Contractor shall rectifi the loss or damage at the Contractor's risk and cost, so that the Works, Goods an Contractor's Documents conform with the Contract. The Contractor shall be liable for any loss or damage caused by any action performed by the Contractor after a Taking-Over Certificate has been issued. The Contractor shall also be liable for any loss or damage which occurs after a Taking Over Certificate has been issued.	11.2 Contractor's Care of the Works		
<ul> <li>take responsibility for the care of any work which is outstanding on the date stated is a Taking-Over Certificate, until this outstanding work has been completed.</li> <li>If any loss or damage happens to the Works, Goods or Contractor's Document during the period when the Contractor is responsible for their care, from any caus not listed in Sub-Clause 11.3/PCC [Employer's Risks], the Contractor shall rectil the loss or damage at the Contractor's risk and cost, so that the Works, Goods an Contractor's Documents conform with the Contract.</li> <li>The Contractor shall be liable for any loss or damage caused by any action performed by the Contractor after a Taking-Over Certificate has been issued. Th Contractor shall also be liable for any loss or damage which occurs after a Taking Over Certificate has been issued and which arose from a previous event for whice the Contractor was liable.</li> </ul>	The Contractor shall take full responsibility for the care of the Works and Goods from the Commencement Date until the Taking-Over Certificate is issued (or is deemed to be issued under Sub-Clause 46.1/PCC [Taking Over of the Works and Sections]) for the Works, when responsibility for the care of the Works shall pass to the Employer. If a Taking-Over Certificate is issued (or is so deemed to be issued) for any Section or part of the Works, responsibility for the care of the Section or part shall then pass to the Employer.		
during the period when the Contractor is responsible for their care, from any caus not listed in Sub-Clause 11.3/PCC [Employer's Risks], the Contractor shall rectif the loss or damage at the Contractor's risk and cost, so that the Works, Goods an Contractor's Documents conform with the Contract. The Contractor shall be liable for any loss or damage caused by any action performed by the Contractor after a Taking-Over Certificate has been issued. Th Contractor shall also be liable for any loss or damage which occurs after a Taking Over Certificate has been issued and which arose from a previous event for which the Contractor was liable.	After responsibility has accordingly passed to the Employer, the Contractor shall take responsibility for the care of any work which is outstanding on the date stated in a Taking-Over Certificate, until this outstanding work has been completed.		
performed by the Contractor after a Taking-Over Certificate has been issued. Th Contractor shall also be liable for any loss or damage which occurs after a Taking Over Certificate has been issued and which arose from a previous event for which the Contractor was liable.	If any loss or damage happens to the Works, Goods or Contractor's Documents during the period when the Contractor is responsible for their care, from any cause not listed in Sub-Clause 11.3/PCC [Employer's Risks], the Contractor shall rectify the loss or damage at the Contractor's risk and cost, so that the Works, Goods and Contractor's Documents conform with the Contract.		
11.3 Employer's Risks	The Contractor shall be liable for any loss or damage caused by any actions performed by the Contractor after a Taking-Over Certificate has been issued. The Contractor shall also be liable for any loss or damage which occurs after a Taking-Over Certificate has been issued and which arose from a previous event for which the Contractor was liable.		
Clause 11 of CC and clause 38 of CC are substituted with clause 11.3 of PC as below:	Clause 11 of CC and clause 38 of CC are substituted with clause 11.3 of PCC		
The risks referred to herein below, in so far as they directly affect the execution of the works in the Country, are:	The risks referred to herein below, in so far as they directly affect the execution of the works in the Country, are:		
(a) war, hostilities (whether war be declared or not), invasion, act of foreig enemies,			
	Personnel, revolution, insurrection, military or usurped power, or civil war,		



$\langle \alpha \rangle$	riat commetion or disorder within the Country by persons other than the
(c)	riot, commotion or disorder within the Country by persons other than the Contractor's Personnel,
(d)	munitions of war, explosive materials, ionising radiation or contamination by radio-activity, within the Country, except as may be attributable to the Contractor's use of such munitions, explosives, radiation or radio-activity,
(e)	pressure waves caused by aircraft or other aerial devices travelling at sonic or supersonic speeds,
(f)	use or occupation by the Employer of any part of the Permanent Works, except as may be specified in the Contract, and
(g)	design of any part of the Works by the Employer's Personnel or by others for whom the Employer is responsible.
11.4 Cons	sequences of Employer's Risks:
in los shall	d to the extent that any of the risks listed in Sub-Clause 11.3/PCC above results ss or damage to the Works, Goods or Contractor's Documents, the Contractor promptly give notice to the Engineer and shall rectify this loss or damage to the nt required by the Engineer.
the C	e Contractor suffers delay and/or incurs Cost from rectifying this loss or damage, Contractor shall give a further notice to the Engineer and shall be entitled subject ub-Clause 4.1/SCC [Contractor's Claims] to:
. ,	an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause [Extension of Time for Completion], and
	In the case of sub-paragraphs (f) and (g) of Sub-Clause 11.3/PCC [Employer's Risks], Cost shall be payable.
	receiving this further notice, the Engineer shall proceed in accordance with -Clause 3.5/PCC [Determinations] to agree or determine these matters.
11.5 Intell	ectual and Industrial Property Rights
any other	s Sub-Clause, "infringement" means an infringement (or alleged infringement) of patent, registered design, copyright, trade mark, trade name, trade secret or intellectual or industrial property right relating to the Works; and "claim" means im (or proceedings pursuing a claim) alleging an infringement.
of re	never a Party does not give notice to the other Party of any claim within 28 days ceiving the claim, the first Party shall be deemed to have waived any right to nnity under this Sub-Clause.
	Employer shall indemnify and hold the Contractor harmless against and from claim alleging an infringement which is or was: an unavoidable result of the Contractor's compliance with the Contract, or



(b) a	result of any Works being used by the Employer:
(i)	for a purpose other than that indicated by, or reasonably to be inferred from, the Contract, or
(ii)	in conjunction with anything not supplied by the Contractor, unless such use was disclosed to the Contractor prior to the Base Date or is stated in the Contract.
any othe	tractor shall indemnify and hold the Employer harmless against and from r claim which arises out of or in relation to (i) the manufacture, use, sale or any Goods, or (ii) any design for which the Contractor is responsible.
(at its co arbitratior indemnify shall not unless th	is entitled to be indemnified under this Sub-Clause, the indemnifying Party may st) conduct negotiations for the settlement of the claim, and any litigation or n which may arise from it. The other Party shall, at the request and cost of the ring Party, assist in contesting the claim. This other Party (and its Personnel) make any admission which might be prejudicial to the indemnifying Party, ne indemnifying Party failed to take over the conduct of any negotiations, or arbitration upon being requested to do so by such other Party.
11.6 Limitatio	on of Liability
profit, los may be s exclusior	Party shall be liable to the other Party for loss of use of any Works, loss of as of any contract or for any indirect or consequential loss or damage which suffered by the other Party in connection with the Contract, provided that this is shall not apply to any obligation of the Contractor to pay Delay Damages inployer under Sub-Clause 26.6/PCC [Delay Damages].
Contract Clause 11.1/PC0 Property	liability of the Contractor to the Employer, under or in connection with the other than under New-Clause 4.37/PCC [Electricity, Water and Gas], New 4.38/PCC [Employer's Equipment and Free-Issue Material], Sub-Clause C [Indemnities] and Sub-Clause 11.5/PCC [Intellectual and Industrial Rights], shall not exceed the sum as specified in the Contract Data or if a specified in the Contract Data, the accepted Contract Amount.
	o-Clause shall not limit liability in any case of fraud, deliberate default or misconduct by the defaulting Party.
Maximun	n total liability of Contractor is accepted contract amount.
11.7 Use of E	mployer's Accommodation/ Facilities
accomm respectiv	tractor shall take full responsibility for the care of the Employer provided odation and facilities, if any, as detailed in the Specification, from the re dates of hand-over to the Contractor until cessation of occupation (where er or cessation of occupation may take place after the date stated in the



	Taking-Over Certificate for the Works).
	If any loss or damage happens to any of the above items while the Contractor is responsible for their care arising from any cause whatsoever other than those for which the Employer is liable, the Contractor shall, at his own cost, rectify the loss or damage to the satisfaction of the Engineer.
Clause 13/CC	The following paras are added to the Existing CC Clauses.
	INSURANCE
	13.6 General Requirements for Insurances
	In this Clause, "insuring Party" means, for each type of insurance, the Party responsible for effecting and maintaining the insurance specified in the relevant Sub-Clause.
	Wherever the Contractor is the insuring Party, each insurance shall be effected with insurers and in terms approved by the Employer. These terms shall be consistent with any terms agreed by both Parties before the date of the Letter of Acceptance. This agreement of terms shall take precedence over the provisions of this Clause.
	If a policy is required to indemnify joint insured, the cover shall apply separately to each insured as though a separate policy had been issued for each of the joint insured. If a policy indemnifies additional joint insured, namely in addition to the insured specified in this Clause, (i) the Contractor shall act under the policy on behalf of these additional joint insured except that the Employer shall act for Employer's Personnel, (ii) additional joint insured shall not be entitled to receive payments directly from the insurer or to have any other direct dealings with the insurer, and (iii) the insuring Party shall require all additional joint insured to comply with the conditions stipulated in the policy.
	Periods for submission of insurance:
	<ul><li>a) evidence of insurance: Before start date of work.</li><li>b) relevant policies: Before start date of work.</li></ul>
	Each policy insuring against loss or damage shall provide for payments to be made in the currencies required to rectify the loss or damage. Payments received from insurers shall be used for the rectification of the loss or damage.
	The relevant insuring Party shall, within the respective periods stated in the Contract Data (calculated from the Commencement Date), submit to the other Party:
	(a) evidence that the insurances described in this Clause have been effected, and
	<ul> <li>(b) copies of the policies for the insurances described in Sub-Clause 13.7/PCC [Insurance for Works and Contractor's Equipment] and Sub-Clause 13.8/PCC [Insurance against Injury to Persons and Damage to Property].</li> </ul>
	(c) If the contractor fails to submit evidence and copies of the policies as mentioned



	in (a) & (b) above to prove that the policies have been obtained within the period specified in the contract data, and submits the same later on and from the submitted evidence it is found that the policies have not been obtained within the period specified, the Employer shall recover double the cost of the premium for the period the policies have been delayed.
	When each premium is paid, the insuring Party shall submit evidence of payment to the other Party. Whenever evidence or policies are submitted, the insuring Party shall also give notice to the Engineer.
	Each Party shall comply with the conditions stipulated in each of the insurance policies. The insuring Party shall keep the insurers informed of any relevant changes to the execution of the Works and ensure that insurance is maintained in accordance with this Clause.
	Neither Party shall make any material alteration to the terms of any insurance without the prior approval of the other Party. If an insurer makes (or attempts to make) any alteration, the Party first notified by the insurer shall promptly give notice to the other Party.
	If the insuring Party fails to effect and keep in force any of the insurances it is required to effect and maintain under the Contract, or fails to provide satisfactory evidence and copies of policies in accordance with this Sub-Clause, the other Party may (at its option and without prejudice to any other right or remedy) effect insurance for the relevant coverage and pay the premiums due. The insuring Party shall pay double the amount of these premiums to the other Party, and the Contract Price shall be adjusted accordingly.
	Nothing in this Clause limits the obligations, liabilities or responsibilities of the Contractor or the Employer, under the other terms of the Contract or otherwise. Any amounts not insured or not recovered from the insurers shall be borne by the Contractor and/or the Employer in accordance with these obligations, liabilities or responsibilities. However, if the insuring Party fails to effect and keep in force an insurance which is available and which it is required to effect and maintain under the Contract, and the other Party neither approves the omission nor effects insurance for the coverage relevant to this default, any moneys which should have been recoverable under this insurance shall be paid by the insuring Party.
	Payments by one Party to the other Party shall be subject to New-Clause 2.4/PCC [Employer's Claims] or Sub-Clause 4.1/SCC [Contractor's Claims], as applicable.
	The Contractor shall be entitled to place all insurance relating to the Contract (including, but not limited to the insurance referred to Clause 13/CC/PCC with insurers from any eligible source country.
1	3.7 Insurance for Works and Contractor's Equipment
	The Contractor shall insure the Works, Plant, Materials, including those issued by the



includ subjec effecti parag	yer and Contractor's Documents for not less than the full reinstatement cost ing the costs of demolition, removal of debris and professional fees and profit, of to a maximum value indicated in Contract Data. This insurance shall be ve from the date by which the evidence is to be submitted under sub- raph (a) of Sub-Clause 13.6/PCC [General Requirements for Insurances], until te of issue of the Taking-Over Certificate for the Works.
issue liable and fe	nsuring Party shall maintain this insurance to provide cover until the date of of the Performance Certificate, for loss or damage for which the Contractor is arising from a cause occurring prior to the issue of the Taking-Over Certificate, or loss or damage caused by the Contractor in the course of any other tions (including those under New-Clause 6/PCC [Defects Liability]).
replac Equip	suring Party shall insure the Contractor's Equipment for not less than the full ement value, including delivery to Site. For each item of Contractor's ment, the insurance shall be effective while it is being transported to the Site ntil it is no longer required as Contractor's Equipment.
	s otherwise stated in the Conditions of Contract/SCC, insurances under this lause:
(a) sha	all be effected and maintained by the Contractor as insuring Party,
ра	all be in the joint names of the Parties, who shall be jointly entitled to receive yments from the insurers, payments being held or allocated between the rties for the sole purpose of rectifying the loss or damage,
	all cover all loss and damage from any cause not listed in Sub-Clause 3/PCC [Employer's Risks],
uso da 11 ins no	all also cover loss or damage to a part of the Works which is attributable to the e or occupation by the Employer of another part of the Works, and loss or mage from the risks listed in sub-paragraphs (c), (g) and (h) of Sub-Clause 3/PCC [Employer's Risks], excluding (in each case) risks which are not urable at commercially reasonable terms, with deductibles per occurrence of t more than the amount stated in the Contract Data (if an amount is not so ted, this sub-paragraph (d) shall not apply), and
(e) ma	y however exclude loss of, damage to, and reinstatement of:
i)	part of the Works which is in a defective condition due to a defect in its design, materials or workmanship (but cover shall include any other parts which are lost or damaged as a direct result of this defective condition and not as described in sub-paragraph (ii) below),
ii)	a part of the Works which is lost or damaged in order to reinstate any other part of the Works if this other part is in a defective condition due to a defect in its design, materials or workmanship,
111	) a part of the Works which has been taken over by the Employer, except to the extent that the Contractor is liable for the loss or damage, and



i	v) [DELETED]
abov (as i Emp Clair as th deen appr	ore than one year after the Base Date, the cover described in sub-paragraph (d) re ceases to be available at commercially reasonable terms, the Contractor shall insuring Party) give notice to the Employer, with supporting particulars. The loyer shall then (i) be entitled subject to New-Clause 2.4/PCC [Employer's ns] to payment of an amount equivalent to such commercially reasonable terms he Contractor should have expected to have paid for such cover, and (ii) be ned, unless he obtains the cover at commercially reasonable terms, to have oved the omission under Sub-Clause 13.6/PCC [General Requirements for rances].
13.8 Insu	rance against injury to Persons and Damage to Property
deat insur Equi [Insu perfo	insuring Party shall insure against each Party's liability for any loss, damage, h or bodily injury which may occur to any physical property (except things red under Sub-Clause 13.7/PCC [Insurance for Works and Contractor's pment]) or to any person (except persons insured under Sub-Clause 13.9/PCC prance for Contractor's Personnel]), which may arise out of the Contractor's prmance of the Contract and occurring before the issue of the Performance ficate.
in the	insurance shall be for a limit per occurrence of not less than the amount stated e Contract Data, with no limit on the number of occurrences. If an amount is not ed in the Contract Data, this Sub-Clause shall not apply.
	ss otherwise stated in the Special Conditions of Contract, the insurances ified in this Sub-Clause:
(a)	shall be effected and maintained by the Contractor as insuring Party,
(b)	shall be in the joint names of the Parties,
(c)	shall be extended to cover liability for all loss and damage to the Employer's property including Railways Property (except things insured under Sub-Clause 13.7/PCC) arising out of the Contractor's performance of the Contract, and
(d)	may however exclude liability to the extent that it arises from:
	<ul> <li>the Employer's right to have the Permanent Works executed on, over under, in or through any land, and to occupy this land for the Permanent Works,</li> </ul>
	<ul> <li>(ii) damage which is an unavoidable result of the Contractor's obligations to execute the Works and remedy any defects, and</li> </ul>
	(iii) a cause listed in Sub-Clause 11.3/PCC [Employer's Risks], except to the extent that cover is available at commercially reasonable terms.
The	rance for Contractor's Personnel Contractor shall abide by the provisions of ESIC Act, 1948 (extended from time ne) to take care of insurance against liability for claims, damages, losses and



	<ul> <li>expenses (including legal fees and expenses) arising from injury, sickness or disease. In addition, the contractor shall also maintain insurance against liability for claim of death of any person employed by the Contractor or any other of the Contractor's Personnel.</li> <li>The Employer and the Engineer shall also be indemnified under the policy of insurance, except that this insurance may exclude losses and claims to the extent that they arise from any act or neglect of the Employer or of the Employer's Personnel.</li> <li>The insurance shall be maintained in full force and effect during the whole time that these personnel are assisting in the execution of the Works. For a Subcontractor's employees, the insurance may be effected by the Subcontractor, but the Contractor shall be responsible for compliance with this Clause.</li> <li>Maximum number of deductibles for insurance of Employer's risks: Nil</li> </ul>
Clause- 22/CC.	The following para is added to the existing CC clause:
Right of Access to the Site	The Following para is added to the existing CC clause: The Employer shall give the Contractor right of access to, and possession of, all parts of the Site within the time (or times) stated in the Contract Data. The right and possession may not be exclusive to the Contractor. If, under the Contract, the Employer is required to give (to the Contractor) possession of any foundation, structure, plant or means of access, the Employer shall do so in the time and manner stated in the Specification. However, the Employer may withhold any such right or possession until the Performance Security has been received. If no such time is stated in the Contract Data, the Employer shall give the Contractor right of access to, and possession of, the Site within such times as may be required to enable the Contractor to proceed in accordance with the programme submitted under Sub-Clause 25.3/PCC [Programme]. If the Contractor suffers delay as a result of a failure by the Employer to give any such right or possession within such time, the Contractor shall give notice to the Engineer and shall be entitled to:
	<ul> <li>(a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 26.5/PCC [Extension of Time for Completion], and</li> </ul>
	After receiving this notice, the Engineer shall proceed in accordance with New- Clause 3.5/PCC [Determinations] to agree or determine these matters.
	However, if and to the extent that the Employer's failure was caused by any error or delay by the Contractor, including an error in, or delay in the submission of, any of the Contractor's Documents, the Contractor shall not be entitled to such extension of time or new rates.
	Right of access to the work site will be provided to the successful Contractor. A minimum corridor of 9m will be available. The Contractor to note this while making Launching plans. The Contractor shall prepare, at his cost, approach roads to the site of work and this cost will not be reimbursed by the Employer. The Employer



	reserves the right to make use of these service roads for themselves or other Contractors working on the project, as and when necessary, without any payment to the Contractor. The barricading to the extent feasible subject to a maximum of 9m width (LHS+RHS) shall be permitted for carrying out the works and suitable barricading width for off-road structures in stages as per the approved sequence of construction. The employer shall grant the contractor right of access to, and/ or possession of the site progressively for the completion of works. The contractor will draw/ modify the schedule for completion of work according to progressive possession/ right of such sites.
Clause 25 of CC	The following paras are added to the Existing CC Clauses.
	25.3 Programme
	The Contractor shall submit a detailed time programme to the Engineer within 28 days after receiving the notice under Sub-Clause 26.3/PCC [Commencement of Works]. The program shall include the physical and Financial Progress vis-à-vis program and forecast cash flow adopting Project Management Software Primavera/Sure Track/MS Project or as mutually agreed. The program must identify the milestones, interface requirements and program reporting elements. The Contractor should submitted program chart and the soft copy to the Engineer in – charge. This shall be updated every month. The Contractor shall also submit a revised programme whenever the previous programme is inconsistent with actual progress or with the Contractor's obligations. Each programme shall include:
	<ul> <li>(a) the order in which the Contractor intends to carry out the Works, including the anticipated timing of each stage of design (if any), Contractor's Documents, procurement, manufacture of Plant, delivery to Site, construction, erection and testing,</li> </ul>
	<ul> <li>(b) each of these stages for work by each nominated Subcontractor (as defined in Clause 7/PCC [Nominated Subcontractors]),</li> </ul>
	(c) the sequence and timing of inspections and tests specified in the Contract, and
	(d) a supporting report which includes:
	<ul> <li>(i) a general description of the methods which the Contractor intends to adopt, and of the major stages, in the execution of the Works, and</li> </ul>
	<ul> <li>(ii) details showing the Contractor's reasonable estimate of the number of each class of Contractor's Personnel and of each type of Contractor's Equipment, required on the Site for each major stage.</li> </ul>
	Unless the Engineer, within 21 days after receiving a programme, gives notice to the Contractor stating the extent to which it does not comply with the Contract, the Contractor shall proceed in accordance with the programme, subject to his other obligations under the Contract. The Employer's Personnel shall be entitled to rely upon the programme when planning their activities.



	The Contractor shall promptly give notice to the Engineer of specific probable future events or circumstances which may adversely affect the work, increase the Contract Price or delay the execution of the Works. The Engineer may require the Contractor to submit an estimate of the anticipated effect of the future event or circumstances, and/or a proposal under Sub-Clause 34.3/PCC [Variation Procedure].
	If, at any time, the Engineer gives notice to the Contractor that a programme fails (to the extent stated) to comply with the Contract or to be consistent with actual progress and the Contractor's stated intentions, the Contractor shall submit a revised programme to the Engineer within 15 days in accordance with this Sub-Clause.
Clause 26 of CC	The following paras are added to the Existing CC Clauses.
	26.3 Commencement of Works
	The Engineer shall give the Contractor not less than 7 days' notice of the Commencement of work. Unless otherwise stated in the Special Conditions of Contract, the Commencement of work shall be within the number of days after the Contractor receives the Letter of Acceptance as specified in Contract Data.
	The Contractor shall commence the execution of the Works as soon as is reasonably practicable after the Commencement Date, and shall then proceed with the Works with due expedition and without delay.
	26.4 Time for Completion
	The Contractor shall complete the whole of the Works, and each Section (if any), within the Time for Completion for the Works or Section (as the case may be), including:
	(a) achieving the passing of the Tests on Completion, and
	(b) completing all work which is stated in the Contract as being required for whole of the Works or Section(s) to be considered to be completed for the purposes of taking-over under Sub-Clause 46.1/PCC [Taking Over of the Works and Sections].
	26.5 Extension of Time for Completion
	The Contractor shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to an extension of the Time for Completion if and to the extent that completion for the purposes of Sub-Clause 46.1/PCC [Taking-Over of the Works and Sections] is or will be delayed by any of the following causes:
	<ul> <li>a Variation (unless an adjustment to the Time for Completion has been agreed under Sub-Clause 34.3/PCC [Variation Procedure]) or other substantial change in the quantity of an item of work included in the Contract,</li> </ul>
	b) a cause of delay giving an entitlement to extension of time under a Sub-



Clause of these Conditions,
c) exceptionally adverse climatic conditions,
d) Unforeseeable shortages in the availability of personnel or Goods caused by epidemic or governmental actions, or
e) any delay, impediment or prevention caused by or attributable to the Employer, the Employer's Personnel, or the Employer's other contractors.
If the Contractor considers himself to be entitled to an extension of the Time for Completion, the Contractor shall give notice to the Engineer in accordance with Sub-Clause 4.1/SCC [Contractor's Claims]. When determining each extension of time under Sub-Clause 4.1/SCC the Engineer shall review previous determinations and may increase, but shall not decrease, the total extension of time.
26.6 Delays Caused by Authorities
If the following conditions apply, namely:
<ul> <li>(a) the Contractor has diligently followed the procedures laid down by the relevant legally constituted public authorities in the Country,</li> </ul>
(b) these authorities delay or disrupt the Contractor's work, and
(c) the delay or disruption was Unforeseeable,
then this delay or disruption will be considered as a cause of delay under sub- paragraph (b) of Sub-Clause 26.5/PCC [Extension of Time for Completion].
26.7 Rate of Progress
If, at any time:
(a) actual progress is too slow to complete within the Time for Completion, and/or
(b) progress has fallen (or will fall) behind the current programme under Sub- Clause 25.3/PCC [Programme],
other than as a result of a cause listed in Sub-Clause 26.5/PCC [Extension of Time for Completion], then the Engineer may instruct the Contractor to submit, under Sub-Clause 25.3/PCC [Programme], a revised programme and supporting report describing the revised methods which the Contractor proposes to adopt in order to expedite progress and complete within the Time for Completion.
Unless the Engineer notifies otherwise, the Contractor shall adopt these revised methods, which may require increases in the working hours and/or in the numbers of Contractor's Personnel and/or Goods, at the risk and cost of the Contractor. If these revised methods cause the Employer to incur additional costs, the Contractor shall subject to New-Clause 2.4/PCC [Employer's Claims] pay these costs to the Employer, in addition to delay damages (if any) under Sub-Clause 26.8/PCC below



### 26.8 Extension of Time for Completion with Delay Damages

If the Contractor fails to comply with Sub-Clause 26.4/PCC [Time for Completion for entire work or for specified section wise completion period], and he is not entitled to an extension of time under sub clause 26.5/PCC then the employer may grant extension of time with delay damage in such case, the Contractor shall subject to New-Clause 2.4/PCC [Employer's Claims] pay delay damages to the Employer for this default. These delay damages shall be the sum stated in the Contract Data, which shall be paid for every day which shall elapse between the relevant Time for Completion and the date stated in the Taking-Over Certificate. However, the total amount due under this Sub-Clause shall not exceed the maximum amount of delay damages (if any) stated in the Contract Data.

Further, if the contractor fails to achieve physical/financial targets as per the agreed programme for a consecutive period of 3 months without any valid reasons, other than attributable to the contractor, a provisional recovery of delay damages shall be made from the next interim payment certificate (2) 1/10th of the sum of delay damages stated in the contract data, for the entire period of 3 months. Such recovery shall continue from the further interim payment certificates till the contractor is able to make good the shortfall and achieve the cumulative targets as per agreed programme. On achieving the cumulative progress targets as per agreed programme, the entire amount recovered till that month, shall be refunded to the contractor in the next interim payment certificate. In case the contractor is unable to make good the shortfall and achieve the cumulative targets resulting in delay in completion of the project, then the provisional recoveries made shall be adjusted against the delay damages to be finally imposed on the contractor.

These delay damages shall be the only damages due from the Contractor for such default, other than in the event of termination under Sub-Clause 49.7/PCC [Termination by Employer] prior to completion of the Works. These damages shall not relieve the Contractor from his obligation to complete the Works, or from any other duties, obligations or responsibilities which he may have under the Contract.

### 26.9 Suspension of Work

The Engineer may at any time instruct the Contractor to suspend progress of part or all of the Works. During such suspension, the Contractor shall protect, store and secure such part or the Works against any deterioration, loss or damage.

The Engineer may also notify the cause for the suspension. If and to the extent that the cause is notified and is the responsibility of the Contractor, the following Sub-Clauses 26.10, 26.11 and 26.12 of PCC shall not apply.



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	26.10 Consequences of Suspension
	If the Contractor suffers delay and/or incurs Cost from complying with the Engineer's instructions under Sub-Clause 26.9/PCC [Suspension of Work] and/or from resuming the work, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 26.5/PCC [Extension of Time for Completion],
	The Contractor shall not be entitled to an extension of time for, or to payment of the Cost incurred in, making good the consequences of the Contractor's faulty design, workmanship or materials, or of the Contractor's failure to protect, store or secure in accordance with Sub-Clause 26.9/PCC [Suspension of Work].
	Engineer's decision is final and binding in regard to defining suspension and specifying the suspension period. Contractor has no right to claim or appeal against this decision
	26.11 Deleted
	26.12 Prolonged Suspension
	If the suspension under Sub-Clause 26.9/PCC [Suspension of Work] has continued for more than 84 days, the Contractor may request the Engineer's permission to proceed. If the Engineer does not give permission within 28 days after being requested to do so, the Contractor may, by giving notice to the Engineer, treat the suspension as an omission under Clause 34/PCC [Variations and Adjustments] of the affected part of the Works. If the suspension affects the whole of the Works, the Contractor may give notice.
	26.13 Resumption of Work
	After the permission or instruction to proceed is given, the Contractor and the Engineer shall jointly examine the Works and the Plant and Materials affected by the suspension. The Contractor shall make good any deterioration or defect in or loss of the Works or Plant or Materials, which has occurred during the suspension
	26.14 Deleted
Clause-30/CC	The following paras are added to the Existing CC Clauses.
	30.2 Contractor's Obligations
	The Contractor shall carry out the Tests on Completion in accordance with this Clause and New-Clause 5.4/PCC [Testing], after providing the documents in accordance with sub-paragraph (d) of NewClause 4.1/PCC [Contractor's General Obligations].
	The Contractor shall give to the Engineer not less than 21 days' notice of the date



[	
	after which the Contractor will be ready to carry out each of the Tests on Completion. Unless otherwise agreed, Tests on Completion shall be carried out within 14 days after this date, on such day or days as the Engineer shall instruct.
	In considering the results of the Tests on Completion, the Engineer shall make allowances for the effect of any use of the Works by the Employer on the performance or other characteristics of the Works. As soon as the Works, or a Section, have passed any Tests on Completion, the Contractor shall submit a certified report of the results of these Tests to the Engineer.
	<u>Contractor's Obligations:</u> The Contractor shall carry out the Tests on Completion at his own cost in accordance with the Contract and shall provide the documents in accordance with New-Clauses 3.1/PCC and the Contractor shall give, to the Engineer, 21days' notice of the date after which the Contractor will be ready to carry out the Tests on Completion. Unless otherwise agreed, such Tests shall be carried out within 14 days after this date, on such day or days as the Engineer shall instruct.
	Unless otherwise stated in Conditions of Contract/SCC, the Tests on Completion shall be carried out in the following sequence
	<ul> <li>(a) pre-commissioning test, which shall include appropriate instructions and ("dry" or "cold") functional tests to demonstrate that each item of the Plant and Work can safely undertake the next stage</li> </ul>
	(b) Commissioning Test shall include the specified operational tests to demonstrate Works or Sections can be operated safely and as specified under all available operating condition
	(c) trial operation which shall demonstrate that the Works or Section perform reliably and in accordance with the Contract
	The Contractor at his own cost shall arrange all tools, equipment, gadgets, facilities or as deemed necessary by the Engineer for such tests, in considering the results of the Tests on Completion, the Engineer shall make allowances for the effect of any use of the Works by the Employer on the performance or other characteristics of the Works. As soon as the Works, or a Section, have passed the Tests on Completion described in sub-paragraphs (a) (b) or (c),the Contractor shall provide the Engineer and the Employer with a certified report of the results of all such Tests
	30.3 Delayed Tests
	If the Tests on Completion are being unduly delayed by the Employer, New-Clause 5.4/PCC [Testing] (fifth paragraph) and/or Sub-Clause 46.3/PCC [Interference with Tests on Completion] shall be applicable.
	If the Tests on Completion are being unduly delayed by the Contractor, the Engineer may by notice require the Contractor to carry out the Tests within 21 days after receiving the notice. The Contractor shall carry out the Tests on such day or days within that period as the Contractor may fix and of which he shall give notice to the Engineer.
	Engineer.



If the Contractor fails to carry out the Tests on Completion within the period of 21 days, the Employer's Personnel may proceed with the Tests at the risk and cost of the Contractor. The Tests on Completion shall then be deemed to have been carried out in the presence of the Contractor and the results of the Tests shall be accepted as accurate.
30.4 Retesting
If the Works, or a Section, fail to pass the Tests on Completion, New-Clause 5.5/PCC [Rejection] shall apply, and the Engineer or the Contractor may require the failed Tests, and Tests on Completion on any related work, to be repeated under the same terms and conditions
30.5 Failure to Pass Tests on Completion
If the Works, or a Section, fail to pass the Tests on Completion repeated under Sub- Clause 30.4/PCC [Retesting], the Engineer shall be entitled to:
(a) order further repetition of Tests on Completion under Sub-Clause 30.4;
(b) if the failure deprives the Employer of substantially the whole benefit of the Works or Section, reject the Works or Section (as the case may be), in which event the Employer shall have the same remedies as are provided in sub-paragraph (c) of New-Clause 6.4/PCC [Failure to Remedy Defects]; or
(c) issue a Taking-Over Certificate, if the Employer so requests.
In the event of sub-paragraph (c), the Contractor shall proceed in accordance with all other obligations under the Contract, and the Contract Price shall be reduced by such amount as shall be appropriate to cover the reduced value to the Employer as a result of this failure. Unless the relevant reduction for this failure is stated (or its method of calculation is defined) in the Contract, the Employer may require the reduction to be (i) agreed by both Parties (in full satisfaction of this failure only) and paid before this Taking-Over Certificate is issued, or (ii) determined and paid under New-Clause 2.4 [Employer's Claims] and New-Clause 3.5 [Determinations].
30.6 Contractor's obligations
Notwithstanding the provisions of New-clauses 4.1/PCC, clause 30.2 to 30.5/PCC the provisions in subsequent sub-clauses shall apply for works of Permanent Way, signalling and telecommunication and railway electrification excluding General Electrical Services.
(a) The Contractor shall be responsible for the execution of temporary and/or permanent works which may require the prior sanction/approval of Commissioner of Railway Safety (CRS) in accordance with extant rules for "The Railways opening for Public Carriage of Passengers" was amended from time to time. And applicable as and when the works are undertaken. The Contractor shall initiate the process for approval at least 63 (sixty-three) days prior to undertaking such works which require the approval of Commissioner of Railway Safety (CRS) and furnish draft documentation to the Engineer.



	<ul> <li>(b) The Contractor shall ensure that existing services and operations for public carriage of passengers or goods, are not affected except those, which are essentially required to be regulated for execution of works. Such items of works shall be planned and coordinated through the Engineer.</li> <li>(i) Prior to the commencement of commercial operations of passenger traffic, the Employer may permit freight train operations to Railway after certification by the authorized person of Zonal Railway. The Contractor shall be responsible for maintaining the facilities ensuring safety of operations as per specifications.</li> </ul>
Clause 34/CC	The following paras are replaced to the Existing CC Clause 34.
	VARIATIONS AND ADJUSTMENTS.
	34.1 Right to Vary
	Variations may be initiated by the Employer at any time prior to issuing the Taking- Over Certificate for the Works, either by an instruction or by making a request to the Contractor to submit a proposal.
	The Contractor shall execute and be bound by each variation till the price does not exceed 50% of the agreement value as specified in LOA/Original agreement. For variation beyond the above the contractor shall be bound to execute, unless the Contractor promptly gives notice to the Engineer stating (with supporting particulars) that the Contractor cannot readily obtain the Goods required for the Variation. Upon receiving this notice, the Engineer shall cancel, confirm or vary the instruction.
	Each Variation may include:
	(a) changes to the quantities of any item of work included in the Contract,
	(b) changes to the quality and other characteristics of any item of work,
	(c) changes to the levels, positions and/or dimensions of any part of the Works,
	(d) omission of any work unless it is to be carried out by others,
	<ul> <li>(e) any additional work, Plant, Materials or services necessary for the Permanent Works, including any associated Tests on Completion, boreholes and other testing and exploratory work, or</li> </ul>
	(f) changes to the sequence or timing of the execution of the Works.
	The Contractor shall not make any alteration and/or modification of the Permanent Works, unless and until the Engineer instructs or approves a Variation
	34.2 Value Engineering
	The Contractor may, at any time, submit to the Engineer a written proposal which (in the Contractor's opinion) will, if adopted, (i) accelerate completion, (ii) reduce the cost to the Employer of executing, maintaining or operating the Works, (iii) improve the efficiency or value to the Employer of the completed Works, or (iv) otherwise be



of benefit to the Employer. The proposal shall be prepared at the cost of the Contractor and shall include the items listed in Sub-Clause 34.3/PCC [Variation Procedure]. If a proposal, which is approved by the Engineer, includes a change in the design of part of the Permanent Works, then unless otherwise agreed by both Parties: (a) the Contractor shall design this part, (b) sub-paragraphs (a) to (d) of New-Clause 4.1/PCC [Contractor's General Obligations] shall apply, and (c) if this change results in a reduction in the contract value of this part, the Engineer shall proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine a fee, which shall be included in the Contract Price. This fee shall be half (50%) of the difference between the following amounts: such reduction in contract value, resulting from the change, excluding (i) adjustments under Sub-Clause 34.7/PCC [Adjustments for Changes in Legislation] and Sub-Clause PVC/Contract Data [Adjustments for Changes in Cost], and the reduction (if any) in the value to the Employer of the varied works, (ii) taking account of any reductions in guality, anticipated life or operational efficiencies. However, if amount (i) is less than amount (ii), there shall not be a fee. 34.3 Variation Procedure If the Engineer requests a proposal, prior to instructing a Variation, the Contractor shall respond in writing as soon as practicable, either by giving reasons why he cannot comply (if this is the case) or by submitting: (a) a description of the proposed work to be performed and a programme for its execution. (b) the Contractor's proposal for any necessary modifications to the programme according to Sub-Clause 25.3/PCC [Programme] and to the Time for Completion, and (c) the evaluation of the Variation shall be as specified in New-Clause 35/PCC [Payment for Variation]. The Engineer shall, as soon as practicable after receiving such proposal (under Sub-Clause 34.2/PCC [Value Engineering] or otherwise), respond with approval, disapproval or comments. The Contractor shall not delay any work whilst awaiting a response. Each instruction to execute a Variation, with any requirements for the recording of Costs, shall be issued by the Engineer to the Contractor, who shall acknowledge



#### receipt.

Each Variation shall be evaluated in accordance with New-Clause 7 [Measurement and Evaluation], unless the Engineer instructs or approves otherwise in accordance with this Clause.

### 34.4 Payment in Applicable Currencies

If the Contract provides for payment of the Contract Price in more than one currency, then whenever an adjustment is agreed, approved or determined as stated above, the amount payable in each of the applicable currencies shall be specified. For this purpose, reference shall be made to the actual or expected currency proportions of the Cost of the varied work, and to the proportions of various currencies specified for payment of the Contract Price.

## 34.5 Provisional Sums

Each Provisional Sum shall only be used, in whole or in part, in accordance with the Engineer's instructions, and the Contract Price shall be adjusted accordingly. The total sum paid to the Contractor shall include only such amounts, for the work, supplies or services to which the Provisional Sum relates, as the Engineer shall have instructed. For each Provisional Sum, the Engineer may instruct:

- (a) work to be executed (including Plant, Materials or services to be supplied) by the Contractor and valued under Sub-Clause 34.3/PCC [Variation Procedure]; and/or
- (b) Plant, Materials or services to be purchased by the Contractor, from a nominated Subcontractor (as defined in Clause 7 [Nominated Subcontractors]) or otherwise; and for which these shall be included in the Contract Price:
  - (i) the actual amounts paid (or due to be paid) by the Contractor, and
  - (ii) a sum for overhead charges and profit, calculated as a percentage of these actual amounts by applying the relevant percentage rate (if any) stated in the appropriate Schedule. If there is no such rate, the percentage rate stated in the Contract Data shall be applied.

The Contractor shall, when required by the Engineer, produce quotations, invoices, vouchers and accounts or receipts in substantiation

### 34.6 Day work

For work of a minor or incidental nature, the Engineer may instruct that a Variation shall be executed on a daywork basis. The work shall then be valued in accordance with the Daywork Schedule included in the Contract, and the following procedure shall apply. If a Daywork Schedule is not included in the Contract, this Sub-Clause shall not apply.

Before ordering Goods for the work, the Contractor shall submit quotations to the Engineer. When applying for payment, the Contractor shall submit invoices, vouchers and accounts or receipts for any Goods.

Except for any items for which the Daywork Schedule specifies that payment is not



due, the Contractor shall deliver each day to the Engineer accurate statements in duplicate which shall include the following details of the resources used in executing the previous day's work:
(a) the names, occupations and time of Contractor's Personnel,
(b) the identification, type and time of Contractor's Equipment and Temporary Works, and
(c) the quantities and types of Plant and Materials used.
One copy of each statement will, if correct, or when agreed, be signed by the Engineer and returned to the Contractor. The Contractor shall then submit priced statements of these resources to the Engineer, prior to their inclusion in the next Statement under Sub-Clause 37.1/PCC [Application for Interim Payment Certificates]
34.7 Adjustments for Changes in Legislation
Contract Price shall be adjusted to take account of any increase or decrease in Cost resulting from a change in the Laws of the Country (including the introduction of new Laws and the repeal or modification of existing Laws) or in the judicial or official governmental interpretation of such Laws, made after the Base Date, which affect the Contractor in the performance of obligations under the Contract.
If the Contractor suffers (or will suffer) delay and/or incurs (or will incur) additional Cost as a result of these changes in the Laws or in such interpretations, made after the Base Date, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to:
(a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 26.5/PCC [Extension of Time for Completion], and
(b) payment of any such Cost, which shall be included in the Contract Price.
After receiving this notice, the Engineer shall proceed in accordance with New- Clause 3.5 [Determinations] to agree or determine these matters.
In case there is a decrease in cost as a result of changes of Law by Legislation after the Base Date, the Engineer shall proceed in accordance with New-Clause 3.5 (determination) to agree or determine these matters without waiting for Contractor's / Employer's Notice.
Notwithstanding the foregoing, the Contractor shall not be entitled to such an extension of time if the same shall already have been taken into account in determining an extension and such Cost shall not be separately paid if the same shall already have been taken into account in the indexing of any inputs to the table of adjustment data in accordance with the provisions of Sub-Clause PVC/Contract Data.



Clause 35/CC	The clause 35 of CC is substituted with following paras.
	Payment for Variations.
	A. Variation in the Bill of Quantities
	i) The quantities of items shown in the Bill of Quantities are approximate, and liable to vary during the actual execution of the work. Some items/group of items may have to be altered, added or omitted. The Contractor shall be bound to carry out and complete the stipulated work as instructed by the Engineer, irrespective of the magnitude of variations including additions, alterations or omissions in the Bill of Quantities, individual items or group of items, specified in the Bill of Quantities.
	ii) Such variations shall be paid as follows:
	a) Payment for the increase in the quantities of an item in the BOQ upto 25% of that provided in the Bill of Quantities shall be made at the rates quoted by the Contractor, except in the case of foundation.
	b) In case of foundation work, no variation limit applies and Contractor shall carryout the Work, at rates stipulated in the Contract irrespective of any variation.
	c) For quantities in excess of 125% of the tendered quantity of an item as given in the BOQ, the Contractor shall be paid at the reasonable rate derive from the details mentioned in para no. C (applicable for the area of work and current at the time of variations), plus-minus the overall percentage of the original tendered rates over the current schedule of rates prevalent at the time of contract. [As far as SOR (CPWD/USSSOR) items are concerned, the limit of 25% would apply to the value of SOR schedule as a whole and not on individual SOR items. The new rate for an individual SOR item in excess of 125% of the tendered quantity will be applicable if the particular SOR Schedule also exceeds 125% of the Schedule value. However, in case of Non Scheduled items, the limit of 25% would apply on individual items].
	<ul> <li>d) If there is no rate for the additional, substituted, or altered item of the work in the BOQ, efforts would be made to derive the rates from those given in the BOQ or the Schedule of Rates (applicable for the area of the work and current at the time of award of Contract) and if found feasible the payment would be made at the derived rate for the item plus or minus the overall percentage of the original tendered rates over the current the current schedule of Rates prevalent at the time of award of Contract.</li> </ul>
	e) If the rate for additional, substituted or altered items of works cannot be determined either as at (a), (c) or (d) above, the Contractor shall be requested to submit his quotation for the items supported by analysis of the rate or rates claimed, with 7 days.
	f) If the Contractor's quotation is determined unreasonable, the Employer may order



	/ariation and make a change to the Contract Price which shall be based on Employer's own forecast of the effects of the Variation on the Contractor's S.
•,	er no circumstances the contractor shall suspend the work on the plea of settlement of rates for items falling under this clause
B. Procedure	e for the operation of new items/ variations
	owing procedure is to be followed in dealing with the proposal for variation in ractual quantity and/or new items.
a.	If any item of work that is not provided for in the BOQ or in case of variation in BOQ Quantity is to be operated, the contractor shall take instructions in writing from the Engineer as per the relevant clause specified in the conditions of the contract on variations.
b.	The contractor on receipt of the instructions shall submit a proposal with the details as specified in the Contract including the proposed rates for the items and proper analysis for the same. (New items and beyond 125% of individual items in case of variation).
C. Rate for v	variations and new items
shoul	eral principle to be added shall be, in case of relevant LAR is available it Id be considered. In the absence of relevant LAR, SOR rates. / analysis ioned as per SOR to be followed.
	rate for new items or variations beyond 125% may be derived from the latest onable rates of following sequence:
SWR BMR SOR USS	DE LAR. LAR. CL LAR. of KPWD/KPTCL. OR Railways. of CPWD.
In the	e absence/Conflict of above (LAR/SOR) rate may be derived as below:
fi	Cost of materials at current market price, as may be actually utilized in the inal finished Permanent Works, including a reasonable percentage for vastage and transportation.
	Cost of enabling works, if any, (Unless provided for separately) worked out on he above basis but with less stringent quality. Specifications minus the



	salvage value of serviceable material released after completion of work and cost of material released as scrap.	
	c. Cost of labor actually used at the site of work at rated under payment of minimum wages Act for the area of work for each category o workers, further enhanced by a percentage of 10% of the aforesaid rates to account for labor not directly utilized at the site and other ancillary and incidental expenses on labor.	
	d. Hire charges for Plant and Machinery, scaffolding, shuttering, forms, etc requires to be used at the site of the work. The tools used by the various trades shall not be counted as Plant & Machinery for this purpose.	
	e. An amount of 10% of items a, b, c & d above to allow for the Contractor's overhead and profit. This percentage shall also apply to the estimated cost of Materials supplied free to the contractor.	
	f. All taxes as applicable on above.	
Clause 37/CC	The clause 37 of CC is replaced with the following.	
	Payments.	
	37.1 Application for Interim Payment Certificates	
	Payments shall be adjusted for deductions for advance payments other than recoveries in terms of contract and taxes, at source as applicable under law. The Contractor shall be liable to pay liquidated damages for shortfall in progress. Items of the Works for which no rate or price has been entered in will not be paid for by the Employer and shall be deemed covered by other rates and prices in the Contract. The Contractor shall submit a Statement in six copies to the Engineer in accordance with the payment procedure specified by the Engineer, after the end of each month, in a form approved by the Engineer, showing in detail the amounts to which the Contractor considers himself to be entitled, together with supporting documents which shall include the report on the progress during this month in accordance with New-Clause 4.39/PCC [Progress Reports] and Record Measurement Sheets.	
	The Statement shall include the following items, as applicable, which shall be expressed in the various currencies in which the Contract Price is payable, in the sequence listed:	
	<ul> <li>(a) the estimated contract value of the Works executed and the Contractor's Documents produced up to the end of the month (including Variations but excluding items described in sub-paragraphs (b) to (g) below);</li> </ul>	
	(b) any amounts to be added and deducted for changes in legislation and changes in cost, in accordance with Sub-Clause 34.7/PCC [Adjustments for Changes in Legislation] and Sub-Clause PVC/Contract Data [Adjustments for Changes in	



Cost];
(c) any amount to be deducted for retention, calculated by applying the percentage of retention stated in the Contract Data to the total of the above amounts, until the amount so retained by the Employer reaches the limit of Retention Money (if any) stated in the Contract Data;
<ul> <li>(d) Any amounts to be added and deducted for the advance payments and repayments in accordance with sub clause 42.0/PCC [Advance Payment];</li> </ul>
<ul> <li>(e) any amounts to be added and deducted for Materials in accordance with New- Clause 13/PCC [Materials intended for the Works];</li> </ul>
<ul> <li>(f) any other additions or deductions which may have become due under the Contract or otherwise, including those under Clause 4.1/SCC [Claims, Disputes and Arbitration]; and</li> </ul>
(g) the deduction of amounts certified in all previous Payment Certificates.
<ul> <li>(h) for invoicing as per GST Laws, the estimated contract value of the Works executed in terms of (a) above and any amounts added or deducted as per (b),</li> <li>(e) to (g) above is to be broken up in two components i.e. (i) the base amount excluding GST (ii) GST component (calculated at the rate for works contract service as per GST Laws).</li> </ul>
(i) Statement of interim payments certificates should be submitted by the Contractor to the Engineer by the 7th day of each month for the work executed upto the end of the previous month.
(j) An amount to be deducted for the payments to be made to different Departments towards payments liable to be made by the Contractor
37.2 Schedule of Payments
If the Contract includes a schedule of payments specifying the installments in which the Contract Price will be paid, then unless otherwise stated in this schedule:
<ul> <li>(a) the installments quoted in this schedule of payments shall be the estimated contract values for the purposes of sub-paragraph (a) of Sub-Clause 37.1/PCC [Application for Interim Payment Certificates];</li> </ul>
(b) New-Clause 13/PCC [Provisional payment against material at site] shall not apply; and
(c) if these installments are not defined by reference to the actual progress achieved in executing the Works, and if actual progress is found to be less than that on which this schedule of payments was based, then the Engineer may proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine revised installments, which shall take account of the extent to which progress is less than that on which the installments were previously based.
If the Contract does not include a schedule of payments, the Contractor shall submit



non-binding estimates of the payments which he expects to become due during each quarterly period. The first estimate shall be submitted within 42 days after the Commencement Date. Revised estimates shall be submitted at quarterly intervals, until the Taking-Over Certificate has been issued for the Works.
37.3 Issue of Interim Payment Certificates
No amount will be certified or paid until the employer has received and approved the performance security. Thereafter, the Engineer shall within two days after receiving a statement and supporting documents (including Contractor's certificate in terms of Sub-Clause 1.22/SCC, issue to the Employer a provisional interim payment certificate which shall state the amount which the Engineer determines to be due after preliminary check as per K-RIDE's procedure order. After this the Engineer shall, within 28 days after receiving a statement and supporting documents, issue to the employer an Interim Payment Certificate which shall state the amount which the Engineer fairly determines to be due, with supporting particulars.
However, prior to issuing the Taking-Over Certificate for the Works, the Engineer shall not be bound to issue the Interim Payment Certificate in an amount which would (after retention and other deductions) be less than the minimum amount of Interim Payment Certificates (if any) stated in the Contract Data. In this event, the Engineer shall give notice to the Contractor accordingly.
An Interim Payment Certificate shall not be withheld for any other reason, although:
<ul> <li>(a) if anything supplied or work done by the Contractor is not in accordance with the Contract, the cost of rectification or replacement may be withheld until rectification or replacement has been completed; and/or</li> </ul>
(b) if the Contractor was or is failing to perform any work or obligation in accordance with the Contract, and had been so notified by the Engineer, the value of this work or obligation may be withheld until the work or obligation has been performed.
The Engineer may in any Payment Certificate make any correction or modification that should properly be made to any previous Payment Certificate. A Payment Certificate shall not be deemed to indicate the Engineer's acceptance, approval, consent or satisfaction.
37.4 Payment
The Employer shall pay to the Contractor:
<ul> <li>(a) the first installment of the advance payment within 42 days after issuing the Letter of Acceptance or within 21 days after receiving the documents in accordance with New-Clause 4.19/PCC [Performance Security] and Sub-Clause 42.0/PCC [Advance Payment], whichever is later;</li> </ul>
(b) After preliminary scrutiny and certifications by the Engineer, payment of 70% of the certified net payment due (after recoveries and deductions), shall be made by



the Employer within 10 days of receiving a statement and supporting documents by the Engineer subject to the condition that last interim payment certificate has been settled after detailed check. In the event of the contractor submitting bills based on false measurements, Project Director should issue a written warning to him to the effect that the facility of 70% payment without detailed check will be withdrawn in future. If the contractor repeats the misconduct this facility should be withdrawn.
(c) the amount certified in the Final Payment Certificate within 56 days after the Employer receives this Payment Certificate or, the undisputed amount shown in the Final Statement, within 56 days after the date of notification of the suspension.
Payment of the amount due, unless specified in the Contract Data, shall be made in INR into the bank account, nominated by the Contractor.
However, in case of JV, direct payment to individual JV partners shall be made on joint certification (about the net amounts payable to individual partners) by the authorized representative of the JV and concerned respective authorized representative of individual JV partners, after making requisite recoveries/deductions from the gross payment. In case of any dispute regarding the net amounts payable to individual partners, the Engineer shall decide the same on the basis of the execution of items of works under Schedules/Bills indicated in the JV agreement as the responsibility of execution of each JV partner. Payment to individual JV partners shall be treated as payment made to the JV. The said payment shall not alter any obligation of the JV and its individual Partners under the Agreement and their obligations under the agreement shall remain joint and several.
A foreign company (either single entity or JV partner), shall have to submit proof of having opened their project office in India before any payment (including advance payment) is released to such a company. The required proof here shall be a copy of the report containing information as per format prescribed by Reserve Bank of India submitted to the Director General of Police (DGP) of the state concerned in which project office has been established.
37.5 DELETED
37.6 DELETED.
37.7 DELETED
37.8 DELETED
37.9 DELETED
37.10 DELETED
37.11 Statement at Completion
 Within 84 days after receiving the Taking-Over Certificate for the Works, the



Contractor shall submit to the Engineer six copies of a Statement at completion
with supporting documents, in accordance with Sub-Clause 37.7/PCC [Application for Interim Payment Certificates], showing:
<ul> <li>(a) the value of all work done in accordance with the Contract up to the date stated in the Taking- Over Certificate for the Works,</li> </ul>
(b) any further sums which the Contractor considers to be due, and
(c) an estimate of any other amounts which the Contractor considers will become due to him under the Contract. Estimated amounts shall be shown separately in this Statement at completion.
The Engineer shall then certify in accordance with Sub-Clause 37.3/PCC [Issue of Interim Payment Certificates].
Within 56 days after receiving the Performance Certificate, the Contractor shall submit, to the Engineer, six copies of a draft final statement as per procedure prescribed by the Engineer, with supporting documents showing in detail in a form approved by the Engineer:
37.12 Application for Final Payment Certificate
Within 56 days after receiving the Performance Certificate, the Contractor shall submit, to the Engineer, six copies of a draft final statement as per procedure prescribed by the Engineer, with supporting documents (including Contractor's certificate in terms of Sub-Clause 1.22/SCC showing in detail in a form approved by the Engineer:
(a) the value of all work done in accordance with the Contract, and
(b) any further sums which the Contractor considers to be due to him under the Contract or otherwise.
If the Engineer disagrees with or cannot verify any part of the draft final statement, as per procedure prescribed by the Engineer the Contractor shall submit such further information as the Engineer may reasonably require and shall make such changes in the draft as may be agreed between them. The Contractor shall then prepare and submit to the Engineer the final statement as agreed. This agreed statement is referred to in these Conditions as the "Final Statement".
However, if, following discussions between the Engineer and the Contractor and any changes to the draft final statement which are agreed, it becomes evident that a dispute exists, the Engineer shall deliver to the Employer (with a copy to the Contractor) an Interim Payment Certificate for the agreed parts of the draft final statement. Thereafter, if the dispute is finally resolved under Sub-Clause 4.SCC [Obtaining Dispute Board's Decision] or Sub-Clause 4.2/SCC [Amicable Settlement], the Contractor shall then prepare and submit to the Employer (with a copy to the Engineer) a Final Statement.



07.40 Dis shawe
37.13 Discharge
When submitting the Final Statement, the Contractor shall submit a discharge which confirms that the total of the Final Statement represents full and final settlement of all moneys due to the Contractor under or in connection with the Contract. This discharge may state that it becomes effective when the Contractor has received the Performance Security and the outstanding balance of this total, in which event the discharge shall be effective on such date.
37.14 Issue of Final Payment Certificate
Within 28 days after receiving the Final Statement and discharge in accordance with Sub-Clause 37.12/PCC [Application for Final Payment Certificate] and Sub-Clause 37.13/PCC [Discharge], the Engineer shall issue, to the Employer, the Final Payment Certificate which shall state:
(a) the amount which he fairly determines is finally due, and
(b) after giving credit to the Employer for all amounts previously paid by the Employer and for all sums to which the Employer is entitled, the balance (if any) due from the Employer to the Contractor or from the Contractor to the Employer, as the case may be.
If the Contractor has not applied for a Final Payment Certificate in accordance with Sub-Clause 37.12/PCC [Application for Final Payment Certificate] and Sub-Clause 37.13/PCC [Discharge], the Engineer shall request the Contractor to do so. If the Contractor fails to submit an application within a period of 28 days, the Engineer shall issue the Final Payment Certificate for such amount as he fairly determines to be due.
37.15 Cessation of Employer's Liability
The Employer shall not be liable to the Contractor for any matter or thing under or in connection with the Contract or execution of the Works, except to the extent that the Contractor shall have included an amount expressly for it:
(a) in the Final Statement and also
(b) (except for matters or things arising after the issue of the Taking-Over Certificate for the Works) in the Statement at completion described in Sub- Clause 37.11/PCC [Statement at Completion].
However, this Sub-Clause shall not limit the Employer's liability under his indemnification obligations, or the Employer's liability in any case of fraud, deliberate default or reckless misconduct by the Employer
37.16 Currencies of Payment
a) The Contract Price shall be paid in Indian Rupees (INR).
 37.17 Tax Deduction at Source: Tax deductions will be made at source as per statutory



requirement from every payment made to the Contractor at rates notified from time to time. i. Income tax deduction: Income Tax deduction shall be as per law. ii. Labour Cess & Royalties: The labour Cess & Royalties will be deducted as per norms of applicable law from each IPCs and remitted to the respective Authorities 37.18 Production of Vouchers a. The Contractor shall, whenever required by the Engineer, produce or cause to be produced for examination by the Engineer, any quotation, invoice, cost or other account books, vouchers, receipts, letters, memoranda or any copy of or extract from any such documents and also furnish information and returns, as may be required, relating to the execution of this Contract or relevant for verifying or ascertaining the cost of execution of this Contract or ascertaining the Materials supplied by the Contractor are in accordance with the Specifications laid down in the Contract. The Engineer's decision on the question of relevancy of any documents, information or returns shall be final and binding on the parties. If any part or item of the Work is allowed to be carried out by a Subb. Contractor, assignee or any subsidiary or allied firm, the Engineer shall have power to secure the books of such Sub-Contractor, assignee or any subsidiary or allied firm through the Contractor, and shall have power to examine and inspect the same. The above obligations are without prejudice to the obligations of the Contractor under any statute, rules or orders. 37.19 Withholding and Lien For Sums Claimed (i) The Employer shall have lien on and over all materials of every description, tools, tackles, plant, equipment or any amount due and/or that may become due and payable to the Contractor under the Contract, and / or on and over the deposit of Performance Security or other amount or amounts made under the Contract and which may become payable to the Contractor. Employer may exercise a general lien also. (ii) And further, unless the Contractor pays and clears immediately on demand any claim of the Employer, the Employer shall at all times be entitled to deduct the amount of the said claim from the amount, securities and / or deposits which may have become or will become payable to the Contractor under the existing contract, or under any other Contract or transaction whatsoever between the Employer and the Contractor even if the matter stands referred to Arbitration. The Contractor shall have no claim for any interest or damage whatsoever in respect of any amounts withheld or treated as withheld under the lien referred to above and duly notified as such to the Contractor 37.20 Signature on Receipts for Payments Every receipt of payment to Contractor including refund of the Performance



	Security shall be signed by the person authorized to do so on his behalf. In the event of death of any of the Contractor's partners in case the Contractor is a partnership firm, during the currency of the Contract, it is hereby expressly agreed that every receipt by any one of surviving Contractor's partners, shall, if so signed as aforesaid, be a good and sufficient discharge as aforesaid, provided that nothing in this Clause shall be deemed to prejudice or affect any claim, which the Employer may hereafter have against the legal representatives of any Contractor's partner so dying, for or in respect of breach of any of the conditions of the Contract. Provided also that nothing contained in this clause shall be deemed to prejudice or affect the respective rights and obligations of the Contractor's partners, or of the legal heirs / representatives of any deceased Contractor / partner interest		
	37.21 Post Payment Audit		
	It is an agreed term of the Contract, that the Employer reserves to himself the right to carry out a post payment audit and / or technical examination of the Works, and the Final bill including all supporting vouchers, abstracts, etc., and to make a claim on the Contractor for the refund of any excess amount paid to him, if as a result of such examination, any over-payment to him is discovered to have been made in respect of any work done or alleged to have been done by the Contractor, under the Contract. If any under-payment is discovered, the same shall be paid by the Employer to the Contractor. Such payments or recoveries, however, shall not carry any interest.		
	37.22 Recovery of money due to the Employer		
	All damages (including, without limitation, liquidated damages), costs, charges, expenses, debts, or sums for which the Contractor is liable to the Employer under any provision of the Contract may be deducted by the Employer from amount due to the Contractor under the Contract including, without limitation, and the Employer shall have the power to recover any balance not so deducted from amount due to the Contractor under any other contract between the Employer and the Contractor		
	When the Contractor has assigned to a third party the right to receive amount due, or, to become due, under the Contract to the Contractor or charged such amount in favour of a third party, the Employer's right to deduct damages (including without limitation liquidated damages), costs, charges, expenses, debts or sums for which the Contractor is liable to the Employer from amount due to the Contractor under the Contract shall be limited to the right expressed above.		
Clause-38/CC	The clause 38 of CC is substituted with the following para.		
	38.1 Compensation Event:		
	The risks referred to in Sub-Clause 11.4/PCC below, in so far as they directly affect the execution of the works in the Country, are:		
	(a) war, hostilities (whether war be declared or not), invasion, act of foreign enemies,		
	(b) rebellion, terrorism, sabotage by persons other than the Contractor's		



		Personnel, revolution, insurrection, military or usurped power, or civil war, within the Country,
	(c)	riot, commotion or disorder within the Country by persons other than the Contractor's Personnel,
	(d)	munitions of war, explosive materials, ionising radiation or contamination by radio-activity, within the Country, except as may be attributable to the Contractor's use of such munitions, explosives, radiation or radio-activity,
	(e)	pressure waves caused by aircraft or other aerial devices travelling at sonic or supersonic speeds,
	(f)	use or occupation by the Employer of any part of the Permanent Works, except as may be specified in the Contract,
	(g)	design of any part of the Works by the Employer's Personnel or by others for whom the Employer is responsible.
Clause-40/CC	The follow	ving paras are added to the Existing CC Clauses.
	CONTRAC	CT PRICE
	40.1 The	Contract Price
	Unle	ess otherwise stated in the Special Conditions of Contract:
	(a)	the Contract Price shall be agreed or determined under Clause 35/PCC [Payment for Variation] and be subject to adjustments in accordance with the Contract;
	(b)	the Contractor shall pay all taxes, duties and fees required to be paid by him under the Contract, and the Contract Price shall not be adjusted for any of these costs except as stated in Sub-Clause 34.7/PCC [Adjustments for Changes in Legislation];
	(c)	any quantities which may be set out in the Bill of Quantities or other Schedule are estimated quantities and are not to be taken as the actual and final quantities:
		(i) of the Works which the Contractor is required to execute, or
		<ul><li>(ii) for the purposes of New-Clause 7/PCC [Measurement and Evaluation]; and</li></ul>
	(d)	the Contractor shall submit to the Engineer, within 28 days after the Commencement Date, a proposed breakdown of each lump sum price in the Schedules, if applicable. The Engineer may take account of the breakdown when preparing Payment Certificates, but shall not be bound by it.
	(e)	It may be noted that in the event of the Contractor not making the due payments stated in sub-clause (b) above, and the concerned party puts up a claim with the Employer / Engineer, then the Employer / Engineer may make such payments and deduct the same from the sums due to the Contractor.



	Change in Law	
	Change in Law means the occurrence or coming into force of the following, at any time after the last Date of submission of tender:	
	Any new Central and State Taxes, duties, cess, levies, which is imposed or any existing Central and State Taxes, duties, cess, levies & royalties are withdrawn after the due date of submission of tender and which impacts the performance of the contractor with increased cost or which results in extra financial gains to the contractor due to decreased cost in execution of contract. Such additional or reduced cost shall be certified by the Engineer after examining records provided by the contractor and shall be paid by or credited to the employer.	
	However, change in the rate of any existing Central & State taxes (except GST), duties, cess, levies will not be considered as change in Law. Any risk of change in rate of existing Central and State Taxes (except GST), duties, cess, levies lies with and shall be borne by the Contractor.	
Clause 42/CC	The following paras are added to the Existing CC Clauses.	
	Advance Payment:	
	42.1 Mobilization Advance	
	The Employer shall make payment, as an Interest-bearing advance for mobilization, when the Contractor submits a guarantee in accordance with this Sub-Clause. The total advance payment, the number and timing of instalments (if more than one), and the applicable currencies and proportions, shall be as stated in the Contract Data.	
	Unless and until the Employer receives this guarantee, or if the total advance payment is not stated in the Contract Data, this Sub-Clause shall not apply.	
	The Engineer shall issue an interim payment certificate for the first installment of mobilization advance after receiving an application for advance payment (under sub clause 37.1/PCC [Application for Interim Payment Certificates]) and after the Employer receives (i) the Performance Security in accordance with New-Clause 4.19/PCC [Performance Security] and (ii) a guarantee in amounts and currencies equal to the advance payment plus 10%. The next installment shall be released only when the contractor submits statement of having utilized the previous installment of mobilization advance and the Employer is satisfied that the utilization has been done in purposeful manner.	
	Mobilization advance payment: Comprises of the percentage of Accepted Contract Amount payable in the currencies and proportions in which the Accepted Contract Amount is payable and in installments as mentioned below;	



	Mobilisation Advance	Instalments
	As per request of the Contractor subject to a maximum of 5%	Two Equal
	Timing of Mobilization Advance Payment: First Installment with days from the date of receipt of Bank guarantee acceptable to Em	,
	Second Installment shall be released only when the contractor su having utilized the First Installment of mobilization Advance ar satisfied that the utilization has been done in purposeful manu released within 21 days from the date of receipt of Bank guarante Employer.	nd the Employer is ner. This shall be
	Interest on Advance Payment: At the rate of SBI MCLR+2% annum on reducing balances	simple interest per
42	2.2 Advance against Plant and Machinery	
	This advance is payable in Indian Rupees/respective currencie Tender and accepted by the Employer against plant, equipme provided the same have reached the site, or in the case of specifically for the works, firm purchase order has been place received. The plant and machinery shall be valued by the Enginee	ent and machinery, new items meant d and the invoices
	(a) New items: 80% of purchase price	
	(b) Used items in working order: 80% of the depreciated value a Engineer	as assessed by the
	(c) Items valued at less than Rs. 1,000,000 (Rs. One million) considered	per unit: Not to be
	The total advance for Plant and Machinery shall be limited to a Price and will carry an interest rate of SBI MCLR +2% simple intereducing balances. It will be paid against submission of Bank Gu of advance value for each stage of advance to be given by eac consortium/JV in proportion to their participation as per format of Formats, from an Indian Schedule bank (excluding Cooperative schedule Foreign Bank as defined in Section 2(e) of RBI Act 193 Schedule. All bank Guarantees should be payable in Bangalore branch.	erest per annum on Jarantees for 110% ach member of the given in section 10: e Banks) or from a 4 read with Second
	The Contractor should give an Undertaking that "No advance/lo against the subject plant & machinery from any other individual/ organisation etc." If a wrong/false undertaking is given, all the B and the contract is liable for termination under clause 49 of CC/PC	financial institution/ Gs can be forfeited



The Advance against Plant and Machinery will be paid within 30 days after receipt of the Contractor's written request by the Employer which is recommended by the Engineer and submission of Bank Guarantees for procurement of plant and machinery.
Wherever Bank Guarantee or any other instrument is to be extended or fresh BG to be obtained, the cost towards getting extension of BG or fresh BG and other incidental charges will be on Contractor's account
The Contractor;
(i) Shall submit the invoice and RC book (wherever applicable) in original, at the time of obtaining advance amount.
(ii) Shall furnish all four Bank Guarantees one time as per Condition of Contract.
(iii) Shall execute an Indemnity bond in favour of an Employer as against Third Party claim.
(iv) Shall not seek the possession of machinery brought to the site till the Advance obtained from Employer is fully settled.
The contractor should ensure that in the case of "Machinery and Equipment Advance" insurance and hypothecation in favour of the Employer should be done. The contractor should submit the proof of document related to Insurance and Hypothecation to the Engineer who will certify that the insurance and hypothecation to the Employer has been done in proper manner.
Employer shall be the sole custodian of the entire plant and machinery whenever the advance amount is given to the contractor and the same shall remain in the custody of Employer, till the Advance obtained from Employer, is fully settled.
The machinery and equipment brought to the site shall be exclusively intended for the execution of the work of Employer and shall not be removed without the consent of the Engineer.
The Contractor shall indemnify and hold harmless, the Employer against all actions, suits, proceedings, claims, damages, losses, expenses, demands pertaining to Advance amount towards plant and machinery.
The Contractor shall not remove any Equipment or Machinery from the site without the prior permission of the Engineer.
The contractor;
a) Shall not mortgage/create charge/hypothecate/encumber, in any way the machineries and equipment brought to site from the amounts advanced by Employer



and shall give an undertaking in writing to that effect in favour of Employer.

b) Shall not sell or alienate any part/portion of machinery and equipment without the consent of Employer.

c) In the event of any such sale/alienation of any portion or part of machinery, Employer shall hold First Charge and the proceeds of such sale or alienation shall be appropriated towards the loan/ credit/ advance in respect of plant and machinery brought to the site by contractor.

### 42.3 Guarantees

Advances as mentioned in sub-clauses 42.1/PCC above, shall be payable against acceptable Bank Guarantees from banks as specified in New-clause 4.19/PCC. The guarantees shall be in the form as given in Section 10 (Contract Forms) or in another form approved by the Employer. The Contractor shall ensure that the guarantees are valid and enforceable until the advance amount paid as has been repaid, but its amount may be progressively reduced by the amount repaid by the Contractor as indicated in the Payment Certificates. If the terms of the guarantee specify its expiry date, and the advance payment has not been repaid by the date 28 days prior to the expiry date, the Contractor shall extend the validity of the guarantee until the advance payment has been repaid.

## 42.4 Recovery of Advances

Unless stated otherwise in the Contract Data, the advance payment shall be repaid through percentage deductions from the interim payments determined by the Engineer in accordance with Sub-Clause 37.3/PCC [Issue of Interim Payment Certificates], as follows:

- (a) deductions shall commence in the next interim Payment Certificate following that in which the total of all certified interim payments (excluding the advance payment) exceeds 15 percent of the Accepted Contract Amount Less Provisional Sums or passage of six months from the date of release of first advance payment, whichever is earlier; and
- (b) deductions shall be made for accrued interest on the advance up to the month and advance at the rate stated in the Contract Data of the amount of each Interim Payment Certificate (excluding the advance payment and deductions and repayments of retention) in the currencies and proportions of the advance payment until such time as the advance payment and accrued interest has been repaid; provided that the advance payment and accrued interest shall be completely repaid prior to the time when 85 percent of the Accepted Contract Amount has been certified for payment. If the amount of interim payment certificate is not sufficient for recovery of accrued interest or in the opinion of the Employer satisfactory progress is not being achieved by the contractor, then the contractor will have to deposit the accrued interest and return the mobilisation advance in part or in full as demanded by the



	Employer, failing which Employer shall have the right to encash the Bank Guarantee(s)
	The contractor shall always have the option to start repayment earlier and/or to complete the repayment earlier than the due date.
	If the advance payment has not been repaid prior to the issue of the Taking-Over Certificate for the Works or prior to termination under Clause 49.0/PCC [Termination by Employer] or New-Clause 8/PCC [Force Majeure] (as the case may be), the whole of the balance then outstanding shall immediately become due and payable by the Contractor to the Employer.
	<b>42.5 Repayment rate of Advance payment</b> : 10% (Ten percent) of the amount of each running account bill payment.
	42.6 Advances to be Used only for this Work
	The advances shall be used by the Contractor strictly for the purpose of the Contract, and for the purpose for which they are paid. Under no circumstances, shall the advances be diverted for other purposes. Any such diversion shall be construed as a breach of the Contract and the Contractor shall be asked to return the advance at once and pay interest at 15% per annum till the advance is recovered back from him. The Contractor shall return the advance and pay the interest in one go without demur.
	Employer retains the right for any other remedy prescribed for breach of Contract in this regard.
	The Contractor, if required by the Engineer shall provide the details of utilization of Mobilization advance.
Clause 46/CC	The clause 46 of CC replaced with the following paras.
	Employer Taking Over
	46.1 Taking Over of the Works and Sections
	The Employer shall take over the Site and the Works within after issuing a certificate of Completion. Except as stated in Sub-Clause 30.5/PCC [Failure to Pass Tests on Completion], the Works shall be taken over by the Employer/Railway when (i) the Works have been completed in accordance with the Contract, including the matters described in Sub-Clause 26.4/PCC [Time for Completion] and except as allowed in sub-paragraph (a) below, and (ii) a Taking-Over Certificate for the Works has been issued, or is deemed to have been issued in accordance with this Sub-Clause.
	The Contractor may apply by notice to the Engineer for a Taking-Over Certificate not earlier than 14 days before the Works will, in the Contractor's opinion, be complete and ready for taking over. If the Works are divided into Sections, the Contractor may similarly apply for a Taking-Over Certificate for each Section.
	In case the works are to be taken over in accordance with sub-clause 30.6/PCC, the



completed works shall be taken over by the Zonal Railway with the procedure specified by the Engineer.
The Engineer shall, within 28 days after receiving the Contractor's application:
<ul> <li>(a) issue the Taking-Over Certificate to the Contractor, stating the date on which the Works or Section were completed in accordance with the Contract, except for any minor outstanding work and defects which will not substantially affect the use of the Works or Section for their intended purpose (either until or whilst this work is completed and these defects are remedied); or</li> </ul>
(b) reject the application, giving reasons and specifying the work required to be done by the Contractor to enable the Taking-Over Certificate to be issued. The Contractor shall then complete this work before issuing a further notice under this Sub-Clause.
If the Engineer fails either to issue the Taking-Over Certificate or to reject the Contractor's application within the period of 28 days, and if the Works or Section (as the case may be) are substantially in accordance with the Contract, the Taking-Over Certificate shall be deemed to have been issued on the last day of that period.
46.2 Taking Over of Parts of the Works
The Engineer may, at the sole discretion of the Employer, issue a Taking-Over Certificate for any part of the Permanent Works.
The Employer shall not use any part of the Works (other than as a temporary measure which is either specified in the Contract or agreed by both Parties) unless and until the Engineer has issued a Taking-Over Certificate for this part. However, if the Employer does use any part of the Works before the Taking-Over Certificate is issued:
<ul> <li>(a) the part which is used shall be deemed to have been taken over as from the date on which it is used,</li> </ul>
(b) the Contractor shall cease to be liable for the care of such part as from this date, when responsibility shall pass to the Employer, and
(c) if requested by the Contractor, the Engineer shall issue a Taking-Over Certificate for this part.
After the Engineer has issued a Taking-Over Certificate for a part of the Works, the Contractor shall be given the earliest opportunity to take such steps as may be necessary to carry out any outstanding Tests on Completion. The Contractor shall carry out these Tests on Completion as soon as practicable before the expiry date of the relevant Defects Notification Period.
If the Contractor incurs Cost as a result of the Employer taking over and/or using a part of the Works, other than such use as is specified in the Contract or agreed by the Contractor, the Contractor shall (i) give notice to the Engineer and (ii) be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to payment of any such Cost, which shall be included in the Contract Price. After receiving this notice, the Engineer



	shall proceed in accordance with New—Clause 3.5/PCC [Determinations] to agree or determine this Cost and profit.
	If a Taking-Over Certificate has been issued for a part of the Works (other than a Section), the delay damages thereafter for completion of the remainder of the Works shall be reduced. Similarly, the delay damages for the remainder of the Section (if any) in which this part is included shall also be reduced. For any period of delay after the date stated in this Taking-Over Certificate, the proportional reduction in these delay damages shall be calculated as the proportion which the value of the part so certified bears to the value of the Works or Section (as the case may be) as a whole. The Engineer shall proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine these proportions. The provisions of this paragraph shall only apply to the daily rate of delay damages under Sub-Clause 26.8/PCC [Delay Damages], and shall not affect the maximum amount of these damages.
	46.3 Interference with Tests on Completion
	If the Contractor is prevented, for more than 14 days, from carrying out the Tests on Completion by a cause for which the Employer/Engineer/other Contractors of the Employer, are responsible, the Employer shall be deemed to have taken over the Works or Section (as the case may be) on the date when the Tests on Completion would otherwise have been completed.
	The Engineer shall then issue a Taking-Over Certificate accordingly, and the Contractor shall carry out the Tests on Completion as soon as practicable, before the expiry date of the Defects Notification Period. The Engineer shall require the Tests on Completion to be carried out by giving 14 days' notice and in accordance with the relevant provisions of the Contract.
	If the Contractor suffers delay and/or incurs Cost as a result of this delay in carrying out the Tests on Completion, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to:
	(a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 26.5/PCC [Extension of Time for Completion], and
	(b) payment of any such Cost, which shall be included in the Contract Price.
	After receiving this notice, the Engineer shall proceed in accordance with New- Clause 3.5/PCC [Determinations] to agree or determine these matters.
	46.4 Surfaces Requiring Reinstatement
	Except as otherwise stated in a Taking-Over Certificate, a certificate for a Section or part of the Works shall not be deemed to certify completion of any ground or other surfaces requiring reinstatement.
Clause-49/CC	The following paras are added to the existing CC Clauses.



# TERMINATION BY EMPLOYER 49.6 Notice to Correct If the Contractor fails to carry out any obligation under the Contract as mentioned below, but not limited to the obligations mentioned herein below, the Engineer/ Employer may serve the contractor with a 14 days' notice in writing calling upon the Contractor to make good the failure and to remedy it. If the Contractor; fails to comply with New-Clause 4.19/PCC [Performance Security], (a) abandons the Works or otherwise plainly demonstrates the intention not to (b) continue performance of his obligations under the Contract, without reasonable excuse fails to: (c) (i) proceed with the Works in accordance with Clause 26/CC/PCC [Commencement, Delays and Suspension], or (ii) comply with a notice issued under New-Clause 5.5 [Rejection] or New-Clause 5.6/PCC [Remedial Work], within 28 days after receiving it, or (iii) adhere to the agreed programme of work / activity on the critical path, by a margin of 10% of the stipulated period, or (iv) take steps to deploy competent and adequate number of personnel, and equipment to achieve progress as per agreed programme or (v) adhere to the instructions of Engineers/Employer persistently or (vi) comply any provision of the contract or (vii) provide the Engineer/Employer or their representative proper facilities for inspecting the works or any part thereof as required, under New-Clause 5.3/PCC (Inspection) and New-clause 5.4/PCC (Testing). (d) subcontracts the whole or major part of the Works or assigns the Contract without prior written consent of the Employer., If the Contractor does not, within 14 days of receipt of notice under this subclause, proceed to make good his default in so far as the same is capable of being made good and carry on the work of complying with such direction as contained in the notice under sub clause 49.6/PCC to the entire satisfaction of the Engineer/Employer, the Employer shall be entitled to take action under sub-clause 49.6/PCC, 49.7/PCC below, In case of Contractor's repeated failure to adhere to the agreed program, and whereas the contractor has been served with a Notice to Correct under Clause 49.6 of PCC, if the Contractor approaches K-RIDE with a revised program with specific monthly physical and financial targets along with the proposal to deploy matching inputs in the form of manpower and other resources to the satisfaction of the Employer, then the Employer may consider whether to proceed with termination of the contract under Clause 49.7/PCC or to continue with the contract. However, the



request to continue with the contract shall only be considered if the Contractor supports his earnestness to adhere to the revised program by submitting additional Performance Security in the form of Bank Guarantee(s) of specified number and value as decided by the Employer (total value of which will not exceed 10% of the contract price). The encashment of these additional Bank Guarantee(s) shall be linked with the non-achievement of agreed physical/financial targets agreed upon by the Contractor and the Employer.
In case the Contractor's failure is limited to only some of the works, and in response to Notice to Correct under Clause 49.6 of PCC, the contractor approaches the Employer that such works may be offloaded from him and got executed through another agency and additional cost incurred, if any, should be recovered from his dues, the Employer, on being convinced that the anticipated additional cost for such works will not be substantial and can be recovered from the dues of the contractor and that such offloading will help in improving the overall progress of the project, may agree to such offloading without any repercussion on the performance security and/or additional bank guarantees, if any, submitted by the contractor. However, the Employer will not be under any compulsion to agree to such a request. The Contractor shall be informed of the LOA issued to other agency(ies) for such works.
In case the Contractor does not approach the employer for offloading but the Employer is convinced that:
(i) offloading of some works will help in improving the progress of the project;
<ul> <li>(ii) termination/part termination of the contract at this stage will not be in the interest of the project;</li> </ul>
<ul> <li>(iii) the anticipated additional cost for such works will not be substantial and can be recovered from the dues of the Contractor;</li> </ul>
The Employer may issue 7 days' notice to the Contractor stating the resources required to be deployed against each work. If the Contractor fails to deploy the required resources as indicated in the notice, the employer shall offload such works and proceed with getting the works executed through other agency(ies). The Contractor shall be informed of the LOA issued to other agency(ies) for such works.
Offloading under the sub clause 49.6/PCC shall be without any repercussion on the performance security and/or additional bank guarantees, if any, submitted by the contractor. The Contractor would have no future claim on this account and the extra expenditure so incurred, if any, by the Employer in getting the offloaded work done, shall be recovered from subsequent payment certificates or any other dues of the Contractor
49.7 Termination by Employer
The Employer shall be entitled to terminate the Contract as a whole or any part or parts (as may be specified in the Notice of Termination under any of the above Sub-Clause issue) if the Contractor:
(a) fails to comply with the directions contained in the notice under Sub-Clause



49.6/PCC [Notice to Correct],
(b) becomes bankrupt or insolvent, goes into liquidation, has a receiving or administration order made against it by Court or Statutory Authority him, compounds with his creditors, or carries on business under a receiver, trustee or manager for the benefit of his creditors, or if any act is done or event occurs which (under applicable Laws) has a similar effect to any of these acts or events, or
(c) gives or offers to give (directly or indirectly) to any person any bribe, gift, gratuity, commission or other thing of value, as an inducement or reward:
(i) for doing or forbearing to do any action in relation to the Contract, or
<ul> <li>(ii) for showing or forbearing to show favour or disfavour to any person in relation to the Contract,</li> </ul>
or if any of the Contractor's Personnel, agents or Subcontractors gives or offers to give (directly or indirectly) to any person any such inducement or reward as is described in this sub-paragraph (c). However, lawful inducements and rewards to Contractor's Personnel shall not entitle termination
In any of these events or circumstances, the Employer may, by Notice Terminate the contract with immediate effect.
The Employer's election to terminate the Contract shall not prejudice any other rights of the Employer, under the Contract or otherwise.
The Contractor shall remove all his plants and machinery from the site then leave the Site and deliver any required Goods, all Contractors' Documents, and other design documents made by or for him, to the Engineer within 7 days from the issue of Notice of Termination, failing which Delay Damages as prescribed for delay in completion of works shall be imposed as per provision of clause 26.8/PCC However, the Contractor shall use his best efforts to comply immediately with any reasonable instructions included in the notice of Termination (i) for the assignment of any subcontract, and (ii) for the protection of life or property or for the safety of the Works.
After termination, the Employer may complete the Works and/or arrange for any other entities to do so. The Employer and these entities may then use any Goods, Contractor's Documents and other design documents made by or on behalf of the Contractor for completing the work.
49.8 Valuation at Date of Termination
As soon as practicable after a notice of termination under Sub-Clause 49.7/PCC [Termination by Employer] has taken effect, the Engineer shall proceed in accordance with Sub-Clause 3.5/PCC [Determinations] to agree or determine the value of the Works, Goods and Contractor's Documents, and any other sums due to the Contractor for work executed in accordance with the Contract. For this purpose, the contractor shall be notified the date for witnessing of measurements and handing



over of the materials for which contractor has already been paid. In case the contractor fails to attend or send a representative even after such notice, the Engineer shall ex parte proceed with measurements of the works executed and taking over of plants and materials etc. for which payment has already been made to the contractor, which shall be treated as final.
49.9 Payment after Termination
After a notice of termination under Sub-Clause 49.7/PCC [Termination by Employer] has taken effect, the Employer may:
(a) proceed in accordance with New-Clause 2.4/PCC [Employer's Claims],
(b) encash the Performance Guarantee and forfeit the Performance Security:
<ul> <li>In full including additional Performance Guarantee amount if any taken in terms of ITT and not due for release on the date of issue of termination letter, in case of termination of the contract as a whole; or</li> </ul>
<li>ii. in part/parts proportionate to the contract price of the bill/schedule to which the terminated part of work belongs i.e.</li>
$P = (A \times B) \div C$ where,
P = Proportionate Bank Guarantee Amount
A = Contract Price of the particular bill/schedule to which the terminated part of work belongs
B = Performance Guarantee amount in terms of CC New- clause 4.19/PCC
C = Total Contract Price
Plus, additional Performance Guarantee amount if any taken in terms of ITT and not due for release on the date of issue of termination letter against that particular bill/Schedule to which the terminated part of the work belongs in case of termination in part/parts.
(c) release any payment due to the contractor for works executed prior to termination and evaluation under clause 49.8/PCC (valuation at date of termination, however, if by this time the Contractor has failed to make a payment due to the Employer, the same will be deducted from the payment due and any balance remaining shall then be paid to the Contractor.).
49.10 Employer's Entitlement to Termination for Convenience
The Employer shall be entitled to terminate the Contract, at any time for the Employer's convenience, by giving notice of such termination to the Contractor. The termination shall take effect 28 days after the later of the dates on which the Contractor receives this notice or the Employer returns the Performance Security. The Employer shall not terminate the Contract under this Sub-Clause in order to execute the Works himself or to arrange for the Works to be executed by another



contractor or to avoid a termination of the Contract by the Contractor.
After this termination, the Contractor shall proceed in accordance with the relevant clause and shall be paid in accordance with New-Clause 8/PCC [Payment and Release in case of Optional Termination].
49.11 Payment on Termination
After termination under Sub-Clause-49.7/PCC the Employer shall return the Performance Security, and shall pay the Contractor an amount calculated and certified in accordance with the following conditions:
A. The value of approved materials actually brought to the site and reasonably required to execute the works during next three months, as per approved programme, and
B. Value of work completed up to date by the Contractor at rates specified in the Contract, after taking into account any deductions, retentions, and setoff.
C. Deleted.
The payment as above are full compensation for termination under this clause and the Contractor has no claim for damages or other entitlements whether under the contract or otherwise.
In case of termination/ foreclosure of the Contract under whatsoever circumstances, any remaining tools, plants, equipment's and surplus materials of the Employer with the Contractor will be returned to the Employer at Employer's depot at the Contractor's cost. In case of the failure of the Contractor to do so, the Employer will be entitled to recover their cost from the Contractor from the amount becoming due to the Contractor or from any other amount due in any other contracts.
The decision of the Engineer of the amount to be recovered will be final decision and full credit at rates initially charged to the Contractor shall be allowed for such materials. Similarly, the Employer shall be entitled to recover the cost of the unreturned material, plants, equipment and tools from the Contractor, where such materials have been supplied free of cost and plants, equipment and tools, free of cost or on lease basis to the Contractor as stipulated in the Contract.
49.12 Survival
Termination of this Contract
a. shall not relieve the Contractor or the Employer of any obligations already incurred hereunder which expressly or by implication survives Termination hereof and
b. except as otherwise provided in any provision of this Contract expressly limiting the liability of either party, shall not relieve either party of any obligations or liabilities for loss or damage to the other Party arising out of or caused by acts or omissions of such Party prior to the effectiveness of such Termination or arising out of such Termination.



	49.13 Corrupt or Fraudulent Practices
	If the Employer determines that the Contractor has engaged in corrupt, fraudulent, collusive or coercive practices, in competing for or in executing the Contract, then the Employer may, after giving 14 days' notice to the Contractor, terminate the Contractor's employment under the Contract and expel him from the Site, and the provisions of Clause 49 CC/PCC shall apply as if such expulsion had been made under Sub-Clause 49.7/PCC.
	For the purposes of this Sub-Clause:
	<ul> <li>(a) "corrupt practice" means the offering, giving, receiving of soliciting of anything of "value to influence the action of a public official in the procurement process or in the Contract execution.</li> </ul>
	(b) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of the Contract to the detriment of the Employer, and includes collusive practice among Bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Employer of the benefits of free and open competition
	(c) collusive practice" means a scheme or arrangement between two or more bidders, with or without the knowledge of the Employer, designed to establish bid prices at artificial, non-competitive levels.
	(d) "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract.
Clause 1/SCC	The following New-paras are added to the Existing SCC Clauses.
	1.1 Engagement of Staff and Labour
	Except as otherwise stated in the Specification, the Contractor shall make arrangements for the engagement of all staff and labour, local or otherwise, and for their payment, housing, feeding and transport.
	The Contractor is encouraged, to the extent practicable and reasonable, to employ staff and labor with appropriate qualifications and experience from sources within the Country.
	1.2 Rates of Wages and Conditions of Labour
	The Contractor shall pay rates of wages, and observe conditions of labour, which are not lower than those established for the trade or industry where the work is carried out. If no established rates or conditions are applicable, the Contractor shall pay rates of wages and observe conditions which are not lower than the general level of wages and conditions observed locally by employers whose trade or industry is similar to that of the Contractor.
	If the Employer is obliged to provide amenities or arrange payment of wages to



contract labour employed by the contractor either directly or through sub-contractor under the contract on account of failures on the part the contractor to provide the amenities and / or arrange payment of wages to the contract labour as required of him under the provision of the applicable acts / rules made there under, the Engineer/Employer shall be at liberty without prejudice to the rights of Engineer/Employer under Section 20(2) and 21(4) of the Contract Labour (Regulation and Abolition) Act 1970 to recover the whole or part of the expenditure so incurred on the wages so paid by the Engineer/Employer/Railway from the security deposit and/or from any sum or sums due to the Contractor whether under this contract or any other contract.
The Contractor shall inform the Contractor's Personnel about their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances, and any benefits as are subject to taxes under the Laws of the Country for the time being in force, and the Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.
The Contractor shall keep the Employer indemnified in case any action is taken against the Employer by the competent authority on account of contravention of any of the provisions of any Act or rules made there-under, regulation or notifications including amendment. If the Employer is caused to pay or reimburse, such amounts as may be necessary to cause or observe, or for non-observance of the provisions stipulated in the notifications/bye laws/Acts/Rules/ regulations including amendments, if any, on the part of the Contractor, the Engineer/Employer shall have the right to deduct any money due to the contractor including his amount of performance security. The Employer/Engineer shall also have right to recover from the Contractor any sum required or estimated to be required for making good the loss or damage suffered by the Employer.
The employees of the Contractor and the Sub-Contractor in no case shall be treated as the employees of the Employer at any point of time.
The Contractor shall duly comply with the provisions of the Apprentices Act 1961 (III of 1961), the rules made there under and the orders that may be issued from time to time under the said Act and the said Rules and on his failure or neglect to do so he shall be subject to all liabilities provide by the said Act and said Rules.
The Contractor and his Sub Contractors shall comply with all applicable Labour Laws, and should not employ Child Labour for construction and maintenance activities. The Contractor shall provide appropriate facilities for children in Construction Camp sites.
The Contractors shall not differentiate wages between men and women for work of equal value.
1.3 Persons in the Service of Employer
The Contractor shall not recruit, or attempt to recruit, staff and labour from amongst persons in the service of the Employer or the Engineer.
1.4 Labour Laws



<ul> <li>The Contractor shall comply with all the relevant Labour Laws applicable to the Contractor's Personnel, including Laws relating to their employment, health, safety, welfare, immigration, and emigration, and shall allow them all their legal rights. The Contractor and his sub-contractors shall be responsible to ensure at his own cost, compliance to all laws, bye-laws, rules and regulations for the time being in force pertaining to the employment of local or imported labour and shall take all necessary precautions to ensure and preserve the health and safety of all staff employed directly or through sub-contractors or petty contractors on the works which shall include all the acts listed in Appendix – 1 but not limited to the same.</li> <li>The Contractor shall require his employees to obey all applicable Laws, including those concerning safety at work.</li> <li>During continuance of the Contract, the Contractor and his Sub-Contractors shall abide at all times by all existing labor enactments and rules made thereunder, regulations, notifications and bye laws of the State or Central government or local authority and any other labor laws (including rules), regulations, bye laws that may be passed or notification that may be issued under any labor law in future either by the State or the Central Government or the local authority are given in Appendix 1 to these Conditions of Contract</li> <li><b>1.5 Working Hours</b></li> <li>No work shall be carried out on the Stle on locally recognized days of rest, or outside the normal working hours stated in the Contract Data, unless:     <ul> <li>(a) otherwise stated in the Contract,</li> <li>(b) the Engineer gives consent, or</li> <li>(c) the work is unavoidable, or necessary for the protection of life or property or for the safety of the Works, in which case the Contractor shall immediately advise the Engineer.</li> <li>(d) The Contractor, generally will have to carry out work during night hours also and in shifts unless specifically provided otherwise in the Contract of</li></ul></li></ul>	
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(f) Working hours during the Defect liability period will be curtailed when certain system become operational

# 1.6 Facilities for Staff and Labour

Except as otherwise stated in the Specification, the Contractor shall provide and maintain all necessary accommodation and welfare facilities for the Contractor's Personnel. The Contractor shall also provide facilities for the Employer's Personnel as stated in the Specification.

The Contractor shall not permit any of the Contractor's Personnel to maintain any temporary or permanent living quarters within the structures forming part of the Permanent Works.

## 1.7 Health and Safety

The Contractor shall at all times take all reasonable precautions to maintain the health and safety of the Contractor's Personnel. In collaboration with local health authorities, the Contractor shall ensure that medical staff, first aid facilities, sick bay and a standing arrangement for ambulance service are available at a phone call at all times at the Site and at any accommodation for Contractor's and Employer's Personnel, and that suitable arrangements are made for all necessary welfare and hygiene requirements and for the prevention of epidemics.

In the event of any outbreak of illness of an epidemic nature, the Contractor shall comply with and carry out such regulations, orders and requirements as may be made by the Government or the local medical or sanitary authorities, for the purpose of dealing with and overcoming the same. The Contractor shall appoint an accident prevention officer at the Site, responsible for maintaining safety and protection against accidents. This person shall be qualified for this responsibility, and shall have the authority to issue instructions and take protective measures to prevent accidents. Throughout the execution of the Works, the Contractor shall provide whatever is required by this person to exercise this responsibility and authority.

The Contractor shall send, to the Engineer, details of any accident as soon as practicable after its occurrence.

HIV-AIDS Prevention. The Contractor shall conduct an HIV-AIDS awareness programme via an approved service provider, and shall undertake such other measures as are specified in this Contract to reduce the risk of the transfer of the HIV virus between and among the Contractor's Personnel and the local community, to promote early diagnosis and to assist affected individuals

## Epidemics

In the event of any outbreak of illness of an epidemic nature, the Contractor shall comply with and carry out such regulations, orders and requirements as may be made by the Government or the local medical or sanitary authorities, for the purpose of dealing with and overcoming the same.

## **Records of Safety and Health**



The Contractor shall maintain such records and make such reports concerning safety, health and welfare of persons and damage to property as the Engineer may from time to time prescribe.

## Submission of Returns

The contractor shall be responsible for timely submission of all returns and statements to the concerned authorities in full compliance of all rules, bye-laws and regulations for the time being in force.

The Contractor shall throughout the contract (including the Defects Notification Period): (i) conduct Information, Education and Consultation Communication (IEC) campaigns, at least every other month, addressed to all the Site staff and labor (including all the Contractor's employees, all Sub-Contractors and Consultants' employees, and all truck drivers and crew making deliveries to Site for construction activities) and to the immediate local communities, concerning the risks, dangers and impact, and appropriate avoidance behavior with respect to of Sexually Transmitted Diseases (STD)—or Sexually Transmitted Infections (STI) in general and HIV/AIDS in particular; (ii) provide male or female condoms for all Site staff and labor as appropriate; and (iii) provide for STI and HIV/AIDS screening, diagnosis, counseling and referral to a dedicated national STI and HIV/AIDS program, (unless otherwise agreed) of all Site staff and labor.

The Contractor shall include in the program to be submitted for the execution of the Works under Sub-Clause 25.3 an alleviation program for Site staff and labour and their families in respect of Sexually Transmitted Infections (STI) and Sexually Transmitted Diseases (STD) including HIV/AIDS. The STI, STD and HIV/AIDS alleviation program shall indicate when, how and at what cost the Contractor plans to satisfy the requirements of this Sub-Clause and the related specification. For each component, the program shall detail the resources to be provided or utilized and any related sub-contracting proposed. The program shall also include provision of a detailed cost estimate with supporting documentation. Payment to the Contractor for preparation and implementation this program shall not exceed the Provisional Sum dedicated for this purpose.

## 1.8 Contractor's Superintendence

Throughout the execution of the Works, and as long thereafter as is necessary to fulfil the Contractor's obligations, the Contractor shall provide all necessary superintendence to plan, arrange, direct, manage, inspect and test the work.

Superintendence shall be given by a sufficient number of persons having adequate knowledge of the language for communications (defined in Sub-Clause **3/CC** [Law and Language]) and of the operations to be carried out (including the methods and techniques required, the hazards likely to be encountered and methods of preventing accidents), for the satisfactory and safe execution of the Works.

The Contractor shall employ the key personnel named in the Schedule of Personnel as referred to in the Contract Data to carry out the functions stated in the Schedule or



<ul><li>that the person leaves the Site within seven (7) days and has no further connection with the work in the Contract. The replacement person shall be appointed within fourteen (14) days of the notification by the Engineer.</li><li>A reasonable proportion of the Contractor's Superintending Staff shall have a</li></ul>
with the work in the Contract. The replacement person shall be appointed within fourteen (14) days of the notification by the Engineer.
with the work in the Contract. The replacement person shall be appointed within fourteen (14) days of the notification by the Engineer.
Contractor's staff or his work force stating the reasons, the Contractor shall ensure that the person leaves the Site within seven (7) days and has no further connection
If the Engineer asks the Contractor to remove a person who is a member of the
<ul> <li>(c) fails to conform with any provisions of the Contract, or</li> <li>(d) persists in any conduct which is prejudicial to safety, health, or the protection of</li> </ul>
(b) carries out duties incompetently or negligently,
their respective trades or occupations. The Engineer may require the Contractor to remove (or cause to be removed) any person employed on the Site or Works, including the Contractor's Representative if applicable, who:
<b>1.9 Contractor's Personnel</b> The Contractor's Personnel shall be appropriately qualified, skilled and experienced in
The Contractor shall not employ any retired government Gazetted officer, who has either not completed one year after the date of retirement, or has not obtained permission to employment with the Contractor
replacement of key personnel only if their qualifications, abilities and relevant experience are substantially equal to or better than those of the personnel listed in the Schedule.



and to preserve peace and protection of persons and property on and near the Site

### 1.12 Foreign Personnel

The Contractor may bring in to the country any foreign personnel who are necessary for the execution of the Works to the extent allowed by the applicable Laws. The Contractor shall ensure that these personnel are provided with the required residence visas and work permits. The Employer will, without any financial liability, if requested by the Contractor, use his best endeavours in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national, or government permission required for bringing in the Contractor's personnel.

The Contractor shall be responsible for the return of these personnel to the place where they were recruited or to their domicile. In the event of the death in the Country of any of these personnel or members of their families, the Contractor shall similarly be responsible for making the appropriate arrangements for their return or burial. Contractor shall also be responsible for any legal liabilities during their stay.

## 1.13 Supply of Foodstuffs

The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract

## 1.14 Supply of Water

The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel

## 1.15 Measures against Insect and Pest Nuisance

The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce their danger to health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide

The Contractor shall provide his staff and labour with suitable prophylactics for the prevention of malaria, and take steps to prevent the formation of stagnant pools of water. He shall comply with all the regulations of the local health authorities in these respects and shall in particular arrange to spray thoroughly with approved insecticides all buildings erected on the site such treatment shall be carried out at least once a year or as instructed by the Engineer. The Contractor shall warn his staff and labour of the dangers of diseases like Malaria, Filaria and other contagious diseases etc. and also regarding, Scorpions, Snakes, Wild animals etc. and preventive actions required to be taken by the labour and staff.

## 1.16 Alcoholic Liquor or Drugs

The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift barter or disposal thereto by Contractor's



Per	rsonnel.
1.17 Arr	ns and Ammunition
	e Contractor shall not give, barter, or otherwise dispose of, to any person, any ns or ammunition of any kind, or allow Contractor's Personnel to do so.
1.18 Fes	stivals and Religious Customs
	e Contractor shall respect the Country's recognized festivals, days of rest and gious or other customs
1.19 Fı	uneral Arrangements
ma	e Contractor shall be responsible, to the extent required by local regulations, for king any funeral arrangements for any of his local employees who may die while gaged upon the Works.
1.20 Pro	hibition of Forced or Compulsory Labour
or o	e contractor shall not employ "forced or compulsory labour" in any form. "Forced compulsory labour" consists of all work or service, not voluntarily performed, that extracted from an individual under threat of force or penalty.
1.21 Pro	hibition of Harmful Child Labour
exp or t	e Contractor shall not employ any child to perform any work that is economically ploitative, or is likely to be hazardous to, or to interfere with, the child's education, to be harmful to the child's health or physical, mental, spiritual, moral, or social velopment.
1.22 Em	ployment Records of Workers
lab woi mo woi Coi	e Contractor shall keep complete and accurate records of the employment of our at the Site. The records shall include the names, ages, genders, hours rked and wages paid to all workers. These records shall be summarized on a nthly basis and shall be available for inspection by the Engineer during normal rking hours. These records shall be included in the details to be submitted by the ntractor under Sub-Clause 1.10/SCC of PCC [Records of Contractor's Personnel d Equipment].
wel lab	addition to the above, the Contractor shall register his firm/company etc. on bsite 'www.shramikkalyan.indianrailways.gov.in' and upload requisite details of our and their payment in this portal. These details shall be available in public nain. The Registration/ updation on Portal shall be done as under:
(a)	Contractor shall apply for onetime registration of his company/firm etc. in the Shramikkalyan portal with requisite details subsequent to issue of Letter of Acceptance. Employer/Engineer shall approve the contractor's registration in the portal within 7 days of receipt of such request.
(b)	Contractor once approved by any Employer/Engineer, can create password with



	login ID (PAN No.) for subsequent use of portal for all LoAs issued in his favour.										
	(c) The contractor once registered on the portal, shall provide details of his Letter of Acceptances (LoA) / Contract Agreements on shramikkalyan portal within 15 days of issue of any LoA for approval of concerned Employer/Engineer. Employer/Engineer shall update (if required) and approve the details of LoA filled by contractor within 7 days of receipt of such request.										
	(d) After approval of LoA by Employer/Engineer, contractor shall fill the salient details of contract labours engaged in the contract and ensure updating of each wage payment to them on shramikkalyan portal on monthly basis.										
	(e) It shall be mandatory upon the contractor to ensure correct and prompt uploading of all salient details of engaged contractual labour& payments made thereof after each wage period.										
	While processing payment of any 'Interim Payment Certificate' or 'Final Payment Certificate' or release of 'Advances' or 'Performance Guarantee / Security deposit', contractor shall submit a certificate to the Engineer or Engineer's representatives that "I have uploaded the correct details of contract labours engaged in connection with this contract and payments made to them during the wage period in EPFO potal tillNonth,Year."										
Clause Price adjustment/	1 PRICE VARIATION CLAUSE (PVC):										
Contract data	Price Variation clause (PVC) shall be applicable in the instant tender (as the advertised value is above Rs. 02 crores). Provided further that, in a contract where PVC is applicable, following shall be outside the purview of price adjustments (i.e shall be excluded from the gross value of the work for the purpose of price variation).										
	<ul> <li>a. Material supplied by KRIDE/Railway to the Contracts, either free or at fixed rate</li> <li>b. Any extra item(s) included in subsequent variation falling outside the purview of the Bills (s) of Quantities of tender, under clause 39. (1)(b) of these Standard General Conditions, unless applicability of PVC and " Base Month" has been specially agreed, while fixing the rates of such extra item(s).</li> </ul>										
	Clause 46A. 6 Part II of GCC shall be read a under:										
	N         N										
	N         A         A         A         A         N         N           E         380,55         5,68,84         A         A         A         A         A         A         A         A         A         A         A         A         A         A         B <t< th=""></t<>										
	Combound         1A, 2           30, 41         9A           31, 44         1A, 2           31, 44         1A, 2										
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	5	Plant Mashimami		30	15	5	20	15	20	30	0	0	10	30	
		Machinery	PMc												
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	6	Fuel &	Fc	25	15	5	15	15	20	15	0	0	10	20	
		Lubricants													
	7	Other	Mc	10	15	30	30	5	25	20	0	0	5	10	
		materials													
	8	Detonators	Ec	0	15	0	0	0	0	0	0	0	0	0	
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		2. Ballast	ounn		lorko										
		Z. <u>Dallast</u>	supp	IY VV	UINS										
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		3A All Ite	em(s)	) exc	ludin	q 3B	or /a	nd 3(	C or/a	and 3	D or/and	3E.			
		3B Item	• • •			-									
		3C Item	(s) foi	r sup	ply o	f Cer	nent	or/ar	nd Gr	out.					
		3D Items	s(s) fo	or Fa	brica	tion	& Ere	ectior	n of S	Structu	ures incl	uding s	supply of	Steel.	
		3E Item	(s) foi	r Fab	oricati	ons	& Ere	ectior	n of S	tructu	ures exc	luding	supply if	Steel.	
		4. <u>Tunnell</u>	ing w	vork	<u>s (Wi</u>	th ex	plos	ives	)						
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5.	Building Works.
	<ul> <li>5A All item(s) excluding 5B or/and 5C or/and 5D or/and 5E</li> <li>5B Item(s) for supply of Steel.</li> <li>5C Item(s) for supply Cement.</li> <li>5D Item(s) for Fabrication &amp; Erection of Structures including supply of Steel.</li> <li>5E Item(s) for Fabrication &amp; Erection of Structures excluding supply of Steel.</li> </ul>
6.	Bridges & Protection work.
	<ul> <li>6A All item(s) excluding 6B or/and 6C or/and 6D or/and 6E.</li> <li>6B Item(s) for Supply of Steel.</li> <li>6C Item(s) for supply of Cement.</li> <li>6D Item(s) for Fabrication, Assembly, Erection &amp; Launching of Girders including sup of Steel.</li> </ul>
	6E Item(s) for Fabrication, Assembly, Erection & Launching of Girders excluding supply of Steel.
7.	Permanent Way linking
8.	Platform. Passenger Amenities8A All item(s) excluding 8B or/and 8C or/and 8D or/and 8E.8B Item(s) for supply of Steel item/fittings.8C Item(s) for supply of Cement Item.8B Item(s) for Fabrication & Erection of Structure including supply of Steel.8E Item(s) for Fabrication & Erection of Structures excluding supply of Steel.
9.	Any other works not covered in Classification 1 to 8
	<ul> <li>9A All Item(s) excluding 9B or/and 9C or/and 9D or/and 9E.</li> <li>9B Item(s) for supply of Steel.</li> <li>9C Item(s) for supply of Cement or/and Grout.</li> <li>9D Item(s) for Fabrication &amp; Erection of Structures including supply of Steel.</li> <li>9E Item(s) for Fabrication &amp; Erection of Structures excluding supply of Steel.</li> </ul>
<u>K</u> .	Clause 46A.7 Formulae, Part II of FCC shall be read as under:
	Formulae: The Amount of Variation in prices in various components (labour, material etc. shall be worked out by the following formulae:
	(i). L = <u>(W or W<sub>SF</sub> or W<sub>F</sub> or W<sub>SFL</sub> or W<sub>FL</sub>) x (L<sub>O</sub> – L<sub>B</sub>) x L<sub>C</sub> L<sub>B</sub> X 100 (ii). M = <u>(W or W<sub>SF</sub> or W<sub>F</sub> or W<sub>SFL</sub> or W<sub>FL</sub>) x (M<sub>Q</sub>-M<sub>B</sub>) x M<sub>C</sub> M<sub>B</sub> x 100</u></u>



	(iii). F = $(W \text{ or } W_{SF} \text{ or } W_F \text{ or } W_{SFL} \text{ or } W_{FL}) \times (F_Q - F_B) \times F_C$
	F <sub>B</sub> x 100 (iv). E = <u>(W) X (E<sub>Q</sub>-E<sub>B</sub>) x Ec</u>
	E <sub>B</sub> x 100
	(v). PM = $(W \text{ or } W_{SF} \text{ or } W_{F} \text{ or } W_{FL} \text{ or } W_{FL}) \times (PM_Q - PM_B) \times PM_C$
	PM <sub>B</sub> x 100 (vi). S = ( <u>W or W<sub>S</sub> or Ws<sub>F</sub>) x (S<sub>Q</sub>-S<sub>B</sub>) x S<sub>C</sub></u>
	S <sub>B</sub> x 100
	(vii) C = ( <u>W or W<sub>c</sub>) x (C<sub>Q</sub>-C<sub>B</sub>) x C<sub>C</sub></u>
	C <sub>B</sub> x 100
Wher	е,
L	Amount of price variation in Labour
М	Amount of price variation in Materials
F	Amount of price variation in Fuel E Amount of price variation in Explosives
РМ	Amount of price variation in Manufacture of machinery for mining, Quarrying and Construction
S	Amount of price variation in Steel
С	Amount of price variation in Cement
Т	Amount of price variation in Concreting
R	Amount of price variation in Ferrous Items
Ν	Amount of price variation in Non-Ferrous Items
Z	Amount of price variation in Zinc
Ι	Amount of price variation in Insulator
Lc	% of Labour Component
Мс	% of Material Component
Fc	% of Fuel Component
EC	% of Explosive Component
PMC	% of Manufacture of machinery for mining, Quarrying and Construction



	Component
Тс	% of Concreting Component
Rc	% of Ferrous Component
Nc	% of Non-Ferrous Component
Zc	% of Zinc Component
W	Gross value of work done by Contractor as per on-account bill(s) excluding cost of materials supplied by Railway at fixed price, minus the price values of cement and steel. This will also exclude specific payment, if any, to be made to the consultants engaged by Contractors (such payment shall be indicated in the Contractor's offer)
Lb	Consumer Price Index for Industrial Workers - All India: Published in R.B.I. Bulletin for the base period.
Lq	Consumer Price Index for Industrial Workers - All India: Published in R.B.I. Bulletin for the average price index of the 3 months of the quarter under consideration.
Mb	Wholesale Price Index: All commodities – as published in the R.B.I. Bulletin for the base period
Mq	Wholesale Price Index: All commodities – as published in the R.B.I. Bulletin for the average price index of the 3 months of the quarter under consideration
Fb	Wholesale Price Index for the group Fuel & Power as published in the R.B.I. Bulletin for the base period
Fq	Index Number of Wholesale Price Index – By Groups and Sub-Groups for the group Fuel & Power as published in the R.B.I. Bulletin for the average price index of the 3 months of the quarter under consideration
Eb	Index number of Monthly Whole Sale Price Index for the category 'Explosive' of (g).Manufacture of other chemical products under (J) MANUFACTURE OF CHEMICALS AND CHEMICAL PRODUCTS, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the base period. EQ Index number of Monthly Whole Sale Price Index for the category 'Explosive' of (g).Manufacture of other chemical products under (J) MANUFACTURE OF CHEMICALS AND CHEMICAL PRODUCTS, published by Office of Economic Adviser, Govt. of India, Govt. of India, Ministry of Commerce & Industry, Department, and the category 'Explosive' of (g).Manufacture of other chemical products under (J) MANUFACTURE OF CHEMICALS AND CHEMICAL PRODUCTS, published by Office of Economic Adviser, Govt. of India, Govt. of India, Ministry of Commerce & Industry,



	Department of Industrial Policy & Promotion (DIPP), for the average price index of 3 months of the quarter under consideration.
РМ	Index number of Monthly Whole Sale Price Index for the category 'k. Manufacture of machinery for mining, quarrying and construction' under (R) MANUFACTURE OF MACHINERY AND EQUIPMENT, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the base period.
PMQ	Index number of Monthly Whole Sale Price Index for the category 'k. Manufacture of machinery for mining, quarrying and construction' under (R) MANUFACTURE OF MACHINERY AND EQUIPMENT, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry, Department of Industrial Policy & Promotion (DIPP), for the average price index of 3 months of the quarter under consideration.
Sw	Gross value of steel supplied by the Contractor as per the 'on-account' bill for the month under consideration
Sb	Index number of Monthly Whole Sale Price Index for the relevant category of mild steel item as mentioned in Clause 46A.9, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry Department of Industrial Policy & Promotion (DIPP); for the base period.
Sq	Index number of Monthly Whole Sale Price Index for the relevant category of mild steel item as mentioned in Clause 46A.9, published by Office of Economic Adviser, Govt. of India, Ministry of Commerce & Industry Department of Industrial Policy & Promotion (DIPP); for the average price index of the 3 months of the quarter under consideration.
Cv	Value of Cement supplied by Contractor as per on account bill in the quarter under consideration.
Cb	Index No. of Wholesale Price Index of sub-group Cement, Lime & Plaster as published in RBI Bulletin for the base period.
Cq	No. of Wholesale Price Index of sub-group Cement, Lime & Plaster as published in RBI Bulletin for the average price index of the 3 months of the quarter under consideration.
Cs	RBI wholesale price index for Cement, Lime & Plaster for the month which is six months prior to date of casting of foundation.
Co	RBI wholesale price index for Cement, Lime & Plaster for the month which is one month prior to date of opening of tender.



Rt	IEEMA price index for Iron & Steel for the month which is two months prior to date of inspection of material.
Ro	IEEMA price index for Iron & Steel for the month which is one month prior to date of opening of tender.
PT	IEEMA price index for Copper wire bar for the month which is two month prior to date of inspection of material.
PO	IEEMA price index for Copper wire bar for the month which is one month prio to date of opening of tender.
ZT	IEEMA price index for Zinc for the month which is two months prior to date of inspection of material
ZO	IEEMA price index for Zinc for the month which is one month prior to date of tender
lt	opening of tender RBI wholesale price index for the sub-group "other Portland and Cerami product" for the month which is two months prior to date of inspection of material
lo	RBI wholesale price index for the sub-group "other Portland and Cerami product" for the month which is one month prior to date of opening of tender
46A. 8 46A.9: R	The demands for escalation of cost shall be allowed on the basis of provisional indices as mentioned above in Clause 46A.7. Any adjustment needed to be done based on the finally published indices shall be made as and when they become available. Relevant categories of steel for the purpose of operating Price Variation formula as mentioned in this Clause shall be as under:
SL	CategoryofSteelCategory ofSteelItems as mentioned inSuppliedinRailwayOffice ofEconomic Adviser,Govt. ofIndia,WorkMinistry ofCommerce & IndustryDepartmentofIndustrialPolicy& Promotion (DIPP).
1.	Reinforcement bars 'MS Bright Bars' individual commodity of group item (d) Mild Steel-Long Productsunder (N) MANUFACTURE OF BASIC METAL.



2.	All types and sizes of angles, channels and joists	'Angles, Channels, Sections, Steel' individual commodity of group item (d) Mild Steel- Long Products under (N) MANUFACTURE OF BASIC METAL.
3.	All types and sizes of plates	'e. Mild Steel – Flat Products' of (N) MANUFACTURER OF BASIC METAL.
4.	Any other section of steel not covered in the above categories and excluding HTS	Average of price for the 3 categories covered under SL 1, 2 & 3 above
(A)	Period of Work under con	sideration will mean as under:
i.	In the case of first Bill the month of measurement of	period from the month of signing of agreement to the the first bill.
ii.		nd subsequent interim payment certificate and Final nonth of measurement for previous bill to the month of
	are to be submitted by th items pertaining to a parti period pertaining to more months and previous mon sponsibility of arranging t	tract (Clause 37.3/PCC) interim payments certificates e contractor on monthly basis for the works/supply of cular month. However, in case a bill is submitted for a than a calendar month the average indices for those th(s) shall be used for calculation purpose. the RBI indices /Economic advisor indices/Labour yer or the Engineer shall rest with the Contractor.
	Procedure in case of Del advisor indices	ay in Availability of Final RBI Indices/ Economic
	making payment towards Variation will be made on p	ces are not available in the Economic advisor, while interim payment certificate, payment towards Price provisional basis based on the indices available, to be ills as and when the final Indices figures become
(C)	Adjustment on Account of	f Price Variation
	amount shall be paid to the Price Variation shall be reco Price Variation shall be c	Price Variations may be positive (in which case extra Contractor), or negative (in which case the amount of overed from the Contractor). Adjustment on account of alculated separately, for each period, between two urements for bills and paid along with each bill as
	After verifying the bill, the	Engineer shall certify the adjustment amount and



6	advise the same to the Employer along with the interim payment certificate.
	Should any extra amount be due to Contractor, the Employer shall pay the same. Any amount due from Contractor on account of negative adjustment shall be recovered from his pending or other bills at the earliest.
	The above adjustment on account of Price Variation payment shall be made to the contractor in accordance with the proportion stipulated in Schedule of Payment Currencies by using exchange rate on the last day of the period to which a particular interim payment certificate is related as per the website of Reserve Bank of India (RBI). In case the exchange rate on the above date, is not available in this web site, it will be as per the web site of Financial Benchmark ndia Private Limited (FBIL) as recommended by RBI.
	n case the exchange rate of particular currency is not available on the above date in both these websites then the exchange rate as per the website of the Central Bank of that country to which this currency belongs will be adopted. In case the exchange rate of that currency is not directly available in INR on that website then the currency will be first converted to USD as per the exchange rate between that foreign currency and US Dollar on that web site and then converted from USD to INR as per RBI or FBIL exchange rate between US Dollar and INR, as prevailing on the said date.
(D) I	imit of Price Adjustment
6	Provided that, in determining all such price adjustment in accordance with the aforesaid Sub-Clauses: a. No account will be taken of any amount by which any cost incurred by the Contractor has been increased by default or negligence of the Contractor.
	b. If the Contractor fails to complete the work within time for completion prescribed under Clause 26.4 the adjustment of prices thereafter until the completion of the works shall be made using either the indices or prices, whichever is more favourable to the Employer, provided that if an extension of time is granted pursuant to Sub-Clause 26.5/PCC, the above position shall apply to the adjustments made after expiry of such extension of time.
(E) F	Price Variation during extended period of completion
	The price adjustment as worked out above i.e., either increase or decrease will be applicable up to the stipulated Completion Date of the Works, including the extended period of completion where such extension has been granted under Sub-Clause 26.5/PCC and where such an extension has been granted, the price adjustment will be due as follows:
	c. In a. In case the indices increase above the indices applicable to a bill made on the last date of original completion period or the extended period under Sub-Clause 26.5/PCC, the price adjustment for the period of extension



New Clause-1.1	<ul> <li>granted in accordance with Sub-Clause 26.5/PCC will be limited to the amount payable as per the indices applicable to a statement made on the last date of the original completion period or the extended period as the case may be.</li> <li>d. In case the indices fall below the indices applicable to a statement made on the last date of the original or extended period of completion, then the lower indices will be adopted for Price Adjustment for the period of extension</li> <li>Assignment</li> <li>Neither Party shall assign the whole or any part of the Contract or any benefit or interest in or under the Contract. However, either Party:</li> </ul>
	<ul> <li>i. may assign the whole or any part with the prior agreement of the other Party, at the sole discretion of such other Party, and</li> </ul>
	<li>may, as security in favour of a bank or financial institution, assign its right to any moneys due, or to become due, under the Contract.</li>
New Clause -1.2	Care and Supply of Documents
	The Specification and Drawings shall be in the custody and care of the Employer. Unless otherwise stated in the Contract, two copies of the Contract and of each subsequent Drawing shall be supplied to the Contractor, who may make or request further copies at the cost of the Contractor.
	Each of the Contractor's Documents shall be in the custody and care of the Contractor, unless and until taken over by the Employer. Unless otherwise stated in the Contract, the Contractor shall supply to the Engineer six copies of each of the Contractor's Documents.
	The Contractor shall keep, on the Site, a copy of the Contract, publications named in the Specification, the Contractor's Documents (if any), the Drawings and Variations and other communications given under the Contract. The Employer's Personnel shall have the right of access to all these documents at all reasonable times.
	If a Party becomes aware of an error or defect in a document which was prepared for use in executing the Works, the Party shall promptly give notice to the other Party of such error or defect.
New Clause-1.3	Delayed Drawings or Instructions
	The Contractor shall give notice to the Engineer whenever the Works are likely to be delayed or disrupted if any necessary drawing or instruction is not issued to the Contractor within a particular time, which shall be reasonable. The notice shall include details of the necessary drawing or instruction, details of why and by when it should be issued, and details of the nature and amount of the delay or disruption likely to be suffered if it is late. If the Contractor suffers delay and/or incurs Cost as a result of a failure of the Engineer to issue the notified drawing or instruction within a time which is reasonable and is specified in the notice with supporting details, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Clause 4.1/SCC of contract conditions [Contractor's Claims] to:



	(a) an extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 26.5/PCC [Extension of Time for Completion], and
	(b) payment of any such Cost, which shall be included in the Contract Price.
	After receiving this further notice, the Engineer shall proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine these matters.
	However, if and to the extent that the Engineer's failure was caused by any error or delay by the Contractor, including an error in, or delay in the submission of, any of the Contractor's Documents, the Contractor shall not be entitled to such extension of time, Cost or profit.
New Clause-1.4	Employer's Use of Contractor's Documents
	As between the Parties, the Contractor shall retain the copyright and other intellectual property rights in the Contractor's Documents and other design documents made by (or on behalf of) the Contractor.
	The Contractor shall be deemed (by signing the Contract) to give to the Employer a non- terminable transferable non-exclusive royalty-free licence to copy, use and communicate the Contractor's Documents, including making and using modifications of them. This licence shall:
	(a) apply throughout the actual or intended working life (whichever is longer) of the relevant parts of the Works,
	(b) entitle any person in proper possession of the relevant part of the Works to copy, use and communicate the Contractor's Documents for the purposes of completing, operating, maintaining, altering, adjusting, repairing and demolishing the Works, and
	(c) in the case of Contractor's Documents which are in the form of computer programs and other software, permit their use on any computer on the Site and other places as envisaged by the Contract, including replacements of any computers supplied by the Contractor.
	The Contractor's Documents and other design documents made by (or on behalf of) the Contractor shall not, without the Contractor's consent, be used, copied or communicated to a third party by (or on behalf of) the Employer for purposes other than those permitted under this Sub-Clause.
New Clause-1.5	Contractor's Use of Employer's Documents
	As between the Parties, the Employer shall retain the copyright and other intellectual property rights in the Specification, the Drawings and other documents made by (or on behalf of) the Employer. The Contractor may, at his cost, copy, use, and obtain communication of these documents for the purposes of the Contract. They shall not, without the Employer's consent, be copied, used or communicated to a third party by the Contractor, except as necessary for the purposes of the Contract.
New Clause-1.6	Confidential Details



	The Contractor shall disclose all such confidential and other information as the Engineer may reasonably require in order to verify the Contractor's compliance with the Contract.	
	The Contractor shall treat the details of the Contract as private and confidential, except to the extent necessary to carry out the Contractor's obligations under the Contract or to comply with applicable Laws. The Contractor shall not publish or disclose any particulars of the Works without the previous agreement of the Employer. However, the Contractor shall be permitted to disclose any publicly available information, or information otherwise required to establish his qualifications to compete for other projects.	
New Clause-1.7	Compliance with Laws	
	The Contractor shall, in performing the Contract, comply with applicable Laws. Unless otherwise stated in the Special Conditions of Contract:	
	(a) the Employer shall have obtained (or shall obtain) the planning, zoning or similar permission for the Permanent Works, and any other permissions described in the Specification as having been (or being) obtained by the Employer; and the Employer shall indemnify and hold the Contractor harmless against and from the consequences of any failure to do so; and	
	(b) the Contractor shall give all notices, pay all taxes, duties and fees, and obtain all permits, licences and approvals, as required by the Laws in relation to the execution and completion of the Works and the remedying of any defects; and the Contractor shall indemnify and hold the Employer harmless against and from the consequences of any failure to do so.	
New Clause-1.8	Joint Venture - Joint and Several Liability	
	If the Contractor constitutes (under applicable Laws) a Joint Venture of two or more persons/firms:	
	<ul> <li>(a) these persons shall be deemed to be jointly and severally liable to the Employer for the performance of the Contract;</li> </ul>	
	(b) these persons shall notify the Employer of their leader who shall have authority to bind the Contractor and each of these persons; and	
	(c) the Contractor shall not alter its composition or legal status without the prior consent of the Employer.	
	(d) In the event of default by any partner of Joint Venture, on or after achieving 25% of the financial progress (excluding advance if any) the lead partner or remaining partner(s), in case the defaulting partner is the lead partner, shall notify the Employer within twenty eight (28) days of the occurrence and within Fifty six (56) days of the said notification, the lead partner or remaining partner(s), who are not the defaulting partner, shall assign the works of the defaulting partner, to equally competent party with prior consent of the Employer. For this purpose the term "equally competent party" shall mean as under:	
	"The new JV partner replacing the defaulting partner should meet the EQC requirement of package/combination of packages which was met by the defaulting partner on the	



	basis of which the original tender was awarded."	
	The replacement of any defaulting partner, with the new partner shall be subject to the condition that the new partner has to submit additional performance security equal to 10% of balance cost of work of the JV partner being replaced. The performance security submitted by the defaulting partner shall also continue with K-RIDE till satisfactory completion of the work.	
	(e) Notwithstanding the consent of the Employer for change in composition or legal status of the Joint Venture the partners shall continue to be jointly and severally liable to the Employer.	
	(f) The Joint Venture shall enter into a Joint Venture Agreement incorporating the provisions of sub-paras (a) to (e) based upon the form annexed to the Conditions of Contract. The JV agreement shall indicate precisely the specific role of all members of the JV in respect of planning, design, construction equipment, key personnel, work execution, and financing of the project. The authority to sign the JV Agreement shall be evidenced by approved legal instruments.	
	Notwithstanding the contents of the sub-clauses above, if the performance of any JV partner is not found satisfactory by the Employer, in respect of the responsibilities assigned to him as per JV agreement which is a part of this agreement, the Employer may issue notice of such default to the said JV partner or the JV (depending upon reasons of default) and declare the said JV partner or the JV as Poor Performer. The issue of such notice shall automatically debar the JV partner or JV as the case may be from participating in any K-RIDE tender from the date of issue of notice of default.	
New Clause-1.9	Inspections by the Employer	
	The Contractor shall permit the Employer and/or persons appointed by the Employer to inspect the Site and/or the Contractor's records relating to the performance of the Contract.	
New Clause-1.10	Bidder's Credentials:	
	The bidder shall submit an affidavit on a stamp paper to the effect that all the documents submitted by her along with her bid are true. This shall be mandatory all bids. The bid shall be summarily rejected if the bidder fails to submit this undertaking along with the bid.	
	After opening the financial bid, the tender committee shall verify the credentials of the bidder who is declared as the lowest bidder (L1) for their authenticity. In case the credentials of L1 are not found to be in order, The bid shall be treated as technically unresponsive and thus invalid. The process shall be repeated for the next higher bidder till the valid L1 is established. If any document (or copy thereof) submitted by a bidder is found to be false/forged:	
	a) The bidder/each partner/member of the bidding firm shall be liable to legal actions apart from punitive actions, as decided by competent authority of	



	K-RIDE. In such an eventuality. The bid shall also be summarily rejected.
	b) If the contract has already been awarded, or Letter of Acceptance (LoA) has been issued and in the event of any failure to comply with the above, the contract shall be terminated, irrespective of the stage of progress in execution of the work. In such an eventuality, Security Deposit (SD), performance Guarantee (PG) and partial/full payments otherwise due to the contractor, in respect of the partial/full work executed by the contractor, shall be forfeited by the K-RIDE.
	c) Other punitive actions, like banning the bidder and partners/members of the biding firm for future dealings with K-RIDE/Government of India/ Government of Karnataka may also be taken.
	2. THE EMPLOYER
New Clause-2.1	Permits, Licenses or Approvals
	The Employer shall (where he is in a position to do so) provide reasonable assistance to the Contractor at the request of the Contractor:
	(a) by obtaining copies of the Laws of the Country which are relevant to the Contract but are not readily available, and
	(b) for the Contractor's applications for any permits, licences or approvals required by the Laws of the Country:
	(i) which the Contractor is required to obtain under New-Clause 1.7/PCC [Compliance with Laws],
	(ii) for the delivery of Goods, including clearance through customs, and
	(iii) for the export of Contractor's Equipment when it is removed from the Site.
New Clause -2.2	Employer's Personnel
	The Employer shall be responsible for ensuring that the Employer's Personnel and the Employer's other contractors on the Site:
	(a) co-operate with the Contractor's efforts under New-Clause 4.24/PCC [Co-operation], and
	<ul> <li>(b) take actions similar to those which the Contractor is required to take under sub- paragraphs (a), (b) and (c) of New-Clause 4.26/PCC [Safety Procedures] and under New-Clause 4.36/PCC [Protection of the Environment].</li> </ul>
New Clause-2.3	Employer's Financial Arrangements
	The Employer has sourced the funds to finance the project
	Assignment by the Employer
	The Employer shall be fully entitled without the consent of the Contractor, to assign the
	benefit of the Contract or any part thereof and any interest therein or there under to any third party.



New Clause-2.4	Employer's Claims	
	If the Employer considers himself to be entitled to any payment under any Clause of these Conditions or otherwise in connection with the Contract, and/or to any extension of the Defects Notification Period, the Employer or the Engineer shall give notice and particulars to the Contractor. However, notice is not required for payments due under New-Clause 4.37/PCC [Electricity, Water and Gas], under New-Clause 4.38/PCC [Employer's Equipment and Free-Issue Material], or for other services requested by the Contractor.	
	The notice shall be given as soon as practicable after the Employer became aware, or should have become aware, of the event or circumstances giving rise to the claim. A notice relating to any extension of the Defects Notification Period shall be given before the expiry of such period.	
	The particulars shall specify the Clause or other basis of the claim, and shall include substantiation of the amount and/or extension to which the Employer considers himself to be entitled in connection with the Contract. The Engineer shall then proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine (i) the amount (if any) which the Employer is entitled to be paid by the Contractor, and/or (ii) the extension (if any) of the Defects Notification Period in accordance with New-Clause 6.3[/PCC Extension of Defects Notification Period].	
	This amount may be included as a deduction in the Contract Price and Payment Certificates. The Employer shall only be entitled to set off against or make any deduction from an amount certified in a Payment Certificate, or to otherwise claim against the Contractor, in accordance with this Sub-Clause.	
	3.THE ENGINEER	
New Clause-3.1	Engineer's Duties and Authority	
	The Employer shall appoint the Engineer who shall carry out the duties assigned to him in the Contract. The Engineer's staff shall include suitably qualified engineers and othe professionals who are competent to carry out these duties.	
	The Engineer shall have no authority to amend the Contract.	
	The Engineer may exercise the authority attributable to the Engineer as specified in or necessarily to be implied from the Contract.	
	However, the Engineer shall obtain the specific approval of the Employer before taking action under the-following Sub-Clauses of these Conditions:	
	a) New-Clause 4.30/PCC [Unforeseeable Physical Conditions] Agreeing or determining an extension of time and/or additional cost.	
	b) Sub-Clause 26.5/PCC [Extension of Time for Completion] Agreeing or determining	
	extension of time.	
	extension of time. c) New-Clause 6.9/PCC [Performance Certificate] Issue of Performance Certificate.	



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	<ul> <li>in an emergency situation as determined by the Engineer and as amplified in sub-paras (h) and (i) below, or</li> </ul>
	ii) DELETED
	e) Sub-Clause 34.3 -Variation Procedure: Approving a proposal for Variation submitted by the Contractor in accordance with Sub Clause 34.1 or 34.2.
	<ul> <li>f) Sub-Clause 34.4 -Payment in applicable Currencies: Specifying the amount payable in each of the applicable currencies for a Variation.</li> </ul>
	g) Clause 4.1/SCC: Contractor Claims for extension of time and/or additional payment.
	h) DELETED
	i) DELETED
	Notwithstanding the obligation, as set out above, to obtain approval, if, in the opinion of the Engineer, an emergency occurs affecting the safety of life or of the Works or of adjoining property, he may, without relieving the Contractor of any of his duties and responsibility under the Contract, instruct the Contractor to execute all such work or to do all such things as may, in the opinion of the Engineer, be necessary to abate or reduce the risk. The Contractor shall forthwith comply, despite the absence of approval of the Employer, with any such instruction of the Engineer. The Engineer shall determine an addition to the Contract Price, in respect of such instruction, in accordance with Clause 34/PCC and shall notify the Contractor accordingly, with a copy to the Employer.
	<ul> <li>i) In case the emergency mentioned in above Sub-paras occurs on account of failure of Contractor, by way of not adhering to the approved scheme of work or not taking adequate safety precautions or by any other reason attributable to the contractor, then no additional amounts shall be paid to the Contractor for attending to such emergencies and the Contractor shall be liable for Employer's claims.</li> </ul>
	j) Clause7/PCC regarding deployment of Sub-Contractors.
New Clause-3.2	Delegation by the Engineer
	The Engineer may from time to time assign duties and delegate authority to assistants, and may also revoke such assignment or delegation. These assistants may include a resident engineer, and/or independent inspectors appointed to inspect and/or test items of Plant and/or Materials. The assignment, delegation or revocation shall be in writing and shall not take effect until copies have been received by both Parties.
	However, unless otherwise agreed by both Parties, the Engineer shall not delegate the authority to determine any matter in accordance with New-Clause 3.5/PCC [Determinations].
	Assistants shall be suitably qualified persons, who are competent to carry out these duties and exercise this authority, and who are fluent in the language for communications defined in Sub-Clause 3/CC [Law and Language].
	Each assistant, to whom duties have been assigned or authority has been delegated, shall only be authorised to issue instructions to the Contractor to the extent defined by the



	delegation. Any approval, check, certificate, consent, examination, inspection, instruction,		
	notice, proposal, request, test, or similar act by an assistant, in accordance with the delegation, shall have the same effect as though the act had been an act of the Engineer. However:		
	<ul> <li>(a) any failure to disapprove any work, Plant or Materials shall not constitute approval, and shall therefore not prejudice the right of the Engineer to reject the work, Plant or Materials;</li> </ul>		
	(b) if the Contractor questions any determination or instruction of an assistant, the Contractor may refer the matter to the Engineer, who shall promptly confirm, reverse or vary the determination or instruction.		
New Clause-3.3	Instructions of the Engineer		
	The Engineer may issue to the Contractor (at any time) instructions and additional or modified Drawings which may be necessary for the execution of the Works and the remedying of any defects, all in accordance with the Contract. The Contractor shall only take instructions from the Engineer, or from an assistant to whom the appropriate authority has been delegated under this Clause. If an instruction constitutes a Variation, Clause34/PCC [Variations and Adjustments] shall apply.		
	The Contractor shall comply with the instructions given by the Engineer or delegated assistant, on any matter related to the Contract. Whenever practicable, their instructions shall be given in writing. If the Engineer or a delegated assistant,		
	(a) gives an oral instruction and		
	(b) receives a written confirmation of the instruction, from (or on behalf of) the Contractor, within two working days after giving the instruction, and		
	(c) does not reply by issuing a written rejection and/or instruction within two working days after receiving the confirmation,		
	(d) then the confirmation shall constitute the written instruction of the Engineer or delegated assistant (as the case may be).		
New Clause-3.4	Replacement of the Engineer		
	Notwithstanding New-Clause 3.1/PCC, if the Employer intends to replace the Engineer, the Employer shall, not less than 21 days before the intended date of replacement, give notice to the Contractor of the name, address and relevant experience of the replacement Engineer.		



New Clause-3.5	Determinations
	Whenever these Conditions provide that the Engineer shall proceed in accordance with this New-Clause 3.5/PCC to agree or determine any matter, the Engineer shall consult with each Party in an endeavour to reach agreement. If agreement is not achieved, the Engineer shall make a fair determination in accordance with the Contract, taking due regard of all relevant circumstances.
	The Engineer shall give notice to both Parties of each agreement or determination, with supporting particulars. Each Party shall give effect to each agreement or determination unless and until revised under Clause 4/SCC [Claims, Disputes and Arbitration].
	4.THE CONTRACTOR
New Clause-4.1	Contractor's General Obligations
	The Contractor shall design (to the extent specified in the Contract), execute and complete the Works in accordance with the Contract and with the Engineer's instructions, and shall remedy any defects in the Works.
	The Contractor shall provide the Plant and Contractor's Documents specified in the Contract, and all Contractor's Personnel, Goods, consumables and other things and services, whether of a temporary or permanent nature, required in and for this design, execution, completion and remedying of defects.
	All equipment, and material, to be incorporated in or required for the Works shall be procured from approved sources as stipulated in the Contract.
	i. All plants / equipment to be deployed on the work shall carry designated authority certificate. Where not specified, it shall have third party safety certificate for the safe working of the equipment and shall be renewed after every 3 months. This certificate shall be produced to the Engineer as and when required.
	<li>ii. All the construction plant shall be provided with the experienced operators having valid license issued by the competent authority.</li>
	<ul> <li>iii. Any material or equipment not meeting the approval of the Engineer shall be removed from the site immediately.</li> <li>iv. All Contractor's Equipment and Temporary Works provided by the Contractor shall, when brought on to the site, be deemed to be exclusively intended for execution of the Works and not be removed without the consent in writing of the Engineer. Such consent shall not be unreasonably withheld or delayed.</li> </ul>
	The Contractor shall be responsible for the adequacy, stability and safety of all Site operations and of all methods of construction. Except to the extent specified in the Contract, the Contractor (i) shall be responsible for all Contractors' Documents, Temporary Works, and such design of each item of Plant and Materials as is required for the item to be in accordance with the Contract, and (ii) shall not otherwise be responsible



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	for the design or specification of the Permanent Works.			
	The Contractor shall throughout the execution of the Works including the carrying out of any testing, commissioning or remedying of any defect:			
	i. provides and maintain all lights, guards, fences and warning signs and watchmen when and where necessary or required by the Engineer or by laws or by any relevant authority for the protection of the Works and for the safety and convenience of the public and all persons on or in the vicinity of the Site; and			
	ii. Contractor is required to take note of all the necessary provisions in Employer's Safety, Health and Environment Manual (SHE Manual) and the Contractor's price shall be inclusive of all the necessary costs to meet the prescribed safety standards as specified in the Special Conditions of Contract. In the case, the Contractor fails in the above; the Employer may provide the necessary arrangements and recover the costs from the Contractor			
New Clause-4.2	Tools, Plants and Equipment Supplied by the Employer			
	i. Except for any specific item mentioned in the Special Conditions of Contract or in Employer's Requirements, the Contractor shall provide all tools, plants and Equipment for the Works. In respect of such exceptional tools, plants or Equipment committed to be provided by the Employer under terms and conditions specified in the Special Conditions of Contract, the Contractor shall take all reasonable care and shall be responsible for all damages or loss caused by him, his representatives, sub- contractors or his workmen or others while they are in his charge.			
	ii. No tools, plant and equipment shall be supplied by the Employer. Unless specifically incorporated in special conditions, the Contractor has to arrange all tools, plant, equipment required for the work.			
	iii. On completion of the Works, the Contractor shall hand over the unused balance of the tools, plants and Equipment supplied by the Employer to the Employer back in good order and repair, fair wear and tear expected, and shall be responsible for any failure to account for the same or any damage done thereto.			
	iv. The decision of the Engineer as to the amount recoverable from the Contractor on this account shall be final and binding.			
New Clause-4.3	Employer's Materials			
	Except for items mentioned in the Special Conditions of Contract, the Contractor shall provide all materials for the Works. Material if any, to be provided by Employer will be done only in a phased manner as per pre-approved program, against a Bank Guarantee for the value of the Material and at terms and conditions for issue, upkeep, usage, return and recovery of such Materials as specified in Special Conditions of Contract.			
New Clause-4.4	Sheds, Stores, Yards			
	It shall be the responsibility of the Contractor to provide at his own expense the required sheds, store houses, and yards for both Permanent and Temporary Works and provide free access to the Engineer and the Engineer's Representative who will have right of inspection including that of instructing the Contractor to remove a particular material from			



	the stores and not to use the same on the Works.				
New Clause-4.5	Temporary Works				
	The Contractor's proposals for erection of all ancillary and temporary works shall be in conformity with the proposals submitted along with the Tender and modifications thereto as approved by Engineer.				
	The Contractor shall submit drawings, supporting design calculations of Temporary works, where called for by the Engineer and other relevant details of all such works to the Engineer for approval at least one month before he desires to commence such works. Approval by the Engineer of any such proposal shall not relieve the Contractor of his responsibility for sufficiency of such works.				
	All temporary works necessary for the proper execution of the Works shall be provided and maintained by the Contractor at his cost (unless otherwise provided in tender document) and subject to the consent of the Engineer shall be removed by Contractor at his own expense when they are no longer required and in such manner as the Engineer shall direct. In case the Contractor fails to remove the temporary works on completion the Engineer is authorized to get the same removed and recover the cost thereof from the Contractor.				
New Clause-4.6	Access for Engineer				
	The Contractor shall allow at all times the Engineer or the Engineer's assistant or any other person authorised by the Engineer access to the Site and to any place where work in connection with the Contract is being carried out or is intended to be carried out and to any place where materials or plant are being manufactured, fabricated and/or assembled for the Works. The Contractor shall ensure that sub contracts if any shall contain provisions entitling the Engineer or any person authorised by him to have such access.				
New Clause-4.7	Access Road and Way Leave				
	I. Providing access roads/ way leaves to the site will be Contractor's responsibility.				
	II. The Contractor shall pay the statutory vehicle license and permit fees for use of public roads. The Contractor's heavy construction or tracked equipment shall not travel on any public road or bridge, unless the Contractor has made arrangements with the authority concerned and has obtained the approval of the Engineer to such arrangements.				
	III. The Contractor shall repair any damage to the road or bear the cost thereof due to movement of contractor's plants and equipment, vehicles etc. to the specifications and satisfaction of road authorities as well as of Engineer.				
	IV. The Contractor shall plan transportation of construction materials to work site in accordance with traffic regulations enforced by local traffic authorities from time to time and in such a way that congestion on the roads and road accidents are avoided. The Contractor should study this aspect thoroughly before quoting for the work.				
New Clause-4.8	Contractor to keep Site Clear				
	i. During the execution of the Works, the Contractor shall keep the Site free from all unnecessary obstruction, and shall store or dispose of any Contractor's Equipment or surplus materials. The Contractor shall clear away and remove from the Site any				



	wreckage, rubbish or Temporary Works no longer required.	
	ii. On completion of the works, the Contractor shall clear away and remove from site all Constructional Plant, surplus material and Temporary Works. He should leave the whole of the site and Works in a clean, tidy and workman like condition to the satisfaction of the Engineer.	
	iii. On completion of Work the Contractor shall also clear away the labour camps, hutments and other related installations and restore the land to its original condition to the satisfaction of the Engineer within 45 days of the physical completion of Work. The cost on account of delay in return of land and reinstatement of original condition within the stipulated time as determined by Engineer will be recovered from the Contractor's dues.	
	iv. All garbage shall be removed from site daily or as they accumulate. All surface and sub-soil drains shall be maintained in a clean, sound and satisfactory state of performance. No extra payment shall be made on this account.	
	v. No final payment in settlement of the accounts for Works shall be made till, in addition to any other condition necessary for such final payment, site clearance and clearances of labour camps etc. shall have been effected by him. Such clearance may be made by the Engineer through any other agency at the expense of the Contractor in the event of the Contractor's failure to comply with this provision within 7 days after receiving notice to that effect from the Engineer. All expenses on such removal / clearance shall be debit able to the Contractor as loans due from the Contractor to the Employer, and the Employer shall be competent to recover the same from Contractor's on-account or final bills, or from Performance Security amount or from any other amount payable to the Contractor in any other Contract.	
New Clause-4.9	Security of the Site	
	I. The Contractor shall take all measures necessary to ensure security, including exercising control over all persons and vehicles which are employed or engaged on the Site or in connection with the Works or the other works comprising the Project and with the security arrangements applicable to any other site within the Project.	
	II. The Contractor shall arrange the issue of passes for the admission of all persons and vehicles to the Site or to any part thereof and may refuse admission to or remove from the Site any person or vehicle failing to show an appropriate pass on demand to any duly authorized person.	
	III. If required by the Engineer, the Contractor shall submit a list identifying all persons to whom passes have been issued together with two photographs of each person and all entities to which a pass has been issued in respect of any vehicle and shall satisfy the Engineer of the bonafides of any such person or entity.	
	IV. The Contractor shall not, without the written permission of the Engineer or otherwise in accordance with the Contract, allow access to the Site to any person unless the presence on Site of such person is necessary in connection with the execution of the Works or with the discharge of the duties of any relevant	



	authority.		
	<ul> <li>V. The Contractor shall be wholly responsible for security of site and Works. The Contractor shall follow relevant Safety and Security instructions issued by the concerned Authorities from time to time and shall work in close coordination with the concerned Authorities.</li> </ul>		
New Clause-4.10	Contractor's Operations on Site		
	I. The Contractor shall confine his operations to the Site, and to any additional area which may be provided to the Contractor and agreed by the Engineer as working areas. The Contractor shall take all necessary precautions to keep his personnel and equipment within the Site and such additional areas, and to keep and prohibit them from encroaching on adjacent land.		
	II. The Contractor, after obtaining any necessary consent from any relevant authority, shall submit to the Engineer proposals showing the layout of pedestrian routes, lighting, signs, and guarding any road opening or traffic diversion which may be required in connection with the execution of the Works and which the Contractor intends to construct. Any consent given by the Engineer to such proposals shall not relieve the Contractor of any obligation under the Contract or absolve the Contractor from any liability for or arising from such proposals or the implementation thereof.		
	III. All lights provided by the Contractor shall be so placed or screened as not to interfere with signs, signals or lights. The Contractor shall not in any way obscure or affect signs, signals or lights, in use by any relevant authority. In the event that the Contractor does so, the Contractor shall pay all costs associated with the re- fitting, re-instating or provision of alternatives for any sign, signal or light, obscured or affected.		
	IV. For the purposes of this Clause only, "Site" shall include off-Site places of manufacture or storage and the Contractor's Work Areas and shall include, areas provided to the Contractor by others.		
New Clause-4.11	Publicity		
	The Contractor shall not publish or otherwise circulate alone or in conjunction with any other person, any articles, photographs or other materials relating to the Contract, the Site, the Works, the Project or any part thereof, nor impart to the press, or any radio or television network any information relating thereto, nor allow any representative of the media access to the Site, Contractor's Works Areas, or off-Site place of manufacture, or storage except with the permission, in writing, of the Employer. The Contractor shall ensure that his sub-contractors of any tier shall be bound by a like obligation and shall, if so required by the Employer, enforce the same at his own expense. The provisions of this Sub-Clause shall not exempt the Contractor from complying with any statutory provision in regard to the taking and publication of photographs.		
New Clause-4.12	Disclosure of Relationship		
	If the Contractor or any partner of the Contractor or Director of the Contractor's company is closely related to any of the Officers of the Employer or the Engineer, or alternatively, if any close relative of an officer of the Employer or the Engineer has financial interest /		



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	stake in the Contractor's firm, the same shall be disclosed by the Contractor at the time of filing his tender. Any failure to disclose the interest involved, shall entitle the Employer to rescind the Contract, without payment of any compensation to the Contractor. The Contractor shall note that he is prohibited from developing such interest during the Contract period.			
New Clause-4.13	Use Of Explosives			
	Explosives if required on the Work shall be used by Contractor only with prior Approval of the Engineer and in the manner and to the extent permitted by him. The explosives shall be handled, stored in a special magazine to be provided at the cost of the Contractor and used under the strict supervision of persons licensed for this purpose under the requisite statutory rules and regulations. The Contractor shall take all precautions in transporting and using the explosives and avoid damage to nearby structures and utilities. The Contractor shall be responsible for taking all the precautions in the usage of the explosives at Contractor's cost, sole risk and responsibility. The Contractor shall hold the Employer harmless and indemnify for the above.			
New Clause-4.14	In pursuance with this policy, the Employer			
	a. Will reject the Tender for the Work or rescind the Contract, if the Employer determines that the Tenderer/Contractor has engaged in corrupt or fraudulent practices.			
	b. Will declare a Tenderer/Contractor ineligible, either indefinitely or for a minimum period of 2 years from the date of identification of such prohibited conduct, to be awarded a Contract/s if the Employer at any time determines that the Contractor has engaged in corrupt or fraudulent practices in competing for, or in executing the Contract.			
New Clause-4.15	Compensation to Contractor on rescission of Contract under this clause			
	In the event of rescission of Contract, the Contractor shall not be entitled to any compensation whatsoever, except for the work done up to the date of rescission.			
New Clause-4.16	Quality Assurance			
	Unless otherwise stated in Special Conditions of Contract and/or Employer's Requirement, the Contractor shall institute a quality assurance system to demonstrate compliance with the requirements of the Contract. Such system shall be in accordance with the details stated in the Contract. Compliance with the quality assurance system shall not relieve the Contractor of his duties, obligations or responsibilities.			
	Details of all procedure and compliance documents shall be submitted to the Engineer for his consent before each execution stage is commenced			
New Clause-4.17	Work by Persons Other than Contactor			
	I. If the Contractor shall fail to carry out any work required under the Contract or refuse to comply with any instruction or order given by the Engineer in accordance with the Contract within a reasonable time, the Engineer may give the Contractor 14 days' notice in writing to carry out such work or comply with such instruction.			
	II. If the Contractor fails to comply with such notice, the Employer shall be entitled to carry out such work or instruction by his own workmen or by other contractors in whatever manner the Engineer decides, be it single Tender or limited Tender or open Tender or on entrustment basis without any right of appeal by the			



	contractor.	
	III. However, in case of emergencies/urgencies/affecting safety the period of 14 days' notice shall be 24hours notice in writing. The Classification of work as emergencies/urgencies/affecting safety is the prerogative of Engineer and his decision is final and binding on the contractor. Without prejudice to any other right or remedy, all additional expenditure properly incurred by the Employer in having such work or instruction carried out shall be recoverable by the Employer from the Contractor.	
	IV. If by reason of any accident or failure or other event occurring to, in, or in connection with the Works any remedial or other work shall, in the opinion of the Engineer, be urgently necessary and the Contractor is unable or unwilling at once to do such remedial or other work, the Engineer may authorize the carrying out of such remedial or other work by a person other than the Contractor.	
	V. If the remedial or other work so authorized by the Engineer is work, which, in the Engineer's opinion, the Contractor was liable to do under the Contract; all expenses properly incurred in carrying out the same shall be recoverable by the Employer from the Contractor. Provided that the Engineer shall, as soon after the occurrence of any such emergency as may be reasonably practicable, notify the Contractor thereof in writing.	
New Clause-4.18	Confidentiality of Information	
	I. The Contractor shall not use or divulge, except for the purpose of the Contract or with the written permission of the Employer, any information relating to the Works or the Project provided in the Contract or otherwise provided by the Employer, or the Engineer. The Contractor shall ensure that his sub-contractors of any tier shall be bound by a like confidentiality undertaking.	
	II. The Employer, Engineer and any third party to whom an assignment has been made in accordance with New-clause 2.3/PCC may use any information provided by the Contractor in accordance with the Contract. The Employer shall use reasonable endeavours to ensure that the Engineer and any third party referred to in aforesaid New-clause 2.3/PCC shall not; divulge such information except for any purpose connected with the Contract.	
	On completion of the works, the contractor shall arrange to furnish to the Employer two (2) bound sets of all "As Built" drawings for every component of the Works at his own cost, all such copies being on Polyester film of quality to be approved by the Engineer or his Representative. The Taking – over Certificate of the Works, as per the provisions of Clause 46.1/PCC herein, shall not be issued by the Engineer in the event of the Contractor's failure to furnish the aforesaid "As Built" drawings for the entire works.	
	If the Contract specifies that the Contractor shall design any part of the Permanent Works, then unless otherwise stated in the Special Conditions of Contract:	
	(a) the Contractor shall submit to the Engineer the Contractor's Documents for	



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		this part in accordance with the procedures specified in the Contract;	
	(b)	these Contractor's Documents shall be in accordance with the Specification and Drawings, shall be written in the language for communications defined in Sub-Clause 3/CC [Law and Language], and shall include additional information required by the Engineer to add to the Drawings for co-ordination of each Party's designs;	
	(c)	the Contractor shall be responsible for this part and it shall, when the Works are completed, be fit for such purposes for which the part is intended as are specified in the Contract; and	
	(d)	prior to the commencement of the Tests on Completion, the Contractor shall submit to the Engineer the "as-built" documents and operation and maintenance manuals in accordance with the Specification and in sufficient detail for the Employer to operate, maintain, dismantle, reassemble, adjust and repair this part of the Works. Such part shall not be considered to be completed for the purposes of taking-over under Sub-Clause 46.1/PCC [Taking Over of the Works and Sections] until these documents and manuals have been submitted to the Engineer.	
New Clause-4.19	Performance Security		
	The Contractor shall obtain (at his cost) a Performance Security and an additional Performance Security, if any in terms of ITB 25.5, for proper performance of the contract, for the amount, currencies and validity period for Performance Security stated in the Contract Data. If an amount is not stated in the Contract Data, this Sub-Clause shall not apply. The Contractor shall deliver the Performance Security and additional Performance Security, if any, to the Employer within 28 days after receiving the Letter of Acceptance, and shall send a copy to the Engineer. The Performance Security/additional Performance Security shall be issued by an entity and from within a country (or other jurisdiction) approved by the Employer and shall be in the form as given in Section 10 (Formats) or in another form specifically approved by the Employer.		
	The procedu	ure for obtaining Performance Guarantee is outlined below:	
	(Twenty-eig time for sub of issue of agreement. beyond 28( <sup>-</sup> the 60th da	sful bidder shall have to submit a Performance Guarantee (PG) within 28 ht) days from the date of issue of Letter of Acceptance (LOA). Extension of mission of PG beyond 28 (Twenty-eight) days and upto 60 days from the date LOA may be given by the Authority who is competent to sign the contract However, a penal interest of 12% per annum shall be charged for the delay Twenty-eight) days, i.e. from 29 <sup>th</sup> day after the date of issue of LOA. Further, if y happens to be a declared holiday in the concerned office of the Railway, of PG can be accepted on the next working day.	
		cases, if the Contractor fails to submit the requisite PG even after 60 days from issue of LOA, the contract is liable to be terminated. In case contract is	



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paya Depo prom DPII	nated railway shall be entitled to forfeit Earnest Money Deposit and other dues ble against that contract. In case a tenderer has not submitted Earnest Money osit on the strength of their registration as a Startup recognized by Department for otion of industry and internal trade (DPIIT) under Ministry of Commerce and Industry, $\Gamma$ shall be informed to this effect. The failed Contractor shall be debarred from cipating in re-tender for that work.
	Performance Security/additional Performance Security shall be, at the Contractor's n, in any of the following forms:
(i)	An unconditional Bank Guarantee in the prescribed format
(ii)	A Pay Order / Demand Draft drawn on a Scheduled / Nationalized Bank in India in favour of "Rail Infrastructure Development Company (Karnataka) Ltd" payable at Bangalore.
(iii)	FDR in favour of "Rail Infrastructure Development Company (Karnataka) Ltd." (free from any encumbrance).
(iv)	A online bank transfer to K-RIDE account.
	The Bank Guarantee shall be from a bank having minimum net-worth of over INR 500 million from the specified banks as under:
	(i) a Schedule Bank in India, or
	(ii) a Foreign Bank having their operations in India, or
	(iii) a Foreign Bank which does not have operations in India is required to provide a counter-guarantee by State Bank of India,
Mess	Scheduled Bank is suing the Bank Guarantee must be on "Structured Financial saging System (SFMS)" platform. A separate advice of the BG shall be invariable be by the issuing bank to the Employer's Bank through SFMS and only after this the BG become operative and acceptable to the Employer.
The I	ssuing Bank shall send the SFMS to:
RIDE Bran Acco	ficiary: Rail Infrastructure Development Company (Karnataka) Ltd. (K- Bank Name: Canara Bank ch: Prime Corporate Branch unt No. 0430201012110 Code: CNRB0002636
if any the J the v partn	se the contractor is a JV;"the Performance Security/additional Performance Security, v in terms of ITB 35.5, shall be submitted by each JV Partner separately on behalf of V in favour of K-RIDE in proportion of their respective percentage share specified in JV Agreement. The additional Performance Security shall be submitted by the er(s) responsible for execution of schedule(s) (as per JV agreement) against which ional Performance Security is required to be submitted in terms of ITB 35.5.
	ever, Submission of Performance Guarantee Security by individual partners on behalf a JV shall in no way dilute their Joint & Several responsibility. The Employer shall be



entitled to recover the amount of Bank Guarantees individually and / or from all the Partners jointly at its discretion."

The Contractor shall ensure that the Performance Security/additional Performance Security is valid and enforceable until the Contractor has executed and completed the Works and remedied defects, if any. If the contractor does not complete the work for any reasons whatsoever, the terms of the Performance Security/ additional Performance Security specify its expiry date, and the Contractor has not become entitled to receive the Performance Certificate by the date 28 days prior to the expiry date, the Contractor shall be bound to extend the validity of the Performance Security/additional Performance Security until the Works have been completed and any defects have been remedied.

Failure of the successful Tenderer to furnish the required Performance Security shall be a ground for the annulment of the award of Contract and execution of the Tender Securing Declaration.

## Release of performance security

- i. The whole or such portion of the Performance Security amount shall be liable to be forfeited by the Employer at the discretion of the Employer, in the event of any breach of Contract on the part of the Contractor.
- ii. After completion of the entire Work, the Performance Security shall be released to the Contractor, on issue of last Taking Over Certificate if more than one Certificate exist, by the Engineer, the release of Performance Security shall not relieve the Contractor from his obligations and liabilities, to make good that may be detected during the Defects Liability Period.

The Guarantees shall be unconditional and irrevocable. The Employer shall return the Performance Security to the Contractor within 21 days after receiving a copy of the Performance Certificate or passing of the Final Payment Certificate whichever is later. However, on completion of specified section(s) and successful passage of defect liability period for such section(s) along with execution of any leftover works at the time of completion of such section(s), the contractor shall be entitled for release of 90% of the proportionate Performance Security calculated as specified in Contract Data subject to the condition that Engineer certifies that no recoveries are pending in the contract. In case Engineer points out amount to be recovered then the contractor shall be entitled for release of 90% of the proportionate Performance Security calculated as specified in Contract Data subject to the condition that Engineer certifies that no recovered then the contractor shall be entitled for release of 90% of the proportionate Performance Security calculated as specified in Contract Data subject to the contract Data minus the amount to be recovered.

The Employer shall return additional Performance Security submitted in terms of ITB 35.5 as per the following;

(a) If the Contractor submits an application stating that all the works against the particular schedule(s)/bill(s) for which additional Performance Security was submitted in terms of ITB 35.5 have been completed in all respect, then the Employer, on being satisfied with the claim of the Contractor, shall return the full additional Performance Security against the particular schedule(s). Decision of the Employer regarding completion of works against a particular schedule/bill shall be



final and binding on the Contractor.

(b) If the Contractor submits an application stating that majority of the works (physical progress being not less than 90%) against the particular schedule(s)/bill(s) for which additional Performance Security was submitted in terms of ITB 35.5 have been completed and execution of balance works is held up for reasons not attributable to the Contractor, then the Employer, on being satisfied with the claim of the Contractor, shall return 75% of the amount of additional Performance Security against the particular schedule(s). The balance amount of additional Performance Security shall however be returned only after completion of the works against the particular schedule(s) for which additional Performance Security was submitted in terms of ITB 35.5, in all respects to the satisfaction of the Employer. Decision of the Employer regarding completion of works against a particular schedule/bill shall be final and binding on the Contractor.

Without limitation to the provisions of the rest of this Sub-Clause, whenever the Engineer determines an addition or a reduction to the Contract Price as a result of a change in cost and/or legislation or as a result of a Variation amounting to more than 25 percent of the portion of the Contract Price payable in a specific currency, the Contractor shall at the Engineer's request promptly submit Performance Guarantee @ 10% of the increased amount over the original contract price in a specific currency. On the other hand if the value of contract price decreases by more than 25% of the original contract price payable in a specific currency shall be returned to the contract price from the original contract price in a specific currency shall be returned to the contractor, on his request.

Wherever the contract is terminated under Clause 49.7/PCC, the Performance Guarantee shall be encashed by the Employer:

- i) in full including additional Performance Guarantee amount, if any, taken in terms of clause 25.5 and 29 of ITT and not due for release on the date of issue of termination letter in terms of this clause, in case of termination of the contract as a whole; or
- ii) at the discretion of the Employer it may be encashed in part/parts proportionate to the Contract price of the bill/schedule to which the terminated part of work belongs
   i.e P=(A x B)÷C where

P=Proportionate Bank Guarantee Amount.

A=Contract price of the particular bill/schedule to which the terminated part of work belongs.

B=Performance Guarantee amount in terms of CC New-clause 4.19/PCC

C=Total Contract price.

Plus additional performance Guarantee amount, if any, taken in terms of sub clause 25.5 and 29 of ITT and not due for release on the date of issue of termination letter in terms of this clause against this particular bill/schedule to which the terminated part of the work



	belongs, in case of termination in part/parts.
	The balance work should be got done separately, and independently by K-RIDE without risk and cost of the original contractor. The original contractor shall be debarred from participating in the tender for executing the balance work. If the failed contractor is a JV or a partnership firm, then every member/partner of such a firm would be debarred from participating in the tender for the balance work either in his/her individual capacity or as a partner of any other JV/partnership firm.
	In case the Contractor fails to perform the Contract or any JV partner fails to perform its obligations under the JV agreement, which is a part of this agreement, the Employer may issue notice of such default to the said JV partner or the JV (depending upon reasons of default) and declare the said JV partner or the JV as Poor Performer. The issue of such notice shall automatically debar the JV partner or JV, as the case may be, from participating in any K-RIDE tender from the date of issue of notice of default. The relevant performance security including additional performance security, if any, in terms of sub clauses 25.5 and 29 of ITT submitted by the Contractor or submitted on behalf of JV partner to the extent not due for release at the time of contemplation of such action shall be encashed and forfeited either fully or in proportion of the percentage share of that partner in the JV agreement, as the case may be.
New Clause-4.20	<b>Security Deposit</b> : The Security Deposit shall be <b>5% of the contract value</b> . Security Deposit may be deposited by the Contractor before release of first on account bill in cash or Term Deposit Receipt issued from Scheduled Bank, or may be recovered at the rate defined in this section PCC, the bill amount till the full Security Deposit is recovered. Provided also that in case of defaulting Contractor, the Employer may retain any amount due for payment to the Contractor on the pending "on account bills" so that the amounts so retained (including amount guaranteed through Performance Security) may not exceed 10% of the total value of the contract. The security deposit may be recovered at the rate 6% of bill amount till the security deposit is recovered.
	Further, in case of contracts having value equal to or more than ₹ 50 crore (Rs Fifty crore) the Security Deposit may be deposited as Bank Guarantee Bond also, issued by a scheduled bank after execution of contract documents, but before payment of 1st on account bill, provided further that the validity of Bank Guarantee Bond shall be extended from time to time, depending upon extension of contract granted in terms Clause No 26 of PCC.
	Further, in case Security Deposit has been submitted as Term Deposit Receipt/Bank Guarantee Bond in full amount, the Bid security by Contractor with his tender will be returned by K-RIDE.
	Note: After the work is physically completed as certified by competent authority, Security Deposit recovered from the running bills of a Contractor can be returned to him, if he so desires, in lieu of Term Deposit Receipt/irrevocable Bank Guarantee for equivalent amount from Scheduled Bank, to be submitted by him.



	4.2.b(i) Refund of Security Deposit: Security Deposit mentioned in clause above shall be returned to the Contractor along with or after, the following:
	(a) Final Payment of the Contract and
	(b) Execution of Final Supplementary Agreement or Certification by Engineer that K-RIDE has No Claim on Contractor and
	(c) Maintenance Certificate issued, on expiry of the maintenance period.
	4.2.b(ii) Forfeiture of Security Deposit: Whenever the contract is rescinded as a whole under clause 49 of CC/PCC, the Security Deposit already with K-RIDE under the contract shall be forfeited. However, in case the contract is rescinded in part or parts under clause 49 of CC/PCC, the Security Deposit shall not be forfeited.
	4.2.(c) No interest shall be payable upon the Bid Security and Security Deposit or amounts payable to the Contractor under the Contract, but Government Securities deposited in terms of New-Clause 4.19/PCC of this clause will be payable with interest accrued thereon.
New Clause -4.21	Contractor Representative:
	The Contractor shall depute his Representative to attend all the review meetings notified by the Engineer.
	Facilities for and Co-ordination with Others
	The Contractor shall not impede and shall afford all necessary facilities, access and/or services to the Employer, Engineer, Designated Contractors, utility undertakings, other relevant authorities and other contractors (whether employed by the Employer or not) who are carrying out on, or in the vicinity of, the Site, works not included in the Contract but forming part of the Project:
	A. The Contractor shall take all reasonable steps to ensure that the Works are co- ordinated and integrated with the Design, manufacture, installation execution and testing of such other works and shall in particular (but without limitation):
	<ul> <li>(i) comply with any direction which the Engineer may give for the integration of the Design with the design of any other part of the Project;</li> </ul>
	<ul> <li>(ii) consult, liaise and co-operate with those responsible for carrying out such other works, including where necessary, in the preparation of the respective designs, the preparation of co-ordinated programmes, method statements, co- ordination drawings and specifications together with arrangements of service priorities and zoning;</li> </ul>
	B. The Contractor shall undertake Design co-ordination with other contractors who are carrying out works forming part of the Project as described in the Employer's Requirements. At the end of each such co-ordination period, the Contractor and the



other contractor with whose works the interface period refers shall jointly state in writing that their design co-ordination activities are complete and that their respective designs are integrated and can be finalised without interference with each other's designs or the designs with which their designs have already been integrated.
A copy of this joint written statement shall be provided to the Engineer within 7 days of the end of the said design co-ordination period. Unless and until copies of all relevant and necessary design co-ordination statements have been submitted to the Engineer, the Engineer shall be entitled to suspend any review or further review of the Contractor's or the other contractor's design submissions. Such suspension shall not be grounds for the Contractor to claim nor shall be entitled to receive an extension of time or additional payments.
C. The Contractor shall share within the Site, staging, storage and unloading areas for the use of Designated Contractors, if any, who are undertaking civil work, fare collection system, supply, testing and commissioning of Rolling Stock, escalators, lifts, signalling and telecommunications and traction power installation works, etc. Separate locations shall be provided for each such contractor. The exact size and location of these staging, storage and unloading areas, and the commencement date shall be co-ordinated and agreed during the design interface period with each Designated Contractor.
D. Any other contract which depends for its execution on the Contract or upon which the Contract is dependent for its own execution shall be identified by the Engineer as a "Designated Contract". The Contractor shall provide attendance on Designated Contractors in accordance with the Employer's Requirements and as instructed by the Engineer. The identity of the contractor for a Designated Contract may not be known before the execution of the Contract but this shall not be a ground for the Contractor to object to the subsequent appointment of a Designated Contractor
E. The Contractor shall in accordance with the requirements of the Engineer co- ordinate his own Works with that of Designated Contractors through Co-ordinated Installation Programme (CIP) stated in the Employer's Requirements, or as the Engineer may require, and shall afford the Designated Contractors all reasonable opportunities for carrying out their works.
F. The Contractor shall afford all reasonable opportunities, for carrying out their work, to other contractors employed by the Employer and their workmen respectively and the workmen of the Employer who may be engaged on or near the Site of any work, ancillary to the Works, but, not included in the Contract and shall not cause them inconvenience.
G. If the Contractor shall suffer delay by reason of failure by any Designated Contractor to meet the specified installation interfacing and co-ordination, completion dates, which delay shall be caused otherwise than by fault of the Contractor, or, if compliance with sub-clause (f) herein shall involve the Contractor in delay beyond that which could be reasonably foreseen by an experienced contractor at the time of tender, then the Engineer shall take such delay into account in determining any



	extension of time to which the Contractor is entitled under the Contract.
	H. It shall be the responsibility of the Contractor to ensure that the full extent of the Works under the Contract and the works to be carried out by Designated Contractors within the Works or, in, on, under, through and over the Site are co-ordinated and integrated in their design, manufacture, installation and construction. Such responsibility shall neither be mitigated nor in any other way affected by virtue of similar responsibilities being placed on other contractors.
	The Contractor shall be deemed to have made adequate allowance in the Contract Price and in the Works Programme in respect of these obligations.
	If any act or omission of the Contractor whether directly or indirectly results in the delay in the execution of the works of a Designated Contractor, the Contractor, in addition to his liability in respect of liquidated damages if they become due, shall pay to the Employer, or the Engineer may deduct from Interim Payment Certificates such amount as the Engineer shall have certified in respect of additional payments or costs to the Designated Contractor in respect of such delay.
New Clause-4.22	DELETED
New Clause-4.23	DELETED
New Clause-4.24	Co-operation
	The Contractor shall, as specified in the Contract or as instructed by the Engineer, allow appropriate opportunities for carrying out work to:
	(a) the Employer's Personnel,
	(b) any other contractors employed by the Employer, and
	(c) the personnel of any legally constituted public authorities,
	who may be employed in the execution on or near the Site of any work not included in the Contract.
	Any such instruction shall constitute a Variation if and to the extent that it causes the Contractor to incur Unforeseeable Cost. Services for these personnel and other contractors may include the use of Contractor's Equipment, Temporary Works or access arrangements which are the responsibility of the Contractor.
	If, under the Contract, the Employer is required to give to the Contractor possession of any foundation, structure, plant or means of access in accordance with Contractor's Documents, the Contractor shall submit such documents to the Engineer in the time and manner stated in the Specification.
New Clause-4.25	Setting Out
	The Contractor shall set out the Works in relation to original points, lines and levels of reference specified in the Contract or notified by the Engineer. The Contractor shall be responsible for the correct positioning of all parts of the Works, and shall rectify any error in the positions, levels, dimensions or alignment of the works, notifying the Engineer within 28 days of the date of commencement



	In the event of such discrepancy arising during the course of the work, for which Employer's documents are handed over after the date of commencement, the contractor shall seek clarifications within 14 days of receipt of such documents The Employer shall be responsible for any errors in these specified or notified items of reference, but the Contractor shall use reasonable efforts to verify their accuracy before they are used. Contractor shall promptly notify the Employer and the Engineer of any error, omission, fault, or any other defect in the design, drawing or specifications for the works, which he discovers when reviewing the Contract Documents, and in the process of execution of the Works. The Contractor shall be responsible to ensure correlation in various drawings and bill of quantities, before commencement and execution of work. In case of any discrepancy the Contractor shall bring it to notice of the Engineer for clarification within 28
	days of the issue of Letter of Acceptance. In the event of such discrepancy arising during the course of the work, for which drawings are given after the date of issue of Letter of Acceptance, the Contractor shall seek clarifications within 14 days of receipt of such drawings.
	Notwithstanding with any other provision, the Contractor shall have to pay penalty for damage to Railway cable in terms of clause C 15 of the JPO as given in para 1.2 of Chapter 1. (Safety and Security) of Section 8 and shall also indemnify the Employer against any losses, damages to property or life in terms of para 1.11 and 1.12 of the chapter 1. (Safety and Security) of Section 8.
New Clause-4.26	Safety Procedures
	The Contractor shall follow the provisions laid down in Chapter 1. (Safety and Security) of Section 8 and shall:
	(a) comply with all applicable safety regulations,
	(b) take care for the safety of all persons entitled to be on the Site,
	(c) use reasonable efforts to keep the Site and Works clear of unnecessary obstruction so as to avoid danger to these persons,
	(d) provide fencing, lighting, guarding and watching of the Works until completion and taking over under Clause 46/PCC [Employer's Taking Over], and
	(e) provide any Temporary Works (including roadways, footways, guards and fences) which may be necessary, because of the execution of the Works, for the use and protection of the public and of owners and occupiers of adjacent land.
	Additional Safety Precautions
	(1) The Contractor shall comply with all the precautions as required for the safety of the workmen by the I.L.O Convention No.62 as far as they are applicable to the Contract. The Contractor shall provide all necessary safety appliances; such as safety goggles, helmets, masks, etc. to the workmen and the staff.
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(2)	Suitable scaffolds shall be provided for workmen for all work that cannot safely be done from the ground, or from solid construction except for such short period work as can be done safely from ladders. When a ladder is used, an extra labourer shall be engaged for holding the ladder and if the ladder is used for carrying materials as well, suitable foot-holds and hand-holds shall be provided on the ladder, which shall be given an inclination not steeper than 1/4 to 1 (1/4 horizontal in 1 vertical).
(3)	Scaffolding or staging more than 3.25 metres above the ground or floor, swung or suspended from an overhead support or erected with stationary support, shall have a guard rail properly attached, bolted, braced and otherwise secured at least 1 metre high above the floor or platform of such scaffolding or staging and extending along the entire length of the outside and ends thereof with only such openings as maybe necessary for the delivery of materials. Such scaffolding or staging shall be so fastened as to prevent it from swaying in from the support or structure.
(4)	Working platforms, gangways and stairways shall be so constructed that they do not sag unduly or unequally, and if the height of any platform or gangway or stairway is more than 3.25 metres above ground level or floor level, it shall have closely spaced boards, have adequate width and be suitably provided with guard rails as described in (3) above.
(5)	Every opening in the floor of a structure or in a working platform shall be provided with suitable means to prevent fall of persons or materials by providing suitable fencing or railing with a minimum height of one metre.
(6)	Safe means of access and egress shall be provided to all working platforms and other working places. Every ladder shall be securely fixed. No portable single ladder shall be over 9 metres in length. The width between side rails in a rung ladder shall in no case be less than 30 cm for ladders up to and including 3 metres in length. For longer ladders the width shall be increased at least 6 mm for each additional 30 cm of length. Spacing of steps shall be uniform and shall not exceed 30 cm.
(7)	Adequate precautions shall be taken to prevent danger from electrical equipment. Adequate safety measures shall be taken when any work is undertaken near any live highly charged electric wire. Necessary shutdown may be arranged, where and whenever essential. All rules in force in this connection shall be fully complied with. The Contractor shall ensure all precautions to prevent any accidents due to electrocution or otherwise.
(8)	No materials on any of the sites shall be so stacked or placed as to cause danger or inconvenience to any person or the public. The Contractor shall provide all necessary fencing and lights to protect the public from accidents and shall be bound to bear the expenses of defending every suit, action or other proceedings at law that may be brought by any person for injury sustained owing to neglect of the above



S	precautions and to pay any damages and costs which may be awarded in any such puit, action or proceedings to any such person or which may with the consent of the Contractor be paid to compromise any claim by any such person.
ti ti n n b s s ti	Excavation and Trenching : All trenches, 1.5 metres or more in depth, shall at all imes be supplied with at least one ladder for each 20 metres in length or fraction hereof, Ladders shall be extended from the bottom of the trench to at least 1 netre above the surface of the ground. The sides of a trench, which is 1.5 metres or nore in depth shall be stepped back to provide a suitable slope, or be securely held by timber bracing so as to avoid the danger of side collapse. Excavated material shall not be placed within 1.5 metres of the edge of any trench or half the depth of he trench, whichever is more. Excavation shall be made from the top to the bottom. Juder no circumstances shall undermining or undercutting be done.
	Demolition : Before any demolition work is commenced and also during the process of the work :
	(a) All roads and open areas adjacent to the work site shall either be closed or suitably protected.
	(b) No electric cable or apparatus, which is liable to be a source of danger other than a cable or apparatus used by operators, shall remain electrically charged:
	(c) All practical steps shall be taken to prevent danger to persons employed by the Employer, from risk of fire or explosion, or flooding. No floor, roof or other part of a building shall be so overloaded with debris or materials as to render it unsafe.
s	All necessary personal safety equipment as considered adequate by the Engineer shall be available for use of persons employed on the site and maintained in a condition suitable for immediate use; and the Contractor shall take adequate steps to ensure proper use of such equipment by those concerned. (a) Workers employed on mixing asphaltic materials, cement, lime mortars,
	<ul><li>concrete etc. shall be provided with protective footwear and protective goggles.</li><li>(b) Those engaged in handling any material, which is injurious to the eyes, shall</li></ul>
	<ul><li>be provided with protective goggles.</li><li>(c) Those engaged in welding works shall be provided with welder's protective eye-shield.</li></ul>
	<ul><li>(d) Stone breakers shall be provided with protective goggles and protective clothing and seated at sufficiently safe intervals.</li><li>(e) When workers are employed in sewers and manhole, which are in use, the</li></ul>
	(e) When workers are employed in sewers and manhole, which are in use, the contractor shall ensure that manhole covers are open and manholes are ventilated at least for an hour before workers are allowed to go into them.



	Manholes so open shall be cordoned off with suitable railing and provide warning signals or boards to prevent accidents to the public.
(12)	The Contractor shall not employ men below the age of 18 years and women, on the work of painting with products containing lead in any form. Whenever men above the age of 18 years are employed on the work of lead painting, the following precautions shall be taken
	(a) No paint containing lead or lead products shall be used except in the form of paste or readymade paint.
	(b) Suitable face masks shall be supplied for use by workers when paint is applied in the form of spray or a surface having lead paint dry rubbed and scrapped.
	(c) Overalls shall be supplied by the Contractor to workmen and adequate facilities shall be provided to enable workers to wash during and at the close of any day's work.
13)	When work is performed near any place where there is risk of drowning all necessary equipment shall be provided and kept ready for use and all necessary steps taken for prompt first aid treatment of all injuries likely to be sustained during the course of the work.
(14)	Use of hoisting machines and tackle including their attachments, anchorage and supports shall conform to the following:
	(i) These shall be of good mechanical construction, sound material and adequate strength and free from patent defects and shall be kept in good working order, be regularly inspected and properly maintained.
	(ii) Every rope used in hoisting or lowering materials or as a means of suspension shall be of durable quality and adequate strength, and free from defects
a	) Every crane driver or hoisting appliance operator shall be properly qualified and no person under the age of 21 shall be in charge of any hoisting machine including scaffold equipment. Only trained men over the age of 21 shall be permitted to give signals to such plant and appliance operators.
	For every hoisting machine and every chain hook, shackle, swivel and pulley block used in hoisting, lowering or as means of suspension, safe working load shall be ascertained by adequate means. Every hoisting machine and all gear referred to above shall be plainly marked with safe working load. In case of a hoisting machine or a variable safe working load, each safe working load and conditions under which it is applicable shall be clearly indicated. No part of any machine or any gear referred to in the paragraph above shall be loaded beyond safe working load except for the purpose of testing.



b)	In case of the Employer's machine, safe working load shall be notified by the Engineer or his Representative. As regards Contractor's machines, the Contractor shall notify safe working load of each machine to the Engineer or his Representative, whenever he brings it to the site of work and get it verified by him.
(15)	Motors, gearing, transmission, electric wiring and other dangerous parts of hoisting appliances shall be provided with efficient safeguards; hoisting appliances shall be provided with such means as will reduce the risk of accident during descent of load to the minimum. Adequate precautions shall be taken to reduce to the minimum risk of any part of a suspended load becoming accidentally displaced. When workers are employed on electrical installations, which are already energised, insulating mats, working apparel such as gloves, sleeves and boots, as may be necessary, shall be provided. Workers shall not wear any rings, watches and carry keys or other materials which are good conductor of electricity.
(16)	All scaffolds, ladders and other safety devices mentioned or described herein shall be maintained in a safe condition and no scaffold, ladder or equipment shall be altered or removed while it is in use. Adequate washing facilities shall be provided at or near places of work.
(17)	These safety provisions shall be brought to the notice of all concerned by displaying on a notice board at a prominent place at the work location. Persons responsible for ensuring compliance with the Safety Code shall be named therein by the Contractor.
(18)	To ensure effective enforcement of the rules and regulations relating to safety precautions, arrangements made by the contractor shall be open to inspection by the Engineer or his Representative.
(19)	Notwithstanding anything contained in conditions (1) to (17) above, the Contractor shall at its own costs, remain liable to comply with the provisions of all acts, rules, regulations, and bylaws for the time being in force in India and applicable in this matter.
(20)	For work carried out in the vicinity of any wharf or quay, the Contractor shall abide by all the provisions of the Dock Workers (Safety, Health and Welfare) Scheme, 1961.
(21)	The Contractor shall at his own expense provide protective safety Equipment like gloves and footwear for all labour engaged on concrete mixing work and all other types of working involving the use of tar, cement, etc. to the satisfaction of the Engineer or his Representative, and on his failure to do so, the employer shall be entitled to provide the same and recover the cost from the Contractor.
	The Contractor shall be responsible for observance, by the sub-contractors, of the foregoing provisions.



	The Contractor shall provide all necessary access, assistance and facilities to enable the Engineer to carry out surveillance visits both on and off the Site to verify that the quality assurance system is being properly and fully implemented. No extra payment shall be made in this regard and the cost of the Work under this element shall be deemed to be
	The Contractor shall appoint a suitably qualified and experienced person, not otherwise engaged in the performance of the Contract, to act as manager of the quality assurance system and shall provide such other personnel and resources as required to ensure effective operation of the quality assurance system. The said manager shall carry out audits of the application of the quality assurance system, and ensure effective quality control and delivery of quality assurance.
	Upon the Engineer notifying his consent to the Site Quality Plan, or any supplement thereto, the Contractor shall, adhere to the principles and procedures contained in such document, except where the Engineer gives his consent to any amended or varied version thereof. The Contractor shall cause any sub-contractors to adhere to this Plan.
	Within 28 days of the issue of the Letter of acceptance, the Contractor shall submit to the Engineer, for his consent, his proposed Site Quality Plan based on the Outline Quality Plan and the Employer's Requirements. The quality manual should address the quality system as required by ISO 9001-1991. Any supplement to the Site Quality Plan shall be submitted at least 14 days before commencement of the relevant work.
	his duties, obligations or responsibilities under the Contract. Quality Control
	by the Contractor himself shall be apparent on the document itself. Compliance with the quality assurance system shall not relieve the Contractor of any of
	Details of all procedures and compliance documents shall be submitted to the Engineer for information before each design and execution stage is commenced. When any document of a technical nature is issued to the Engineer, evidence of the prior approval but the Contractor binder of the prior approval.
	The Contractor shall institute a quality assurance system to demonstrate compliance with the requirements of the Contract. The system shall be in accordance with the details stated in the Contract. The Engineer shall be entitled to audit any aspect of the system.
New Clause-4.27	Quality Assurance
	Notwithstanding with any other provision, the Contractor shall have to pay penalty for damage to Railway cable in terms of clause C 15 of the JPO as given in para 1.2 of Chapter 1. (Safety and Security) of Section 8 and shall also indemnify the Employer against any losses, damages to property or life in terms of para 1.11 and 1.12 of the chapter 1. (Safety and Security) of Section 8).
	(23) All construction labour at all-time shall use personal protective equipment like, safety shoes, helmets and reflective jackets in all activities at site. This shall be ensured without fail on each work site. Failing to comply with this, provision shall attract penalty of Rs. 500/- per occasion per staff.



	included in the Contract Price.
New Clause-4.28	Site Data
	The Employer shall have made available to the Contractor for his information, prior to the Base Date, all relevant data in the Employer's possession on sub-surface and hydrological conditions at the Site, including environmental aspects. The Employer shall similarly make available to the Contractor all such data which come into the Employer's possession after the Base Date. The Contractor shall be responsible for interpreting all such data.
	To the extent which was practicable (taking account of cost and time), the Contractor shall be deemed to have obtained all necessary information as to risks, contingencies and other circumstances which may influence or affect the Bid or Works. To the same extent, the Contractor shall be deemed to have inspected and examined the Site, its surroundings, the above data and other available information, and to have been satisfied before submitting the Bid as to all relevant matters, including (without limitation):
	(a) the form and nature of the Site, including sub-surface conditions,
	(b) the hydrological and climatic conditions,
	(c) the extent and nature of the work and Goods necessary for the execution and completion of the Works and the remedying of any defects,
	(d) the Laws, procedures and labour practices of the Country, and
	(e) the Contractor's requirements for access, accommodation, facilities, personnel, power, transport, water and other services.
	(f) Data made available by the Employer in accordance with the preceding paragraph shall be deemed to include data listed elsewhere in the contract as open for inspection at the address stipulated in the Contract.
New Clause-4.29	Sufficiency of the Accepted Contract Amount
	The Contractor shall be deemed to:
	a) have satisfied himself as to the correctness and sufficiency of the Accepted Contract Amount, and
	b) have based the Accepted Contract Amount on the data, interpretations, necessary information, inspections, examinations and satisfaction as to all relevant matters referred to in New-Clause 4.28/PCC [Site Data].
	Unless otherwise stated in the Contract, the Accepted Contract Amount covers all the Contractor's obligations under the Contract (including those under Provisional Sums, if any) and all things necessary for the proper execution and completion of the Works and the remedying of any defects.
New Clause-4.30	Unforeseeable Physical Conditions
	In this Sub-Clause, "physical conditions" means natural physical conditions and man- made and other physical obstructions and pollutants, which the Contractor encounters at



	the Site when executing the Works, including sub-surface and hydrological conditions but excluding climatic conditions.
	If the Contractor encounters adverse physical conditions which he considers to have been Unforeseeable, the Contractor shall give notice to the Engineer as soon as practicable.
	This notice shall describe the physical conditions, so that they can be inspected by the Engineer, and shall set out the reasons why the Contractor considers them to be Unforeseeable. The Contractor shall continue executing the Works, using such proper and reasonable measures as are appropriate for the physical conditions, and shall comply with any instructions which the Engineer may give. If an instruction constitutes a Variation, Clause 34/PCC [Variations and Adjustments] shall apply.
	If and to the extent that the Contractor encounters physical conditions which are Unforeseeable, gives such a notice, and suffers delay and/or incurs Cost due to these conditions, the Contractor shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to:
	<ul> <li>(a) An extension of time for any such delay, if completion is or will be delayed, under Sub-Clause 26.5/PCC [Extension of Time for Completion], and</li> </ul>
	(b) Payment of any such Cost, which shall be included in the Contract Price.
	After receiving such notice and inspecting and/or investigating these physical conditions, the Engineer shall proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine (i) whether and (if so) to what extent these physical conditions were Unforeseeable, and (ii) the matters described in sub-paragraphs (a) and (b) above related to this extent.
	However, before additional Cost is finally agreed or determined under sub-paragraph (ii), the Engineer may also review whether other physical conditions in similar parts of the Works (if any) were more favourable than could reasonably have been foreseen when the Contractor submitted the Bid. If and to the extent that these more favourable conditions were encountered, the Engineer may proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine the reductions in Cost which were due to these conditions, which may be included (as deductions) in the Contract Price and Payment Certificates. However, the net effect of all adjustments under sub-paragraph (b) and all these reductions, for all the physical conditions encountered in similar parts of the Works, shall not result in a net reduction in the Contract Price.
	The Engineer may take account of any evidence of the physical conditions foreseen by the Contractor when submitting the Bid, which may be made available by the Contractor, but shall not be bound by any such evidence.
New Clause-4.31	Rights of Way and Facilities
	The Contractor shall bear all costs and charges for special and/or temporary rights-of-way which he may require, including those for access to the Site. The Contractor shall also
New Clause-4.31	the Engineer may also review whether other physical conditions in similar parts of the Works (if any) were more favourable than could reasonably have been foreseen when the Contractor submitted the Bid. If and to the extent that these more favourable conditions were encountered, the Engineer may proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine the reductions in Cost which were due to these conditions, which may be included (as deductions) in the Contract Price and Payment Certificates. However, the net effect of all adjustments under sub-paragraph (b) and all these reductions, for all the physical conditions encountered in similar parts of the Works, shall not result in a net reduction in the Contract Price. The Engineer may take account of any evidence of the physical conditions foreseen by the Contractor when submitting the Bid, which may be made available by the Contractor, but shall not be bound by any such evidence. <b>Rights of Way and Facilities</b> The Contractor shall bear all costs and charges for special and/or temporary rights-of-way



	obtain, at his risk and cost, any additional facilities outside the Site which he may require for the purposes of the Works.
	In case any operation connected with traffic necessitates diversion, obstruction or closure of any road, railway or any other right of way, the approval of the Engineer and the concerned authorities shall be obtained well in advance by the Contractor.
	Provided that if it is found necessary for the Contractor to move one or more loads of heavy constructional plants and equipment, materials or Pre-constructed units or parts of units of work over roads, highways, bridges on which such oversized and overweight items that are not normally to be moved, the contractor shall obtain prior permission from the concerned authorities.
	Payments for complying with the requirements, if any, for protection or strengthening of the roads, highways or bridges shall be made by the contractor and such expenses shall be deemed to be included in his quoted contract price.
New Clause-4.32	Avoidance of Interference
	The Contractor shall not interfere unnecessarily or improperly with:
	a) the convenience of the public, or
	b) the access to and use and occupation of all roads and footpaths, irrespective of whether they are public or in the possession of the Employer or of others or
	c) Passenger amenities at stations and station platforms.
	The Contractor shall indemnify and hold the Employer harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from any such unnecessary or improper interference.
New Clause-4.33	Access Route
	The Contractor shall be deemed to have been satisfied as to the suitability and availability of access routes to the Site. The Contractor shall use reasonable efforts to prevent any road or bridge from being damaged by the Contractor's traffic or by the Contractor's Personnel. These efforts shall include the proper use of appropriate vehicles and routes.
	Except as otherwise stated in these Conditions:
	<ul> <li>(a) the Contractor shall (as between the Parties) be responsible for any maintenance which may be required for his use of access routes;</li> </ul>
	<ul> <li>(b) the Contractor shall provide all necessary signs or directions along access routes, and shall obtain any permission which may be required from the relevant authorities for his use of routes, signs and directions;</li> </ul>
	(c) the Employer shall not be responsible for any claims which may arise from the use or otherwise of any access route;
	(d) the Employer does not guarantee the suitability or availability of particular access routes; and
	(e) Costs due to non-suitability or non-availability, for the use required by the



	Contractor, of access routes shall be borne by the Contractor.
New Clause-4.34	Transport of Goods
	Unless otherwise stated in the Special Conditions of Contract:
	<ul> <li>(a) the Contractor shall give the Engineer not less than 21 days' notice of the date on which any Plant or a major item of other Goods will be delivered to the Site;</li> </ul>
	(b) the Contractor shall be responsible for packing, loading, transporting, receiving, unloading, storing and protecting all Goods and other things required for the Works; and
	(c) the Contractor shall indemnify and hold the Employer harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from the transport of Goods, and shall negotiate and pay all claims arising from their transport.
New Clause-4.35	Contractor's Equipment
	The Contractor shall be responsible for all Contractors' Equipment. When brought on to the Site, Contractor's Equipment shall be deemed to be exclusively intended for the execution of the Works. The Contractor shall not remove from the Site any major items of Contractor's Equipment without the consent of the Engineer. However, consent shall not be required for vehicles transporting Goods or Contractor's Personnel off Site
	In the event of Contractor imports any equipment the following shall apply"
	A. <b>Custom Clearance:</b> The Employer will assist the contractor, when required by furnishing letters of recommendation for obtaining expeditious clearance through customs of constructional plants, material and other things required for the works and then for re-export, if any. The following publications, may be referred to by the contractor for guidance about custom regulations etc :
	i. Import & export policy, together with amendments, if any, published by Govt. of India, Ministry of Commerce
	ii. Hand Book of Procedures, together with amendments, if any, Volume 1 and 2 published by Ministry of Commerce.
	iii. Customs Tariff, together with amendments, if any published by Central Customs.
	The Contractor shall be responsible to follow the latest rules and regulations without any liability of the Employer.
	B. Re-export of Contractors equipment: The contractor shall obtain all the relevant information regarding procedure for the import and subsequent re-export of his equipment and materials from the Chief Controller of Imports and Exports, Bangalore, and shall inform himself and keep himself informed on the details of custom charges and draw-back regulations as applicable to the items of Constructional plant. The contractor shall provide the necessary guarantee/bonds where these are required by the customs notwithstanding that import licenses may



be granted in the name of Employer.

	C. Notwithstanding the provisions mentioned above, Contractor's Equipment, including essential spare parts therefore, imported by the Contractor for the sole purpose of executing the Contract shall be temporarily exempt from the payment of import duties and taxes upon initial importation, provided the Contractor shall post with the customs authorities at the port of entry an approved export bond or bank guarantee, valid until the Time for Completion plus six months, in an amount equal to the full import duties and taxes which would be payable on the assessed imported value of such Contractor's Equipment and spare parts, and callable in the event the Contractor's Equipment is not exported from the Country on completion of the Contract. A copy of the bond or bank guarantee endorsed by the custom authorities shall be provided by the Contractor to the Employer upon the importation of individual items of Contractor's Equipment or spare parts, or upon the completion of the Contract, Contractor shall prepare for approval by the customs authority the authorities, an assessment of the residual value of the Contractor's Equipment and spare parts to be exported based on the depreciation scale(s) and other criteria used by the customs authorities for such purposes under the provisions of the applicable Laws. Import duties and taxes shall be due and payable to the customs authorities by the Contractor on (a) the difference between the initial imported value and the residual value of the contractor's equipment and spare parts to be exported and (b) on the initial imported value that contractor's equipment and spare parts to be exported and (b) on the initial imported value that contractor's equipment and spare parts to add the contract or sequipment and spare parts to be exported and the residual value of the contract. Upon payment of such dues within 28 days of being invoiced, the bond or bank guarantee shall be reduced or released accordingly; otherwise the security shall be called in the full amount remaining in the Co
	D. Conditions of hire of the contractor's equipment: A certified copy of the agreement in respect of any item of Equipment held by contractor under any agreement for hire or hire purchase thereof, shall be supplied to the Engineer/Employer."
New Clause-4.36	Protection of the Environment
	The Contractor shall take all reasonable steps to protect the environment (both on and off the Site) and to limit damage and nuisance to people and property resulting from pollution, noise and other results of his operations.
	The Contractor shall ensure that emissions, surface discharges and effluent from the Contractor's activities shall not exceed the values stated in the Specification or prescribed by applicable Laws.
New Clause-4.37	Electricity, Water and Gas
	The Contractor shall, except as stated below, be responsible for the provision of all power, water and other services he may require.
	The Contractor shall be entitled to use for the purposes of the Works such supplies of electricity, water, gas and other services as may be available on the Site and of which



	<ul><li>details and prices are given in the Specification. The Contractor shall, at his risk and cost, provide any apparatus necessary for his use of these services and for measuring the quantities consumed.</li><li>The quantities consumed and the amounts due (at these prices) for such services shall be agreed or determined by the Engineer in accordance with New-Clause 2.4/PCC</li></ul>
	[Employer's Claims] and New-Clause 3.5/PCC [Determinations]. The Contractor shall pay these amounts to the Employer.
New Clause-4.38	Employer's, Equipment and Free-Issue Material
	The Employer shall make the Employer's Equipment (if any) available for the use of the Contractor in the execution of the Works in accordance with the details, arrangements and prices stated in the Specification. Unless otherwise stated in the Specification:
	(a) the Employer shall be responsible for the Employer's Equipment, except that
	(b) the Contractor shall be responsible for each item of Employer's Equipment whilst any of the Contractor's Personnel is operating it, driving it, directing it or in possession or control of it.
	The appropriate quantities and the amounts due (at such stated prices) for the use of Employer's Equipment shall be agreed or determined by the Engineer in accordance with New-Clause 2.4/PCC [Employer's Claims] and New-Clause 3.5/PCC [Determinations]. The Contractor shall pay these amounts to the Employer.
	The Employer shall supply, free of charge, the "free-issue materials" (if any) in accordance with the details stated in the Contract data.
	The Employer shall, at his risk and cost, provide these materials at the time and place specified in the Contract. The Contractor shall then visually inspect them, and shall promptly give notice to the Engineer of any shortage, defect or default in these materials. Unless otherwise agreed by both Parties, the Employer shall immediately rectify the notified shortage, defect or default.
	In case materials are handed over, in accordance with the procedure prescribed by the Engineer, after proper measurement and accounted for, the contractor shall be solely liable for any shortage, damage, defect or default in such material, and shall indemnify the Employer until the final account of materials is made by the Contractor on completion of the work.
New Clause-4.39	Progress Reports
	Unless otherwise stated in the Special Conditions of Contract, monthly progress reports shall be prepared by the Contractor and submitted to the Engineer in six copies. The first report shall cover the period up to the end of the first calendar month following the Commencement Date. Reports shall be submitted monthly thereafter, each within 7 days after the last day of the period to which it relates.
	Reporting shall continue until the Contractor has completed all work which is known to be outstanding at the completion date stated in the Taking-Over Certificate for the Works.



	Each report shall include:
	Charts and detailed descriptions of progress, including each stage of design (if any), Contractor's Documents, procurement, manufacture, delivery to Site, construction, erection and testing; and including these stages for work by each nominated Subcontractor (as defined in Clause 7/PCC (Nominated Subcontractors)),
	photographs showing the status of manufacture and of progress on the Site; for the manufacture of each main item of Plant and Materials, the name of the manufacturer, manufacture location, percentage progress, and the actual or expected dates of:
	(i) commencement of manufacture,
	(ii) Contractor's inspections,
	(iii) tests, and
	(iv) shipment and arrival at the Site;
	the details described in Sub-Clause 1.10/SCC of PCC [Records of Contractor's Personnel and Equipment];
	a) copies of quality assurance documents, test results and certificates of Materials;
	<li>b) list of notices given under New-Clause 2.4/PCC [Employer's Claims] and notices given under Sub-Clause 4.1/SCC [Contractor's Claims];</li>
	<li>c) safety statistics, including details of any hazardous incidents and activities relating to environmental aspects and public relations; and</li>
	d) Comparisons of actual and planned progress of all activities, with details of any events or circumstances which may jeopardise the completion in accordance with the Contract, and the measures being (or to be) adopted to overcome delays.
New Clause-4.40	Security of the Site
	Unless otherwise stated in the Special Conditions of Contract:
	(a) the Contractor shall be responsible for keeping unauthorised persons off the Site, and
	(b) authorised persons shall be limited to the Contractor's Personnel and the Employer's Personnel; and to any other personnel notified to the Contractor, by the Employer or the Engineer, as authorised personnel of the Employer's other contractors on the Site.
New Clause-4.41	Contractor's Operations on Site
	The Contractor shall confine his operations to the Site, and to any additional areas which may be obtained by the Contractor and agreed by the Engineer as working areas. The Contractor shall take all necessary precautions to keep Contractor's Equipment and Contractor's Personnel within the Site and these additional areas, and to keep them off adjacent land.
	During the execution of the Works, the Contractor shall keep the Site free from all



	unnecessary obstruction, and shall store or dispose of any Contractor's Equipment or surplus materials. The Contractor shall clear away and remove from the Site any wreckage, rubbish and Temporary Works which are no longer required.
	Upon the issue of a Taking-Over Certificate, the Contractor shall clear away and remove, from that part of the Site and Works to which the Taking-Over Certificate refers, all Contractor's Equipment, surplus material, wreckage, rubbish and Temporary Works. The Contractor shall leave that part of the Site and the Works in a clean and safe condition.
	However, the Contractor may retain on Site, during the Defects Notification Period, such Goods as are required for the Contractor to fulfill obligations under the Contract.
New Clause-4.42	Design – General Obligations:
	Drawings for Permanent works:
	Preliminary Drawings showing general dimensions & details elaborating the scope of work (not based on detailed design) will be supplied along with the Tender documents.
	Design, Drawings and Specifications:
	The Contractor shall Design the false work, form work, staging scheme etc. required to perform their work and shall get the same and related working drawings approved by the Engineer. The Contractor would supply 6 sets of these drawings to the Engineer for the latter's use.
	Shop Drawings
	Based on "Good for Construction "drawings issued by Engineer the Contractor shall prepare shop / fabrication drawings to scale as directed indicating the required details. The shop drawings shall be prepared before execution of work, after taking actual site dimensions and all existing and proposed services / structures etc. The shop / fabrication drawings shall be checked by independent consultant prior to submission to the Engineer for approval.
	Shop drawings submitted by the Contractor shall be in sufficient detail to indicate the type, size, arrangement, breakdown for packing and shipment, the external connections, fixing arrangements required, the dimensions required for installation and interconnections with other equipment and materials, clearances and spaces required between various portions and any other information specifically called for.
	Approval of Engineer of any such proposal / drawings shall not relieve the contractor of his responsibility of sufficiency of such works. It shall be the responsibility of the Contractor to promptly bring to the notice of the Engineer any error or discrepancy in the Contract documents and obtains his orders thereon. Only stated dimensions are to be taken and not those obtained from scaling drawings. In case any feature of the work is not fully described and set forth in the Drawings and Specifications, the Contractor shall forthwith apply to the Engineer for further instructions, Drawings or Specifications.
New Clause-4.43	Fossils
	All fossils, coins, articles of value or antiquity, and structures and other remains or items of



	geological or archaeological interest found on the Site shall be placed under the care and authority of the Employer. The Contractor shall take reasonable precautions to prevent Contractor's Personnel or other persons from removing or damaging any of these findings.
	The Contractor shall, upon discovery of any such finding, promptly give notice to the Engineer, who shall issue instructions for dealing with it. If the Contractor suffers delay and/or incurs Cost from complying with the instructions, the Contractor shall give a further notice to the Engineer and shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to:
	(a) an extension of time for any such delay, if completion is or will be delayed, under Sub- Clause 26.5/PCC [Extension of Time for Completion], and
	(b) payment of any such Cost, which shall be included in the Contract Price.
	After receiving this further notice, the Engineer shall proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine these matters.
	5. PLANT, MATERIALS AND WORKMANSHIP.
New Clause-5.1	Manner of Execution
	The Contractor shall carry out the manufacture of Plant, the production and manufacture of Materials, and all other execution of the Works:
	(a) in the manner (if any) specified in the Contract,
	(b) in a proper workmanlike and careful manner, in accordance with recognised good practice, and
	(c) with properly equipped facilities and non-hazardous Materials, except as otherwise specified in the Contract.
New Clause-5.2	Samples
	The Contractor shall submit the following samples of Materials, and relevant information, to the Engineer for consent prior to using the Materials in or for the Works:
	(a) manufacturer's standard samples of Materials and samples specified in the Contract, all at the Contractor's cost, and
	(b) additional samples instructed by the Engineer as a Variation.
	Each sample shall be labelled as to origin and intended use in the Works.
New Clause-5.3	Inspection
	The Employer's Personnel shall at all reasonable times:
	(a) have full access to all parts of the Site and to all places from which natural Materials are being obtained, and
	(b) during production, manufacture and construction (at the Site and elsewhere), be entitled to examine, inspect, measure and test the materials and workmanship, and



	to check the progress of manufacture of Plant and production and manufacture of Materials.
	The Contractor shall give the Employer's Personnel full opportunity to carry out these activities, including providing access, facilities, permissions and safety equipment. No such activity shall relieve the Contractor from any obligation or responsibility.
	The Contractor shall give notice to the Engineer whenever any work is ready and before it is covered up, put out of sight, or packaged for storage or transport. The Engineer shall then either carry out the examination, inspection, measurement or testing without unreasonable delay, or promptly give notice to the Contractor that the Engineer does not require to do so. If the Contractor fails to give the notice, he shall, if and when required by the Engineer, uncover the work and thereafter reinstate and make good, all at the Contractor's cost.
	The contractor shall provide one four wheeler vehicle with professional driver with all consumables for KRIDE officials during the entire contract period for proper supervision of the work. The cost of supply of vehicle with all consumables and driver is included in the cost of the work. No extra payment will be made for supply of aforesaid vehicle.
New Clause-5.4	Testing
	This Sub-Clause shall apply to all tests specified in the Contract, other than the Tests after Completion (if any).
	The Contractor shall provide all apparatus, assistance, documents and other information, electricity, equipment, fuel, consumables, instruments, labour, materials, and suitably qualified and experienced staff, as are necessary to carry out the specified tests efficiently. The Contractor shall agree, with the Engineer, the time and place for the specified testing of any Plant, Materials and other parts of the Works.
	The Engineer may, under Clause 34/PCC [Variations and Adjustments], vary the location or details of specified tests, or instruct the Contractor to carry out additional tests. If these varied or additional tests show that the tested Plant, Materials or workmanship is not in accordance with the Contract, the cost of carrying out this Variation shall be borne by the Contractor, notwithstanding other provisions of the Contract.
	The Engineer shall give the Contractor not less than 24 hours' notice of the Engineer's intention to attend the tests. If the Engineer does not attend at the time and place agreed, the Contractor may proceed with the tests, unless otherwise instructed by the Engineer, and the tests shall then be deemed to have been made in the Engineer's presence.
	If the Contractor suffers delay and/or incurs Cost from complying with these instructions or as a result of a delay for which the Employer is responsible, the Contractor shall give notice to the Engineer and shall be entitled subject to Sub-Clause 4.1/SCC [Contractor's Claims] to:
	(a) an extension of time for any such delay, if completion is or will be delayed, under



	Sub-Clause 26.5/PCC [Extension of Time for Completion], and
	(b) payment of any such Cost, which shall be included in the Contract Price.
	After receiving this notice, the Engineer shall proceed in accordance with NewClause 3.5/PCC [Determinations] to agree or determine these matters.
	The Contractor shall promptly forward to the Engineer duly certified reports of the tests. When the specified tests have been passed, the Engineer shall endorse the Contractor's test certificate, or issue a certificate to him, to that effect. If the Engineer has not attended the tests, he shall be deemed to have accepted the readings as accurate.
New Clause-5.5	Rejection
	If, as a result of an examination, inspection, measurement or testing, any Plant, Materials or workmanship is found to be defective or otherwise not in accordance with the Contract, the Engineer may reject the Plant, Materials or workmanship by giving notice to the Contractor, with reasons. The Contractor shall then promptly make good the defect and ensure that the rejected item complies with the Contract.
	If the Engineer requires this Plant, Materials or workmanship to be retested, the tests shall be repeated under the same terms and conditions. If the rejection and retesting cause the Employer to incur additional costs, the Contractor shall subject to New-Clause 2.4/PCC [Employer's Claims] pay these costs to the Employer.
New Clause-5.6	Remedial Work
	Notwithstanding any previous test or certification, the Engineer may instruct the Contractor to:
	(a) remove from the Site and replace any Plant or Materials which is not in accordance with the Contract,
	(b) remove and re-execute any other work which is not in accordance with the Contract, and
	(c) Execute any work which is urgently required for the safety of the Works, whether because of an accident, unforeseeable event or otherwise.
	The Contractor shall comply with the instruction within a reasonable time, which shall be the time (if any) specified in the instruction, or immediately if urgency is specified under sub-paragraph (c).
	If the Contractor fails to comply with the instruction, the Employer shall be entitled to employ and pay other persons to carry out the work. Except to the extent that the Contractor would have been entitled to payment for the work, the Contractor shall subject to New-Clause 2.4/PCC [Employer's Claims] pay to the Employer all costs arising from this failure.
New Clause-5.7	Ownership of Plant and Materials
	Each item of Plant and Materials shall, to the extent consistent with the Laws of the Country, become the property of the Employer at whichever is the earlier of the following



	times, free from liens and other encumbrances:
	(i) when it is delivered to the Site;
	<ul> <li>(ii) when the Contractor is entitled to payment of the value of the Plant and Materials under Sub-Clause 26.11/PCC [Payment for Plant and Materials in Event of Suspension].</li> </ul>
	Unless otherwise stated in the Specification, the Contractor shall pay all royalties, rents and other payments for:
	a) natural Materials obtained from outside the Site, and
	<ul> <li>b) the disposal of material from demolitions and excavations and of other surplus material (whether natural or man-made), except to the extent that disposal areas within the Site are specified in the Contract.</li> </ul>
	6. Defect Liability
New Clause-6.1	Completion of Outstanding Work and Remedying Defects
	In order that the Works and Contractor's Documents, and each Section, shall be in the condition required by the Contract (fair wear and tear excepted) by the expiry date of the relevant Defects Notification Period or as soon as practicable thereafter, the Contractor shall:
	(a) complete any work which is outstanding on the date stated in a Taking-Over Certificate, within such reasonable time as is instructed by the Engineer, and
	(b) execute all work required to remedy defects or damage, as may be notified by (or on behalf of) the Employer on or before the expiry date of the Defects Notification Period for the Works or Section (as the case may be).
	If a defect appears or damage occurs, the Contractor shall be notified accordingly, by (or on behalf of) the Employer.
New Clause-6.2	Cost of Remedying Defects
	All work referred to in sub-paragraph (b) of New-Clause 6.1/PCC [Completion of Outstanding Work and Remedying Defects] shall be executed at the risk and cost of the Contractor, if and to the extent that the work is attributable to:
	(a) any design for which the Contractor is responsible,
	(b) Plant, Materials or workmanship not being in accordance with the Contract, or
	(c) failure by the Contractor to comply with any other obligation.
	If and to the extent that such work is attributable to any other cause, the Contractor shall be notified promptly by (or on behalf of) the Employer, and Sub-Clause 34.1/PCC [Variation Procedure] shall apply
New Clause-6.3	Extension of Defects Notification Period



	extension of the Defects Notification Period for the Works or a Section if and to the extent that the Works, Section or a major item of Plant (as the case may be, and after taking over) cannot be used for the purposes for which they are intended by reason of a defect or damage. However, a Defects Notification Period shall not be extended by more than two years.
	If delivery and/or erection of Plant and/or Materials was suspended under Sub-Clause 26.9/PCC [Suspension of Work] the Contractor's obligations under this Clause shall not apply to any defects or damage occurring more than two years after the Defects Notification Period for the Plant and/or Materials would otherwise have expired.
New Clause-6.4	Failure to Remedy Defects
	If the Contractor fails to remedy any defect or damage within a reasonable time, a date may be fixed by (or on behalf of) the Employer, on or by which the defect or damage is to be remedied. The Contractor shall be given reasonable notice of this date.
	If the Contractor fails to remedy the defect or damage by this notified date and this remedial work was to be executed at the cost of the Contractor under New-Clause 6.2/PCC [Cost of Remedying Defects], the Employer may (at his option):
	(a) Carry out the work himself or by others, in a reasonable manner and at the Contractor's cost, but the Contractor shall have no responsibility for this work; and the Contractor shall subject to New-Clause 2.4/PCC [Employer's Claims] pay to the Employer the costs reasonably incurred by the Employer in remedying the defect or damage;
	(b) require the Engineer to agree or determine a reasonable reduction in the Contract Price in accordance with New-Clause 3.5/PCC [Determinations]; or
	(c) if the defect or damage deprives the Employer of substantially the whole benefit of the Works or any major part of the Works, terminate the Contract as a whole, or in respect of such major part which cannot be put to the intended use. Without prejudice to any other rights, under the Contract or otherwise, the Employer shall then be entitled to recover all sums paid for the Works or for such part (as the case may be), plus financing costs and the cost of dismantling the same, clearing the Site and returning Plant and Materials to the Contractor.
New Clause-6.5	Removal of Defective Work
	If the defect or damage cannot be remedied expeditiously on the Site and the Employer gives consent, the Contractor may remove from the Site for the purposes of repair such items of Plant as are defective or damaged. This consent may require the Contractor to increase the amount of the Performance Security by the full replacement cost of these items, or to provide other appropriate security.
New Clause-6.6	Further Tests
	If the work of remedying of any defect or damage may affect the performance of the Works, the Engineer may require the repetition of any of the tests described in the Contract. The requirement shall be made by notice within 28 days after the defect or



	damage is remedied.
	These tests shall be carried out in accordance with the terms applicable to the previous tests, except that they shall be carried out at the risk and cost of the Party liable, under New-Clause 6.2/PCC [Cost of Remedying Defects], for the cost of the remedial work.
New Clause-6.7	Right of Access
	Until the Performance Certificate has been issued, the Contractor shall have such right of access to the Works as is reasonably required in order to comply with this Clause, except as may be inconsistent with the Employer's reasonable security restrictions.
New Clause-6.8	Contractor to Search
	The Contractor shall, if required by the Engineer, search for the cause of any defect, under the direction of the Engineer. Unless the defect is to be remedied at the cost of the Contractor under New-Clause 6.2/PCC [Cost of Remedying Defects], the Cost of the search shall be agreed or determined by the Engineer in accordance with New-Clause 3.5/PCC [Determinations] and shall be included in the Contract Price.
New Clause-6.9	Performance Certificate
	Performance of the Contractor's obligations shall not be considered to have been completed until the Employer has issued the Performance Certificate to the Contractor, stating the date on which the Contractor completed his obligations under the Contract.
	The Employer shall issue the Performance Certificate within 28 days after the latest of the expiry dates of the Defects Notification Periods, or as soon thereafter as the Contractor has supplied all the Contractor's Documents and completed and tested all the Works, including remedying any defects.
	Only the Performance Certificate shall be deemed to constitute acceptance of the Works.
New Clause-6.10	Unfulfilled Obligations
	After the Performance Certificate has been issued, each Party shall remain liable for the fulfilment of any obligation which remains unperformed at that time. For the purposes of determining the nature and extent of unperformed obligations, the Contract shall be deemed to remain in force.
	Emergency defect rectification
	If any defect or damage is one requiring immediate attention from safety, environmental or operational viewpoint, the Engineer has the authority to proceed with rectification in any manner suitable and deduct such sums from the Contract Price
New Clause-6.11	Clearance of Site
	Upon receiving the Performance Certificate, the Contractor shall remove any remaining Contractor's Equipment, surplus material, wreckage, rubbish and Temporary Works from the Site.
	If all these items have not been removed within 28 days after the Employer receives a copy of the Performance Certificate, the Employer may sell or otherwise dispose of any remaining items. The Employer shall be entitled to be paid the costs incurred in



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	connection with, or attributable to, such sale or disposal and restoring the Site.
	Any balance of the moneys from the sale shall be paid to the Contractor. If these moneys are less than the Employer's costs, the Contractor shall pay the outstanding balance to the Employer.
	7.MEASURMENT AND EVALUATION
New Clause 7.1	Works to be Measured
	The Works shall be measured, and valued for payment, in accordance with this Clause.
	Whenever the Engineer requires any part of the Works to be measured, reasonable notice shall be given to the Contractor's Representative, who shall:
	(a) promptly either attend or send another qualified representative to assist the Engineer in making the measurement, and
	(b) supply any particulars requested by the Engineer.
	If the Contractor fails to attend or send a representative, the measurement made by (or on behalf of) the Engineer shall be accepted as accurate.
	Except as otherwise stated in the Contract, wherever any Permanent Works are to be measured from records, these shall be prepared by the Engineer. The Contractor shall, as and when requested, attend to examine and agree the records with the Engineer, and shall sign the same when agreed. If the Contractor does not attend, the records shall be accepted as accurate.
	If the Contractor examines and disagrees the records, and/or does not sign them as agreed, then the Contractor shall give notice to the Engineer of the respects in which the records are asserted to be inaccurate. After receiving this notice, the Engineer shall review the records and either confirm or vary them. If the Contractor does not so give notice to the Engineer within 14 days after being requested to examine the records, they shall be accepted as accurate.
New Clause 7.2	Method of Measurement
	Except as otherwise stated in the Contract and notwithstanding local practice:
	(a) measurement shall be made of the net actual quantity of each item of the Permanent Works, and
	(b) the method of measurement shall be in accordance with the Bill of Quantities or other applicable Schedules.
New Clause 7.3	Omissions
	Whenever the omission of any work form's part (or all) of a Variation, the value of which has not been agreed, if:
	a) the Contractor will incur (or has incurred) cost which, if the work had not been omitted, would have been deemed to be covered by a sum forming part of the Accepted Contract Amount;



	<ul> <li>b) the omission of the work will result (or has resulted) in this sum not forming part of the Contract Price; and</li> </ul>
	c) this cost is not deemed to be included in the evaluation of any substituted work;
	then the Contractor shall give notice to the Engineer accordingly, with supporting particulars. Upon receiving this notice, the Engineer shall proceed in accordance with New-Clause 3.5/PCC [Determinations] to agree or determine this cost, which shall be included in the Contract Price.
New Clause 8	FORCE MAJEURE
	If at any time, during the continuance of this contract, the performance in whole or in part by either party of any obligation under this contract shall be prevented or delayed by reason of any war, hostility, acts of public enemy, civil commotion, sabotage, serious loss or damage by fire, explosions, epidemics, pandemics, strikes, lockouts or acts of God (hereinafter referred to 'events') provided, notice of the happening of any such event is given by either party to the other within 14 days from the date of occurrence thereof, neither party shall by reason of such event, be entitled to terminate this contract nor shall either party have any claim for damages against the other in respect of such non- performance of delay in performance, and works under the contract shall be resumed as soon as practicable after such event has come to an end or ceased to exist, and decision of the Engineer as to whether the works have been so resumed or not shall be final and conclusive, PROVIDED FURTHER that if the performance in whole or in part of any obligation under this contract is prevented or delayed by reason of any such event for a continuous period exceeding 84 days, either party may at its option terminate the contract by giving notice to the other party.
	Payment and Release in case of Optional Termination
	Upon such termination, the Engineer shall determine the value of the work done and issue Payment Certificate which shall include:
	<ul> <li>a) The amounts payable for any work carried out for which a price is stated in t contract;</li> </ul>
	b) The Cost of Plant and Materials ordered for the Works which have been delivered the Contractor, or of which the contractor is liable to accept delivery; this Plant a materials shall become the property of (and be at the risk of) the Employer when pa for by the Employer, the Contractor shall place the same at the Employer's disposal;
	c) Other Costs or liabilities supported by necessary documentary evidence which in t circumstances were reasonably and necessarily incurred by the Contractor in t expectation of completing the Works as per mutually agreed programme.
	<ul> <li>d) the Cost of removal of Temporary Works and Contractor's Equipment from the S and the return of these items to the Contractor's works in his country (or to any oth destination at no greater cost).</li> </ul>



New Clause-9	Defect liability period: 365 Days
New Clause-10	Integrated testing and system commissioning
	<b>Integrated Testing:</b> Tests on Completion shall also include Integrated Testing. The Contractor shall, following satisfactory completion of tests on his works, equipment, sub-systems or system, perform, at the direction of the Engineer, programme of tests to verify and confirm the compatibility and complete performance of his works, equipment, sub-systems or system with the works, equipment, sub-systems or system provided by others.
	<b>Compilation of Test Results:</b> The results of the Integrated Testing and Commissioning shall be compiled and evaluated by the Engineer and the Contractor
	<b>Retesting:</b> If the Works, or a part thereof, or a Section, fail to pass the Integrated Testing and Commissioning, the Engineer may order such failed tests to be repeated with the same terms and conditions. If such failure and retesting result from a default of the Contractor and cause the Employer to incur costs, the same shall be recoverable from the Contractor by the Employer, and may be deducted by the Employer from any amount due, or to become due, to the Contractor.
	<b>Failure to Pass Test:</b> If the Works, or a part thereof, or a Section, fail to pass Integrated Testing and Commissioning and the Contractor in consequence proposes to make any adjustment or modification to the Works or a part thereof, or a section, the Engineer may, with the approval of the Employer, instruct the Contractor to carry out such adjustment or modification, at his own cost and to satisfy the requirements of Integrated Testing and Commissioning within such time as the Employer / Engineer may deem to be reasonable.
	<b>Statutory Requirements:</b> The Contractor shall carry out all statutory tests and trials, under the supervision of the Engineer, necessary for obtaining sanction of the competent authority for opening the system for public carriage of passengers as mentioned in the Special Conditions of Contract.
New clause-11	Conflict of Interest:
	The Contractor shall carry out all statutory tests and trials, under the supervision of the Engineer, necessary for obtaining sanction of the competent authority for opening the system for public carriage of passengers as mentioned in the Special Conditions of Contract.
	<b>Conflict of Interest</b> The remuneration of the Tenderer shall constitute the Tenderer's sole remuneration in connection with this Contract or the Services and, the Tenderers shall not accept for their own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or to the Services or in the discharge of their obligations hereunder, and the Tenderers shall use their best efforts to ensure that any Personnel either of them, similarly shall not receive any such additional remuneration Neither the Tenderer nor the Personnel of either of them shall engage, either directly or indirectly, in any of the following activities:



	<ul> <li>(a) during the term of this Contract, any business or professional activities in India which would conflict with the activities assigned to them under this Contract</li> <li>The tenderer shall not be one of the following: <ul> <li>(i) A firm which has been engaged by the Employer to provide consulting services for the preparation related to procurement for or implementation of this project.</li> <li>(ii) Any association/affiliation (inclusive of parent firms) of a firm or an organization mentioned in para (i) above.</li> <li>(iii) A Tenderer who lends, or temporarily seconds its personnel to firms or organizations which were engaged in consulting services for the preparation related to procurement for or implementation of the project, if the personnel would be involved in any capacity on the same project.</li> </ul> </li> </ul>
	shall be filed in a competent court at Bangalore, Karnataka only and no other court or any other district of the country shall have any jurisdiction in the matter.
New Clause-12	<b>Special/Acceleration Advance</b> Employer at his sole discretion, may provide Interest bearing Special/Acceleration Advance based on the recommendation of the Engineer to expedite works or to bring forward the completion date(s) and on account of immediate additional mobilisation to complete balance works as targeted. The maximum cumulative Special/Acceleration Advance shall be 10% of the Accepted contract amount, which shall be released in stages as and when deemed appropriate as decided by the Engineer/Employer. The advance released at a time shall not exceed 2.5% of the Accepted contract amount.
New Clause-13	Provisional Payment Against Material at Site.
	A provisional payment on account of main construction materials required for the Permanent Works shall be paid in INR only on request of the Contractor after these materials are brought to Site, against the Bank Guarantee and Insurance. The payment shall be limited to 80% of the actual value or assessed value of these materials on the basis of invoice and the total of such provisional payment on account of construction materials at a time shall be limited to three percent of original contract value or likely average consumption of such materials for three months, whichever is less and at any time the total outstanding provisional payment against material at site shall not exceed four percent of the original contract value. The valuation of the average consumption of such materials shall be approved by the Engineer, whose decision shall be final. Materials which are of perishable nature should be adequately insured. Advances and provisional payments as admissible, shall be payable only on Contractor's written request to the Employer/Engineer.
New Clause-14	Ruling Language- English



New Clause-15	Language for communications- English
New Clause-16	Time for access to Site
	(After the date of commencement)
	Starting from the Date of Commencement, the section will be progressively handed over in 180 days.
New clause-17	Employers' Bank details for Letter of Credit
	(a) Nodal Branch
	Canara Bank,
	MG Road,
	Bangalore 560001
	IFSC Code – CNRB0002636
	A/c No - 0430201012110
	Issuance/ reimbursing branch for LC
	Shall be indicated later.



## **APPENDIX 1**

## SALIENT FEATURES OF SOME MAJOR LABOUR LAWS APPLICABLE TO ESTABLISHMENTS ENGAGED IN BUILDING AND OTHER CONSTRUCTION WORK

(The laws as current on the date of bid opening will apply)

- a) **Employees Compensation Act 1923**: The Act provides for compensation in case of injury by accident arising out of and during the course of employment.
- b) Payment of Gratuity Act 1972: Gratuity is payable to an employee under the Act on satisfaction of certain conditions on separation if an employee has completed 5 years service or more or on death the rate of 15 days wages for every completed year of service. The Act is applicable to all establishments employing 10 or more employees.
- c) Employees Provident Fund and Miscellaneous Provisions Act 1952 (since amended): The Act Provides for monthly contributions by the employer plus workers @ 10% or 8.33%. The benefits payable under the Act are:
  - (i) Pension or family pension on retirement or death, as the case may be.
  - (ii) Deposit linked insurance on the death in harness of the worker.
  - (iii) payment of P.F. accumulation on retirement/death etc.
- d) **Maternity Benefit Act 1951**: The Act provides for leave and some other benefits to women employees in case of confinement or miscarriage etc.
- e) Contract Labour (Regulation & Abolition) Act 1970: The Act provides for certain welfare measures to be provided by the Contractor to contract labour and in case the Contractor fails to provide, the same are required to be provided, by the Principal Employer by Law. The Principal Employer is required to take Certificate of Registration and the Contractor is required to take license from the designated Officer. The Act is applicable to the establishments or Contractor of Principal Employer if they employ 20 or more contract labour.
- f) Minimum Wages Act 1948: The Employer is supposed to pay not less than the Minimum Wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of Buildings, Roads, Runways are scheduled employments.
- g) **Payment of Wages Act 1936:** It lays down as to by what date the wages are to be paid, when it will be paid and what deductions can be made from the wages of the workers.



- h) **Equal Remuneration Act 1979**: The Act provides for payment of equal wages for work of equal nature to Male and Female workers and for not making discrimination against Female employees in the matters of transfers, training and promotions etc.
- i) Payment of Bonus Act 1965: The Act is applicable to all establishments employing 20 or more employees. The Act provides for payments of annual bonus subject to a minimum of 8.33% of wages and maximum of 20% of wages to employees drawing Rs.7000/-per month or the minimum wage as fixed by the appropriate government whichever is higher. The Act does not apply to certain establishments. The newly set-up establishments are exempted for five years in certain circumstances. Some of the State Governments have reduced the employment size from 20 to 10 for the purpose of applicability of this Act.
- j) Industrial Disputes Act 1947: The Act lays down the machinery and procedure for resolution of Industrial disputes, in what situations a strike or lock-out becomes illegal and what are the requirements for laying off or retrenching the employees or closing down the establishment.
- k) Industrial Employment (Standing Orders) Act 1946: It is applicable to all establishments employing 100 or more workmen (employment size reduced by some of the States and Central Government to 50). The Act provides for laying down rules governing the conditions of employment by the Employer on matters provided in the Act and get the same certified by the designated Authority.
- Trade Unions Act 1926: The Act lays down the procedure for registration of trade unions of workmen and employers. The Trade Unions registered under the Act have been given certain immunities from civil and criminal liabilities.
- m) Child and Adolescent Labour (Prohibition & Regulation) Act 1986: The Act prohibits employment of children below 14 years of age in certain occupations and processes and provides for regulation of employment of children in all other occupations and processes. Employment of Child Labour is prohibited in Building and Construction Industry.
- n) Inter-State Migrant Workmen's (Regulation of Employment & Conditions of Service) Act 1979: The Act is applicable to an establishment which employs 5 or more inter-state migrant workmen through an intermediary (who has recruited workmen in one state for employment in the establishment situated in another state). The Inter-State migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, travelling expenses from home upto the establishment and back, etc.
- o) The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act 1996 and the Cess Act of 1996: All the establishments who carry on any building or other construction work and employs 10 or more workers are covered under this Act. All such establishments are required to pay cess at the rate not exceeding 2% of the cost of



construction as may be modified by the Government. The Employer of the establishment is required to provide safety measures at the Building or construction work and other welfare measures, such as Canteens, First-Aid facilities, Ambulance, Housing accommodations for workers near the work place etc. The Employer to whom the Act applies has to obtain a registration certificate from the Registering Officer appointed by the Government.

As per Central Government's Notification No.S.O.2899 dated 26.09.1996 under this act, the cess shall be levied @1% of cost of construction works which shall be deducted from each bill of the payment due to the contractor.

- p) Factories Act 1948: The Act lays down the procedure for approval at plans before setting up a factory, health and safety provisions, welfare provisions, working hours, annual earned leave and rendering information regarding accidents or dangerous occurrences to designated authorities. It is applicable to premises employing 10 persons or more with aid of power or 20 or more persons without the aid of power engaged in manufacturing process.
- q) The Employees State Insurance Act, 1948 (Act No. 34 of 1948) (Provisions as extended from time to time): An Act to provide for certain benefits to employees in case of sickness, maternity and 'employment injury' and to make provision for certain other matters in relation thereto.

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## **SECTION – 8** TECHNICAL SPECIFICATIONS

**SECTION – 8 : Technical Specification** 



#### SPECIAL CONDITIONS - SUPPLY OF TRACK BALLAST

#### 1.0 General:

- 1.1 The stone ballast (Granite or equivalent acceptable variety) to be supplied by the contractor shall be **Machine Crushed**, unless otherwise specified in the schedule of items in this contract, and shall strictly conform to the specifications **furnished in tender document**. Responsibility of ensuring supply conforming to the specifications lies totally with the contractor. Any representation from the contractor for waival or otherwise in this regard will not be entertained except as provided in the tender conditions.
- 1.2 Tenderer/Contractor is advised to visit the area thoroughly and get himself acquainted with the topography, roads, availability of transport, raw material, climatic conditions etc. All factors affecting in the market rates of labour, materials, laws, rules and regulations of State/Central Governments in respect of mines, minerals, forest and other departments, Specification and special conditions of the contract, and General Conditions Contract and other possibilities / aspects should be considered before quoting the rates and no claim due to any reasons whatsoever will be entertained afterwards. He is also advised to note and confirm himself the availability of stone ballast confirming to railway specification for supply under this tender.

#### 2.0 TECHNICAL SPECIFICATIONS :

2.1 The execution of all works shall conform to the specifications and codes of practice/ manuals mentioned below as amended from time to time .

#### A) Specifications of Machine Crushed stone ballast at para 2.3 below.

- **B)** Provisions /Instructions & Supplementary instructions of Railways to Indian Railway's Permanent Way manual.
- **C)** General and Subsidiary Rules of Railway.
- D) Standard Schedule of Dimensions.
- E) Circulars and Engineering Standing orders issued in respect of stone ballast.
- 2.2 **KRIDE** reserves the right to reject or alter any part of the work executed by the contractor which in the judgment of the KRIDE does not comply with the requirements of the above specifications. The decision of the KRIDE shall be final and conclusive for all purpose and binding on the contractor.



- 2.3 **Specifications for Machine Crushed stone ballast for railway track are as under:** (Reference: RDSO's specification for track ballast issued vide report no. IRS-GE-I (2004) with all the correction slips/amendments up to date).
- 2.3.0 SCOPE : These specifications will be applicable for stone ballast to be used for all types of sleepers on normal track, turnouts, tunnels and deck slabs etc., on all routes. These specifications include guidelines for measurement, quality check and reference to other specifications as required. The details given below are not exhaustive and original RDSO specification vide Report No. IRS-GE-1 with all corrigendum/amendment/ corrections till date of opening of the tender shall apply along with the modifications herein.

#### 2.3.1 DETAILED SPECIFICATIONS:

- 2.3.1.1 **Basic Quality**: Ballast should be hard durable of and as far as possible angular along edges/corners, free from weathered portions of parent rock, organic impurities and inorganic residues.
- 2.3.1.2 **Particle Shape** : Ballast should be cubical in shape as far as possible. Individual pieces should not be flaky and should have generally flat faces with not more than two rounded / sub-rounded faces.
- 2.3.1.3 **Mode of Manufacture** : Ballast shall be machine crushed.

#### 2.3.2 **Physical Properties:**

2.3.2.1 Ballast should satisfy the following physical properties

Aggregate Abrasion value	*30% Max Value	IS:2386 Pt.IV-1963
Aggregate Impact value	*20% Max Value.	IS:2386 Pt.IV-1963
Water absorption	should not be more than 1%.	IS 2386 Pt III – 1963

#### 2.3.3 Size and Gradation :

2.3.3.1 Ballast should satisfy the following size and gradation:

ſ	(a)	Retained on 65mm sq mesh sieve	5% Maximum
	(b)	Retained on 40mm sq mesh sieve	40% to 60%
	(c)	Retained on 20mm sq mesh sieve	Not less than 98%



#### 2.3.3.2 **Oversize Ballast:**

i) Retention on 65mm square mesh sieve:

- i. A maximum of 5% ballast retained 65mm sieve in any stack shall be allowed without deduction in payment.
- In case ballast retained on 65mm sieve in any isolated stack exceeds 5% but does not exceed 10%, payment at 5% reduction in contracted rate shall be made for the full stack.
- iii. Stacks having more than 10% retention of ballast on 65mm sieve shall be rejected.

ii) In case ballast retained on 40mm square mesh sieve exceeds 60% limit, payment at the following reduced rates shall be apply for the full stack in addition to the reduction worked out at(i) above.

i.	5% reduction in contracted rates if retention on 40mm sq. mesh sieve is
	between 60% (excluding) and 65% (including).
ii.	10% reduction in contracted rates if retention on 40mm sq. mesh sieve is
	between 65% (excluding) and 70% (including).

iii. In case retention on 40mm square mesh sieve exceeds 70% the stack shall be rejected.

#### 2.3.3.3 Under Size Ballast: Ballast shall be treated as undersize and shall be rejected if :-

(i)	Retention on 40mm Sq. Mesh sieve is less than 40%.
(ii)	Retention on 20mm square mesh sieve is less than 98%

#### 2.3.4 Sieve Analysis of Ballast

2.3.4.1 The test sieves used for sieve analysis shall conform to the specifications given in Annexure-IV.

#### Specifications of Test Sieves used for Sieve Analysis of Ballast

- 1. The test sieves shall be perforated plate sieve type with holes/apertures, mounted on a frame. The test sieves are designated by the nominal size of holes/apertures.
- 2. **Material of Perforated Plate:** The perforated plate for test sieves shall be manufactured from Brass Sheet or Steel Sheet or Stainless Steel Sheet or Galvanized Steel Sheet or Electroplated Steel Sheet.

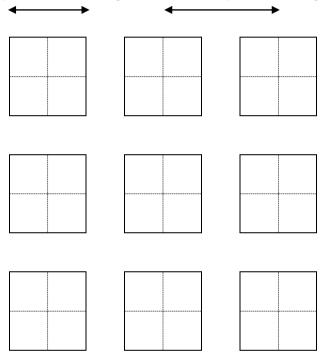


3. **Plate Thickness:** The thickness of plate used for making test sieve and the tolerance permitted for this shall be as following:

For 65mm Square Mesh Sieve – 3mm (Plus 1.0mm Minus 0.5mm) For 40mm Square Mesh Sieve – 2mm (Plus Minus 0.5mm) For 20mm Square Mesh Sieve – 2mm (Plus Minus 0.5mm)

4. Arrangement of Holes/Apertures: The square holes/apertures of size "W" in the perforated plate shall be arranged at Pitch "P" as per the sketch given below:

X



5. Sieve Opening Size, Pitch of Openings and tolerances: The nominal size of individual hole/aperture at mid-section (W), the Pitch of holes/apertures (P) and permissible tolerance for them shall be as under:

Test Sieve	W		Р		
of Square	Nominal	Tolerance	Distance	Tolerance	
Mesh Size	Size				
65mm	65mm	(+/-)1.5 mm	80mm	(+)12.0mm	
				(-) 8.0mm	
40mm	40mm	(+/-)1.5mm	50mm	(+) 7.5mm	
				(-) 5.0mm	
20mm	20mm	(+/-)1.0mm	25mm	(+)4.0mm	
				(-) 2.5mm	



- 6. **Sieve Frame:** The frame of test sieves shall be manufactured from Hardwood or Steel Sheet or Brass sheet. The internal size of the frame (i.e. clear size of perforated plate mounted on frame) shall not be less than 100cm in length, 70cm in breadth and 10cm in height on sides.
- 7. **Marking on test sieves:** A label shall be fixed to the frame of each sieve, legibly marked with following information:
  - (i) Nominal Aperture Size,
  - (ii) Material of perforated plate,
  - (iii) Material of sieve frame,
  - (iv) Maker's Name or Trademark, and
  - (v) An Identification Number for the sieve.
- 2.3.4.2 While carrying out sieve analysis, the screen shall not be kept inclined, but held horizontally and shaken vigorously. The pieces of ballast retained on the screen can be turned with hand to see if they pass through but should not be pushed through the sieve.
- 2.3.4.3 The percentage passing through or retained on the sieve shall be determined by weight. The weighing equipment used shall not have least count more than 100 grams.

#### 3.0 SUBMISSION OF TEST REPORT :

3.1 "The Tenderer is required to submit test report of ballast for impact value, abrasion value, water absorption value from approved laboratories mentioned in the tender documents as per provisions of "Specification of Track Ballast, IRS-GE-1, June 2004 – issued by RDSO/Lucknow (as amended upto date) failing which the offer is liable to be rejected.

### 3.1.1 The above Clause No.3.1 of submission of test report of Ballast is not applicable for tenders of mixed works and EPC tenders.

3.2 The Tenderer shall also furnish an undertaking that the ballast supply at all times will conform to the laid down Specifications for track ballast as specified by Railway.

#### 4.0 QUARRY PERMITS & OTHER LEGAL MATTERS:

- 4.1 Contractor will at his own expense obtain requisite permits/licenses/ parwana for quarrying or for any other purpose as may be necessary to enable him to perform his part of the contract. Railway will not under any circumstances be liable to obtain any permit /licenses/ parwana whatsoever.
- 4.2 Contractor shall be responsible to follow the provisions of Mining Act and other relevant acts and the Railway will not be responsible for infringement of any of their provisions.



4.3 The contractor will ensure free access to quarry premises where stone is being quarried and crushed, to the Engineer–in-charge or his representative at all times.

#### 5.0 CRUSHING & BREAKING:

5.1 Crushing and breaking of ballast shall be carried out by MECHANICAL CRUSHERS ONLY to be installed and operated by the contractor outside Railway land at his own cost. Railway is not responsible for arranging any licence, permission etc. for quarry or transporting and the contractor is fully responsible for arranging the same in time.

#### 6.0 SERVICE ROADS & VEHICULAR MOVEMENT:

- 6.1 Necessary service roads for collection of ballast shall be made by the contractor at his cost. Any service roads available within railway land can be used free of cost. Width of Railway land may not be uniform and at some locations, it is interrupted by small streams/road ditches etc., Agency shall make suitable arrangements for plying across such obstructions. The rates quoted shall include costs for such arrangements and the contractor will not be entitled for any additional payment on this account.
- 6.2 In certain situations vehicles for supply of ballast need to be plied through private land also and if so the contractor shall have to make arrangements at his cost. The contractor may have to handle/re-handle the ballast before it reaches the final location of stacking. No additional payment whatsoever shall be admissible on this account.
- 6.3 In no case and under no circumstances, crossing of track by vehicles shall be allowed at unauthorized locations and contractor is solely responsible for all the consequences if he indulges into such unauthorized acts.
- 6.4 For movement of vehicles along the side of track or across, Contractor shall arrange necessary protection including watchman, protective measures and infringement to running trains shall entail heavy penalty on the contractor at the discretion of the Railway.
- 6.5 To ensure safety of Train operations on adjacent tracks, <u>the earthwork contractors are required</u> <u>to provide</u> barricading as per Drawing No. SWR/CN/BNC/SK/165-2018 shall be erected and maintained for the stretch of the work as directed by Engineer-in-Charge during the entire period of execution till completion/extended period of completion of <u>earthwork</u>contract.
- 6.6 <u>During execution of earthwork the contractors are required to ensure that the barricading is not</u> <u>disturbed/damaged due to any reasons whatsoever; and in case of any damages the same</u> <u>shall be repaired/replaced immediately and made good.</u>
- 6.7 At the completion of *earthwork* contracts, vertical posts of the barricading shall be retained at site in good condition and the nylon rope may be taken back by the *earthwork* contractors.



- 6.8 Barricading concrete posts available at site along the track are to be used as protective fencing /barricading while carrying out track linking/ ballasting works duly providing nylon rope, repainting the posts and replacing/repairing the damaged post, if any. This barricading shall be maintained in good condition throughout the contract period. No extra payment shall be paid for this.
- 6.9 If barricading is required to be provided in any stretch where no barricading posts were provided earlier for doing the earthwork, payment for providing barricading in such stretches only shall be made as per relevant item (new item if required).
- 6.10 After completion of the work, barricading shall be removed from site and disposed off suitably by the contractor at his own cost.

#### 7.0 HECTOMETER/DEPOT WISE QUANTITY OF COLLECTION :

- 7.1 The quantity of ballast to be collected in each HM post /Depot /Sub Depot should be obtained from the Engineer-in-charge before starting the collection.
- 7.2 In case of cess supply, the collection and stacking of ballast should be completed in all respects in a HM length before measurements are taken, i.e. measurements in a particular HM length shall invariably be taken only once during currency of the contract.
- 7.3 Ballast shall be collected and stacked by the contractor accordingly, in specified quantities on the formation i.e bank / cutting or at the places i.e CESS/Depot/ yards as directed by the Engineer-in-charge in convenient stacks.
- 7.4 The contractor shall prepare a programme for collection in accordance with the above directions/stipulations and submit to railways for scrutiny and approval prior to collection..

#### 8.0 SITE PREPARATION:

- 8.1 Stacking shall be done as far as possible on a neat, plain LEVEL and firm ground with good drainage alongside/near the Railway alignment in Railway land only. Sites/Plots for stacks (comprising cess / depot / semi-depots) shall be located as directed by Engineer- In-charge & shall be selected with a view to convenient dumping into track /loading into rail lorry/ballast train. All the ballast shall be stacked only on the area identified as above with the written permission for stacking by Engineer -In Charge on the Ballast Passing register.
- 8.2 Stacks on sites not so approved will be rejected and the contractor shall restack the ballast at the specified sites as ordered.
- 8.3 Contractor will be required to develop the site for stacking the ballast with his own labour at locations as specified by the Engineer in charge or his supervisors by making approach roads, leveling, dressing of uneven and undulating ground and drainage arrangement ,clean the plots off the rubble/weeds, grass, organic matter, bushes, etc., at his own cost. and the rates quoted



shall include costs for such arrangements. The contractor will not be entitled for any claim for earthwork or any other temporary work done by him in connection with development of site. No payment whatsoever shall be admissible on this account.

- 8.4 After expiry of contract, the contractor shall vacate the area and handover the land free of encroachments.
- 8.5 Ballast passing and ground balance registers will be maintained by the Engineer's representative which will be required to be jointly signed by the Railways representative and the contractor or his/their authorized representative
- 8.6 Site shall be developed in such a way that the ballast does not get intermixed with ballast collected by other agencies or already collected by other agencies .
- 8.7 <u>Sketch of DEPOT</u>: Depot ballast shall be collected in the specified yard or Kilometer as indicated in the schedule only. Before starting collection a sketch of depot shall be got approved from General Manager in-charge of the project.
- 8.7.1 For each Depot, a depot sketch shall be drawn clearly showing the sub-depots and plots with specific identification number for each of the plots, incorporating the necessary details, with proper drawing number and approval of General Manager -in-charge of the depot should be obtained.
- 8.7.2 In case of Depot with more than one contract, sub depots sketch shall be drawn in addition to over all Depot sketch. Each sub depot should be distinct and a physical barrier should be erected in between.
- 8.7.3 Each Depot/Sub Depot should be further divided into plots for stacking ballast such that there is only one stack in a plot.
- 8.8 <u>Sketch of Cess/semi depots</u> : In case of cess/semi depots along side for supply at LCs and other areas, cess supply sketch similar to depot sketch shall be drawn and got approved from Addl. General Manager in charge of project.
- 8.9 The contractor shall submit the sketch of depot/cess supply indicating the plots/stacks as per the directions issued by KRIDE as stipulated above. He shall take into account the requirements of the work and feasibility while marking the plots. However, KRIDE will decide the requirements and suitability of the proposal made by the Contractor for approval or make changes as required. The sketch for depot/cess shall clearly show the ballast of other agencies/railways (Open line) in order to avoid remeasurement of the same as supply in this contract. If any such remeasurement is made and comes to the notice of the KRIDE/Railway Administration subsequently, the contractor is fully responsible for any penal action by KRIDE.
- 8.10 After site preparation and leveling the ground and before commencement of ballast collection, the contractor shall arrange for inspection of site by the Engineer In Charge of work (test



checked by DM/AGM KRIDE) and certification of levelness of ground. However, in spite of issue of such certificate, the responsibility lies on contractor to ensure levelness of ground before actual collection. In case of stacking area found not in one level/plane (before or after the stack is cleared) a minimum penalty of Rs.1000 per/each stack at the discretion of the engineer-in-charge will be imposed in addition to recovery of ballast lost due to such irregular grounds where stacks are made. No claim or representation from the contractor will be entertained and the decision of the railways is final and binding on the contractor.

#### 9.0 NORMS FOR STACKING & SIZE OF STACK:

(Reference: Railway Board's Guide lines vide No. 2006/CE-II/MB/2 dated 25.5.2007 and RDSO's Guidelines IRS-GE-1)

- 9.1 The stacks shall be of uniform cross section conforming to the standard template dimensions.
- 9.2 Each stack shall be so formed that ratio of longer to smaller side does not exceed 2.5 except for areas where there is constraint of land width in which case the ratio upto 3.5 may be permitted.
- 9.3 The height of stack shall not be less than 1.0m except for hilly areas where it may be 0.5m
- 9.4 The height of ballast stack should not be more than 2.0m
- 9.5 The side slopes of stack should not be flatter than 1.5:1 (Horizontal: Vertical) normally.
- 9.6 The cubical content of each stack shall not be less than 30 cum in plain areas and 15 cum in hilly areas.
- 9.7 Top width of stack shall not be less than 1 metre.
- 9.8 Top of stack shall be kept parallel to the ground plane.
- 9.9 Stacks made shall not interfere with movement of Road or Railway traffic.

#### 10.0 REGULATION OF COLLECTION : (Reference: Railway Board's Guide lines and RDSO's Guidelines)

- 10.1 **DEPOT:**
- 10.1.1 For Ballast collection in depot & its running out, instruction as given in Para 266 of IRPWM and guidelines issued by the Railway shall be adhered to.
- 10.1.2. Collection and Training out of the ballast in the same depot shall not progress simultaneously. In case depot is divided in to sub-depots, simultaneous supply and loading of ballast should only be permitted from separate sub-depots only under written approval by General Manager/KRIDE with a proper regulating system in place.
- 10.1.3 In case the area for stacking is not sufficient to stack all the ballast as per the agreemental quantities in one go in a depot, and if the ballast needs to be collected repeatedly in the available stacking ground in a depot to complete the agreemental quantity, after stacking the ballast once fully in the available stacking area by the contractor, measurement shall be taken . Ballast shall be trained out fully from all plots. Making of fresh stack will be permitted in this



depot only after the earlier stacks are completely trained out and conditions for subsequent collection are fulfilled .

10.1.4 After the ballast is fully trained out and before authorizing the contractor to commence the second or further round of supply in the same depot, the Engineer – In – Charges shall inspect the site, make sure that all the stacks are fully trained out and record a clear certificate in the ballast passing /ground balance register. The contractor shall obtain written permission from General Manager to commence the next round of collection and stacking in a depot. Even in case a depot is subdivided as sub-Depots to cater separate contracts, the simultaneous supply and loading of ballast from separate sub-depots shall not be practiced. In exceptional and unavoidable circumstances, General Manager may authorize such simultaneous supply/loading with reasons recorded in writing and ensuring that a proper regulatory system is in place.

#### 10.2 Cess Supply:-

- 10.2.1 For Ballast collection along cess & its running out, instruction as given in Para 267 of IRPWM and guide lines issued by the Railway shall be adhered to.
- 10.2.2 Written permission for stacking after site preparation shall be certified by Deputy Manager on the ballast passing register. Supply, as far as possible, shall be completed in one km continuous stretch at a time, without leaving any gaps in any HM and offered for measurement for ease of measurement and effective monitoring.
- 10.2.3 Subsequent collection at the same location is not permitted. For this purpose, proper planning should be made by the contractor and quantities should be collected with the due approval of the Addl. General Manger/KRIDE.
- 10.3 Other instructions as given else where, in case dumping is in progress, ballast collection shall be regulated so that simultaneous collection and dumping does not take place.

#### 11.0 SUPPLY SCHEDULE/MILE STONE PROGRAMME /PROGRESS:

- 11.1 Ballast shall be supplied as per the accepted programme and a steady supply of ballast to the requirement as per tender schedule shall be maintained and ballast must be collected in the sequence as prescribed by the Engineer-in-charge.
- 11.2 Contractor shall prepare a programme chart giving the activity wise details within seven days from the date of issue of acceptance letter. Programme shall be made carefully so that work can be progressed as planned. The progress shall be reviewed w.r.t this programme chart once in fortnight. The contractor shall update the progress fortnightly and continue to resubmit revised bar charts so that completion of each activity matches with stage targets agreed initially deploying additional resources as required.



- 11.3 Ballast supply shall be programmed and under taken in continuous stretches as MILESTONE TARGETS with an aim to progress uninterrupted supply with out leaving any gaps at bridges/LCs/other special locations. Contractor shall maintain the week/date wise planning in consonance with the above agreed MILESTONE stage targets.
- 11.4 Weekly/Daily progress of the work shall be reported to KRIDE and any suitable corrective measures as directed by the Engineer-in-charge or his representative should be immediately carried out wherever necessary at no extra cost.
- 11.5 During the course of supply of ballast by the contractor, the KRIDE Administration reserves the right to direct the contractor to stop supply or dumping of ballast for regulating the progress of work in the interest of the work.
- 11.6 The contractor is required to restack the disturbed ballast stacks at his own cost to facilitate recording of measurements for drawal of final bill in case of closing down of contract. In the event of failure of the contractor to comply with the above, restacking will be done by the Railway on its own or through any other agency and the actual cost involved with necessary supervision charges etc. will be recovered from contractor's bills, security deposit etc. or from any money payable under this or other contract with the Railways/Central Government.
- 11.7 KRIDE will monitor the supply and will impose penalties / fines as deemed if the progress is not commensurate with the programme as envisaged elsewhere in tender document.

#### 12.0 SAMPLING AND TESTING:

#### (Refernce: RDSO Guidelines RS/F/714/Vol.IV dated 6.6.2016)

#### 12.1. General

- 12.1.1 The samples shall be drawn with due diligence and adequate precaution so that they represent the true nature and condition of the ballast.
- 12.1.2 Being a heterogeneous material, the gradation of ballast loaded in wagons and/or dumped/inserted in the track may not remain same as that initially checked in stacks, due to lifting, loading, transportation, unloading etc. Similarly, in case of direct loading into wagons, the gradation of ballast at destination may not remain same as that at source, due to loading, transportation etc. Therefore, the samples from wagons and track are not representative samples as far as gradation is concerned. Even in the same stack, results of two checks may not be same.
- 12.1.3. The samples from a stack taken after lapse of a long period of stacking are not true representative samples of the ballast initially supplied in the stack, due to settling down of smaller size particles in voids underneath, dirt/dust getting accumulated in the stack, rains etc.



#### 12.2 Sampling Frequency

In order to ensure supply of uniform quality of ballast, the following norms shall be followed in respect of sampling, testing and acceptance.

- 12.2.1 On supply of the first 100 cum, the tests for Size & Gradation, Abrasion Value, Impact Value and Water Absorption (if prescribed) shall be carried out by Railway. Further supply shall be accepted only after this ballast satisfies the specifications for these tests. Railway reserves the right to terminate the contract as per GCC at this stage itself in case the ballast supply fails to conform with any of these specifications.
- 12.2.2 Subsequent test shall be carried out as follows:

Type of Tests	Supply in Stacks	Supply inWagons
(a) Size and Gradation Tests	One for each 100 cum or part thereof in any stack	One for each 100cum or part thereof for quantity to be loaded in wagons
<ul> <li>(b) Abrasion Value, Impact</li> <li>Value and Water Absorption</li> <li>Value (*)</li> </ul>	One Test for every 2000 cum	

(\*) These tests shall be done for the purpose of monitoring quality during supply. In case of the test results not being as per the prescribed specifications at any stage, further supplies shall be suspended till suitable corrective action is taken and supplies ensured as per specifications. The above tests can be carried out more frequently, at the discretion of Railway.

- 12.2.3. All tests for Abrasion Value, Impact Value and Water Absorption should be got done through approved laboratories or Railway's own laboratories (list of laboratories shall be mentioned in the tender document). These tests, subsequent to award of contract, shall be done at Railway's cost.
- 12.3. Supply of ballast in Stacks
- 12.3.1 Sampling Procedure
- (i) At the time of formation of stacks, sufficient care should be taken to ensure that there is sufficient space around the stack to facilitate movement of JCB/Power Equipments. The length and width of each stack shall be kept in such a way that every part of the stack is accessible to the JCB/Power Equipment, to be deployed for drawing "Samples".
- (ii) In case of ballast supply in stacks, three "Samples" each of 0.3 0.5 cum volume, one sample each from two sides and one from top after removing outer layer (150-200mm) should be collected from stack for every 100 cum or part thereof, by JCB or other suitable Power Equipment.



- (iii) The location (in plan) and depths of sampling points shall be varied for different "Samples" and different stacks in a lot.
- (iv) "Gross Sample should be prepared by thoroughly mixing the three "Samples" collected as in (ii) above, using JCB or other suitable Power Equipment, on a clean, flat and hard surface.

Note: In exceptional cases of site specific constraints, approval of Competent Authority (Engineer-in-charge) shall be taken prior to invitation of tender for using manual means of for collection and mixing of "Samples", and this should be incorporated in the Tender Document.

- (v) A "Test Sample" of volume 0.027 cum shall be drawn from each of the "Gross Sample", by the method described in Para 12.3.1 (iv), for carrying out Size & Gradation tests.
- (vi) Method for drawing "Test Sample": The ballast in "Gross sample "shall be scooped into a cone shaped pile by taking care to drop each scoopful exactly over the same spot. After the cone is formed, it shall be flattened by pressing the top of cone with a smooth surface. Then it is cut into quarters by two lines which intersect at right angles at the centre of the cone. The bulk of sample is reduced by rejecting any two diagonally opposite quarters. The remaining ballast shall be mixed and "test sample" shall be drawn for testing. After drawing "test sample", the left over ballast of "gross Sample "shall be dumped back in the stack.
- (vii) In case clean, flat and hard surface is not available then a tarpaulin or any other suitable sheet may be used on a flat surface for mixing, drawing and sieve analysis of samples.
- 12.3.2 In case of stacks of volume more than 100 cum, more than one "Test Samples" will be tested foe Size & Gradation. In such cases, the sieve analysis results of all the "Test Samples" shall individually conform to following gradation, for acceptance/rejection of the whole stack:
  - (i) Retention on 20mm Sq. Mesh Sieve shall not be less than 98% for machine crushed ballast (not less than 95% for hand broken ballast).
  - (ii) Retention on 40mm Sq. Mesh Sieve shall be between 40% to 70%.
  - (iii) Retention on 65mm Sq. Mesh Sieve shall be more than 10%.

The full payment/reduced payment for the whole stack, as given in Para ---shall be decided based on of the sieve analysis results of all the "Test Samples" for a stack.

#### 12.4 Supply of ballast in Heaps for loading directly in Wagons

#### 12.4.1 Sampling Procedure

Samples of ballast shall be collected from heaps of ballast proposed to be loaded into wagons. For this, the contractor shall inform ADEN in-charge in writing sufficiently in advance before placement of rake, about the locations of ballast heaps from where it is to be loaded into wagons. ADEN in-charge shall decide the location of heaps from which sampling is to be done, judiciously covering the entire quantity of ballast to be loaded in rake.



- 12.4.2 Based on the approx. quantity of ballast to be loaded in the rake, methodology for sampling of ballast to be followed shall be the same as in Para 12.3.1 and 12.3.2 above.
- 12.4.3 The test viz. determination of Abrasion value, Impact Value and Water Absorption should be got done through approved laboratories or Railway's own laboratories (List of approved laboratories are mentioned below):

1Railway Laboratories of Construction OrganizationBangalore Cantt2Indian Institute of Science, Department of Civil Engg.Bangalore3University Visvesvaraya College of Engineering, Dept. of Civil Engineering, Bangalore University, Jnanabharati.Bangalore4National Institute of EngineeringMysore5Government Engineering CollegeHassan6Malnad College of EngineeringHassan7University B.D,T college of EngineeringDavangere8Government engineering collegeHaveri10B.V.B. College of Engineering & Technology, VidyanagarHubli11Government engineering collegeMandya12Government engineering collegeRamanagara13Government engineering collegeRamanagara13Government engineering collegeRaichur14National institute of TechnologySuratkal15Jawaharlal Nehru National College of engineeringShimoga16Sidda Ganga Institute of TechnologyTumkur17Kalpatharu College of EngineeringTiptur18Dr. T. Thimmaiah Institute of TechnologyKolar19B. M. S. College of EngineeringBangalore20R. V. College of EngineeringBangalore21Civil Aid Techno Clinic (TOR Steel research Foundation)Bangalore22Any other laboratories/institution approved by MD/K-RIDEFangalore			
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21         Civil Aid Techno Clinic (TOR Steel research Foundation)         Bangalore	19	B. M. S. College of Engineering	Bangalore
	20	R. V. College of Engineering	Bangalore
22 Any other laboratories/institution approved by MD/K-RIDE	21	Civil Aid Techno Clinic (TOR Steel research Foundation)	Bangalore
	22	Any other laboratories/institution approved by MD/K-RIDE	

12.4.4 <u>All costs for sampling/testing through the approved laboratories initially and subsequent to award of contract during the course of supply /at the time of measurement / at the time of billing to ascertain that ballast supply conforms to standards shall be done at Contractor own cost. Contractor reserves the right of getting ballast tested from any of the laboratory from the approved list at their own cost. No extra payment will be made by K-RIDE.</u>



#### 13.0 MEASUREMENT OF BALLAST:

- 13.1 The contractor shall take representative samples from the stacks in the presence of Engineer-In – Charge seal the samples and arrange TEST reports before commencement of measurement representing that the ballast supplied conforms to prescribed specifications.
- 13.2 On the day of measurement of fresh stacks, the approved Depot/Sub-depot/Cess Supply sketch shall be augmented and submitted by the contractor for undertaking recording of measurements with the following:

i) Stacks measured on date and yet to be paid for

- ii) Stacks measured earlier but not yet disturbed
- iii) Stacks measured earlier and already disturbed and
- iv) Stacks where the supply is in progress.
- 13.3 The diagram shall reflect all the stacks available on the section clearly by different color/ hatching at any time.
- 13.4 Besides signatures by Engineer In Charge, the sketch should be got signed by authorized representative of the contractor and DM, duly certifying that position of stacks on the date of measurement has been correctly incorporated. Availability of the aforesaid augmented sketch shall be a pre-requisite for processing of the bill for payment.
- 13.5. Measurement of ballast shall be done when the contractor has brought in sufficient quantity and stacked properly (say 10% of Agreement Quantity or more). No measurement of part stack shall be permitted.
- 13.6 All initial measurements for ballast shall be made and recorded by the in-charge in the Ballast Passing Register. The stacks so recorded shall be checked, remeasured and verified to 100% extent for measurements, quantity and quality by Addl General Manager in the presence of Contractor and he shall make suitable entries in the Ballast Passing Register before recording in the Measurement Books. All recordings/test checks shall normally be made in the presence of contractor. The records made by the Engineer In Charge and verified by Addl General Manager shall be binding on the Contractor. In case of any irregularity of dimension of stack/quality, minimum dimensions/parameters shall be taken into consideration for the purpose of payment. In case the Contractor is not accepting such measurements/quality, the Contractor shall replace/restack to proper standards to take measurement afresh.
- 13.7 If he fails to witness the measurements on the appointed date and time, the supply will be measured in his absence which shall be binding upon the contractor, whether or not he has signed the measurement book, provided always that any objection made by him to any measurement shall be checked/investigated and considered in the manner set out in the General Conditions of Contract.



- 13.8.1 **Test check** : Ballast so measured and details entered in Ballast Passing Register and MB, and accepted by Contractor will be test checked by Addl General Manager or higher officials as required in the order of 10% or more of the recorded quantity in each bill, both in respect of measurements and quality before arranging payments and the same shall be binding on the Contractor.
- 13.8.2 Ballast may be counterchecked by any other agency either simultaneously or subsequently and results of the same will have binding on the Contractor under the conditions of General Conditions of Contract.
- 13.9 The volume of stacks based on measurements of ballast will be arrived as under :

#### V={(L1 + L3 + L2 + L4)/4 }X{(B1 + B3 + B2 + B4 )/4}X{(H1 + H3 +H2 +H4 )/4}

i) L1, L3, L2, L4 are length of bottom and top on both sides respectively.
ii) B1, B3, B2, B4 are the breadth of bottom and top on both sides respectively.
iii) H1, H3, H2, H4 are the height taken from four different location s chosen at random.

However, if necessary, additional measurements may be taken if the stacks are irregular.

#### 14.0 NUMBERING AND MARKING :

14.1 Soon after the stacks are measured and posted in Ballast Passing register, the stack no. shall be painted on a large sized stone/board as directed by the Engineer in charge. In addition lime should be sprinkled along all the edges of the stack to indicate that the stack has been measured and posted in the above measures shall be maintained till the stack is cleared.

#### 15.0 SERVICES TO BE RENDERED BY CONTRACTOR:

- 15.1 The Contractor shall supply adequate sets of measurement equipment's like screens of different sizes steel boxes, and weighting machine & weights, ballast forks, etc. . These equipment's must be handed over to the Engineer -In- Charger before the first measurement is made.
- 15.2 At any time required by the Engineer while collection & stacking, contractor shall provide adequate facilities for inspection of material being collected including the quarry and crushing premises.
- 15.3 At the time of measurement, the contractor shall supply labour to facilitate opening of stack upto ground level, if desired by Railways.
- 15.4 Transportation arrangements for the movement of sieves /gauges/inspecting tools etc. to site and adequate labour assistance for sieving, weighing, measurement, sampling, sealing etc. shall be provided by the contractor.



#### 16.0 SETTING UP OF LABORATORY BY CONTRACTOR:

16.1 Deleted.

#### 17.0 **REJECTION OF SUPPLIES AND RECTIFICATION/DISPOSAL.**

- 17.1 It shall be understood that the accepted rates for ballast are for materials which conform in all respects with the specifications laid down. The contractor is advised to bring only such material at the site which conform to the specifications, as given above. Any material which falls short of the prescribed standards will be rejected and will have to be removed by the contractor at his own expenses. It should be noted that all materials would be passed at the site of stacking only. No passing will be carried out at the sources or anywhere else.
- 17.2 If the Engineer or his representative deputed to measure the ballast supplied is not satisfied that any of above conditions and specifications are not fully complied with, he is at liberty to:

(a) Refuse to measure the ballast supplied after communicating his reasons in writing to the contractor, and

(b) Call upon in writing to bring the ballast up to the specifications by either re-screening the ballast to conform to the specified sizes

(c) Call upon in writing to remove the material and bring new material.

- 17.3 In case the material offered for supply by the contractor is rejected by the Engineer, the later will specify the date within which rejected material should be removed by the contractor. The contractor will be liable to pay wharfage/demurrage/ground rent and other damages as per general conditions of contract for the period beyond stipulated.
- 17.4 The Engineer shall mark all rejected ballast in any manner he thinks fit to prevent rejected ballast being mixed with good ballast and the contractor shall remove the rejected ballast to such places as may be directed by the Engineer within a specified period from the date of order of removal.
- 17.5 In the event of the contractor failing to do so, the Engineer may cause it to be removed and all cost of such removal shall be payable by the contractors to the Railway, without prejudice to the Railway to effect any recovery of the losses as per conditions of GCC.

#### 18.0 ACCOUNTAL OF BALLAST & MAINTGENANCE OF REGISTERS:

18.1 BALLAST PASSING REGISTER



- 18.1.1 Details of authorization for stacking the ballast in a plot by the Addl General Manager , certification of levelness of ground by Engineer- In Charge shall be entered. Similarly the details of measured ballast stacks shall be entered in a Ballast passing register/ Stack Measurement Register, at the time of Measurement in the field itself, and the Register should have columns for entering measurements and physical properties checked by DM/AGM/& GM. The register shall be an authentic initial record in the form of Measurement Book with machine numbered pages and instruction for preservation/custody etc. shall be incorporated. Manuscript ruled registers if required should be used only by proper machine numbering the pages. The register shall be issued by Addl General Manger and each pages shall be pre-signed on top by Engineer In- Charge.
- 18.1.2 The Ballast Passing register should bear the following information.
  - i. Reference to Agreement No.
  - ii. Date of measurement.
  - iii. Stack No. and hectometer/TP/Chainage/RHS or LHS.
  - iv. Measurement as recorded indicating the different dimensions and volume.
  - v. Results of the quantity check and qualitative check as per sieve analysis over size, quantity, dust etc.
- 18.1.3 There should be no overwriting in the register and if any correction is required, the old entry should be struck of by drawing a line over and a fresh entry made and initiated. No blank line should be left while recording. The recordings done at a time should be properly boxed by drawing a line at the start and close of the measurements. All entries made in Ballast Passing register should be entered in the Measurement Book subsequently, which shall form the basis for the contractors' bill.
- 18.1.4 The contractor shall sign the Ballast Passing register at the site of measurement and the Measurement Book later in token of acceptance of measurements for arranging payment by Railways.

#### 18.2 <u>GROUND BALANCE REGISTER</u> :

- **18.2.1 DEPOT** : In case of DEPOT supply, the quantity of ballast measured in each Plot should be entered in the Ground Balance Register. After subsequent training out of ballast from a Plot, the successive reducing balances in that Plot should be reflected date-wise. For the quantities loaded in BT/Rail lorry in the Plot, the reference of challan No. should be shown. After the entire quantity in Plot has been trained out, the ground balance should be reduced to zero and the Plot shown as vacant. Further stacking in the plot of DEPOT/ Sub Depot can start only after permission by Addl General Manager/Civil
- 18.2.2 CESS : Similarly in case of Cess/Semi Depot supply, the Ground Balance Register shall reflect the quantity of ballast measured, the successive reducing balances due to dumping, datewise and balance available on date STACK WISE should be recorded. As far as possible the full quantity of ballast in a stack shall be dumped at a time in case of cess . Further stacking of ballast in the HM in case of Cess/Semi Depot supply after dumping is not permitted.



**18.2.3** Availability of Depot/Cess supply diagram augmented at each time of supply/dumping/training out shall be pre-requisite for processing bill for payment both for supply & dumping..

#### 19.0 DUMPING/TRAINING OUT :

- 19.1 Measured ballast shall not be trained out/dumped when collection is in progress in the Depot/Sub-depot/Yard. Ballast shall also not be dumped/moved when collection is in progress within FIVE Kms. of the dumping area.
- 19.2 Addl General Manager specific approval for dumping/training out shall be obtained in writing stack wise prior to commencement of dumping/training out from a stack/plot. Ballast training out from Depot/dumping from cess shall be permitted only after elapsing of 7 days of passing of bill and also ensuring that a minimum period of 15 days is elapsed of recording of the initial measurements in Ballast Passing Register by the Engineer In Charge

**NOTE : 1.** In urgent cases, where ballast needs to be dumped /trained out and the 7/15 days mandatory period cannot be adhered to or the required test check condition by Addl. General Manager for any bill cannot be complied with, a specific approval of General Manager/Civil shall be obtained with the reasons recorded in writing stackwise. In all such cases authorization shall specify the date on which the dumping is permitted.

**NOTE : 2.** There should be a buffer of at least **FIVE** Km between the locations of collection and running out/dumping of ballast at any point of time. Deviation from the above shall be permitted in case of urgency, only with the written approval of the General Manager/Civil, with reasons recorded in writing.

19.3 Instructions for training out/dumping as prescribed by the Railway from time to time should be complied with meticulously.

- 19.4 Ballast stacks once measured shall not be disturbed on any account except for dumping/training out after the authorization and disturbances otherwise, if any, will be treated as a case of theft and will be liable for prosecution.
- 19.5 No ballast stack shall be laterally or longitudinally moved by any other means other than BT/Rail lorry without written order of the General Manager/Civil at any stage. Movement of ballast longitudinally by road for facilitating loading in Rail lorry if found necessary, shall be done only with specific approval of the General Manager/Civil in writing and only with restacking and re-measurement in Ballast Passing Register at the cost of the Contractor who is dumping the ballast. No payment for such restacking/re-measurement will be made by the Railway. Any shortage if notice after re-measurement, will be at the cost of the contractor and will be recovered from his dues.

#### 20.0 **GUARDING OF BALLAST:**



- 20.1 Till such time, the ballast is measured and taken over by the Railway; its custody shall be responsibility of the contractor.
- 20.2 Subsequent to making payment to the contractor, in case the ballast is found to be non conforming to Specifications or if the Ballast is misappropriated in any form, recovery will be made immediately from contractor's bills/ security deposit etc. or from any money payable under this or other contracts at <u>TWICE</u> the rate paid. In case it is established that the contractor is involved in such fraudulent practices , he is liable to be <u>BANNED</u> from doing business with Railways/Other Central Govt depts.
- 20.3 The contractor shall keep regular watch on all measured stacks till the whole supply is effected and contract is successfully completed.
- 20.4 The contractor shall also keep watch on dumping being undertaken by him / other agencies and ensure that the works are properly done without any misappropriation / mismanagement and any other untoward incidents.

#### 21.0 GENERAL PAYMENT TERMS

- 21.1 Unless and otherwise specified, the BASE rate quoted by the Contractor at the time of tendering and the agreement rate as concluded in the contract for all the items in the schedule shall include the cost of all labour, transportation, consumables, tools, plants, equipments, machinery, all lead, lift, ascent, descent, jungle clearance, making of approach road, handling, re-handling, loading, unloading, transportation, crossing railway lines, crossing of nallahs, roads, any other obstructions and cost of protection, guarding and other any safety precautions required, seignorage/royalty, etc. The quoted rates shall include all taxes and duties as per the various provisions of the Central Goods and Service Tax Act,2017 (CGST)/Integrated Goods and Services Tax Act,2017(IGST)/ Union Territory Goods and Services Tax Act 2017 (UTGST)/respective state's State Goods and Services Tax Act (SGST) also, as notified by Central /State Govt & as amended from time to time and applicable taxes. Tenderers will ensure that full benefit of Input Tax Credit (ITC) likely to be availed by them is duly considered while quoting rates. Nothing extra will be payable on any account unless otherwise specified exclusively in the item of schedule.
- 21.2 Contractor shall produce Mineral Revenue Clearance Certificate / Seignorage / Royalty payment receipts other statutory clearance as required along with each On Account bill. In case the contractor does not produce such clearance, recovery of seignorage/other charges as ascertained by KRIDE will be made from bills. Refunding of such recoveries shall be done only with the clearance of the State Govt./Central Government agencies as required for which the Contractor is solely responsible and no claims/representation whatsoever in this regard shall be admissible.



21.3 No claims for extra payment will be entertained on account of interruption of work due to rain, floods, or any other cause. Contractor must nevertheless arrange to carry on this work in rainy season. No claim for work done in low lying water-logged area, local pits and depressions containing rain water, wet earth conditions etc., will be entertained by the KRIDE.



#### Annexure : B-1

#### AGGREGATE ABRASION VALUE (BASED ON IS:2386 IV 1963)

- 1.0 Apparatus
- 1.1 The abrasion test for track ballast shall be carried out using LOS Angeles machine as per fig.1.
- 1.2 The abrasive charge shall consists of 12 nos. cast iron or steel spheres approx. 48mm dia. and each weighing between 390 and 445 gm ensuring total weight of charge as 5,000 +/- 25gm.
- 1.3 IS sieves of sizes 50mm, 40mm, 25mm, and 1.70mm.
- 1.4 Drying Oven.
- 2.0 Test Sample.
- 2.1 The test sample of 10,000gm shall consist of clean ballast conforming to the following grading:

Passing 50mm and retained on 40mm square mesh sieve.	5,000gm (@)
Passing 40mm and retained on 25mm square mesh sieve	5,000gm (@)

(@) Tolerance of +/- 2% permitted.

- 3.2 The sample shall be dried in oven at 100-110oC to a constant weight and weighed (Weight "A").
- 3.0 Test Procedure
- 3.1 The test sample and the abrasive charge shall be placed in the Los-Angles abrasion testing machine and the machine rotated at a speed of 20 to 33 revolutions/minute for 1000 revolutions. At the completion of test, the material shall be discharged and sieved through 1.70mm IS sieve.
- 4.0 Analysis and Reporting of the Result.
- 4.1 The material coarser than 1.70mm IS sieve shall be washed dried in oven at 100-110 c to a constant weight and weighed (Weight B).
- 4.2 The proportion of loss between weight A and weight B of the test sample shall be expressed as a percentage of the original weight of the test sample. This value shall be reported as:

A - B Aggregate Abrasion Value = ------ X 100 A



#### Annexure: B-2

#### AGGRAGATE IMPACT VALUE (BASED ON IS: 2386 PART IV-1963)

- 1.0 **Apparatus** : The apparatus shall consist of the following:
- 1.1 Impact testing machine conforming to IS:2386 part IV-1963 as per fig 2.
  - (b) IS sieves of sizes 12.5 mm, 10mm and 2.36mm.
    - (c) A cylinderical metal measure of 75mm dia and 50mm depth.
    - (d) A tamping rod 10mm circular cross section and 230mm length, rounded at one end. (e) Drying oven

#### 2 Test Sample

- 2.1 The test sample shall be prepared out of track ballast so as to conform to following grading:
  - Passing 12.5mm IS sieve 100%
  - Retention 10mm IS sieve 100%
- 2.2 The sample shall be oven dried for 4 hours at a temperature of 100-110 deg. C and cooled.
- 2.3 The measure shall be filled about one-third full with the prepared aggregate and tamped with 25 strokes of the tamping rod. A further similar quantity of aggregate shall be added and a further tamping of 25 strokes given. The measure shall finally be filled to overflowing, tamped 25 times and the surplus aggregate struck off, using tamping rod as a straight edge. The net weight of the aggregate in the measure shall be determined to the nearest gm. (Weight 'A').

#### 3 Test Procedure

- 3.1 The cup of impact testing machine shall be fixed firmly in the position on the base of the machine and the whole of the test sample placed in it and compacted by 25 strokes of the tamping rod.
- 3.2 The hammer shall be raised 380 mm above the upper surface of the aggregate in the cup and allowed to fall freely on to the aggregate. The test sample shall be subjected to a total of 15 such blows, each being delivered at an interval of not less than one second.

#### 4 Analysis and Reporting of the Result

4.1 The sample shall be removed and sieved through 2.36 mm IS sieve. The fraction passing through shall be weighed. (weight 'B'). The fraction retained on the sieve shall also be weighed (Weight 'C') and if the total weight (B+C) is less than the initial weight (weight 'A') by more than one gm., the result shall be discarded and a fresh test made.



4.2	The ratio of the weight of the fines formed to the total sample weight shall be expressed as a percentage.
	Aggregate Impact Value = x 100
	Aggregate impact value = x 100 A
4.3	Two such tests shall be carried out and the mean of the results shall be reported to

I.3 Two such tests shall be carried out and the mean of the results shall be reported to the nearest whole number as the Aggregate Impact Value of the tested material.



#### Annexure: B-3

#### WATER ABSORPTION (Based on IS:2386 Part III-1963)

- 1 Apparatus: The apparatus shall consist of the following:
  - (a) Wire Basket Perforated, electroplated or plastic coated with wire hangers for suspending it from the balance.
  - (b) Water tight container for suspending the basket
  - (c) Dry soft Absorbent cloth 75 x 45 cm size 2 Nos
  - (d) Shallow Tray of minimum 650 square cm area
  - (e) Air tight container of capacity similar to basket.
  - (f) Drying Oven.
- 2 Test Sample

A sample of not less than 2000gm shall be used.

- 3 Test Procedure
- 3.1 The sample shall be thoroughly washed to remove finer particle and dust, drained and then placed in the wire basket and immersed in distilled water at a temperature between 22-32 deg.C.
- 3.2 After immersion the entrapped air shall be removed by lifting the basket and allowing it to drop 25 times in 25 seconds. The basket and sample shall remain immersed for a period of 24 + 1/2 hours afterwards.
- 3.3 The basket and aggregate shall then be removed from the water, allowed to drain for few minutes, after which the aggregate shall be gently emptied from the basket on to one of dry clothes and gently surface dried with the cloth, transferring it to second dry cloth when the first will remove no further moisture. The stone aggregate shall be spread on the second cloth and exposed to atmosphere (away from direct sunlight) until it appears to be completely surface dry. The aggregate then shall be weighed (weight 'A')
- 3.4 The aggregate shall then be placed in an oven at a temperature of 100-110 deg.C for 24 hours. It shall then be removed from oven, cooled and weighed (weight 'B').
- 4.0 Analysis and Reporting of the Result

4.1 Two such tests shall be made and individual and mean results shall be reported.



#### **ANNEXURE: B-4**

#### FORMAT FOR PRESENTING TEST RESULTS OF BALLAST SAMPLES WHILE TENDERING

1.	Name of Laboratory		
2.	Address	:	
3.	Referred by	:	Unit
4.	Quantity / Weight of ballast sample offered for testing	} }	(Cum/Kg.)
5.	Source	:	
6.	Date offered for testing	:	
7.	Date Tested	:	
8.	Test Results in Percentage	:	
	i. Abrassion Value	:	
	ii. Impact Value		
	iii. Water Absorption	:	
9.	Remarks	:	
10.	Singature and Designation of the representative of the Laboratory	} } }	



Annexure B-5

#### Penalty Clause proposed for execution of Ballast supply

- a) The entire work has to be completed in 12 months including monsoon. The first month from issue of Letter of Acceptance are treated as mobilisation period and also involving requisite testing and approvals. <u>Hence, only 4000 cum of ballast supply is stipulated in the first 02 months. In the subsequent months 8000 cum has to be supplied.</u>
- b) However, the above stipulated quarterly schedule shall be relaxed for monsoon period and 50% of scheduled supply will be permitted on pro-rata basis for monsoon months. The period of monsoon will be considered as July to October.
- c) The ballast supply shall be arranged as per above schedule, which is inclusive of mobilisation. If the ballast supply is not keeping pace as prescribed above, despite there being adequate stacking space and no impediments from KRIDE side, the Engineer is entitled to recover damages indicated herein. When the supply of ballast for impediment free month is less than the minimum quantity stipulated above, penalty @ Rs.900/--per 100 cum or part thereof shall be levied for the shortfall quantity and shall be recovered from the running bill.

d) In case the ballast supply is not completed within the original completion period due to lapse on part of the contractor, the liquidated damages as per clause 26.8 of PCC may be applicable based on merits of the case.

# <u>SECTION – 9</u> BILL OF QUANTITES

NAME OF WORK : Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yeshwanthapur to Baiyyappanahalli 'A' Panel section and					
also at Po	also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.				
SL NO	SL NO SCHEDULE DESCRIPTION OF SCHEDULE AMOUNT				
1	1Sch "H"Collection and Supply of Machine crushed stone ballast (Not covered under USSOR 21)		8,99,43,520.00		
	GRAND TOTAL 8,99,43,520.00				

NAME OF WORK : Yesvantpur - Channasandra Doubling Project - Collection and supply of machine crushed stone ballast on cess between Yeshwanthapur to Baiyyappanahalli 'A' Panel section and also at Perianaga Thunai (PRNT) yard depot in Bengaluru Division of South Western Railway.

SCHEDULE- "H" :

Som						
SL NO	ITEM CODE	DESCRIPTION OF ITEMS	UNIT	Rate	QTY	AMOUNT
1	BA18-1A	Collection, Supply and stacking of MACHINE CRUSHED 50mm hard, durable, angular,	CUM	1,170.56	62,000.00	7,25,74,720.00
		cubical in shape stone ballast, conforming to Railway specifications on cess/formation				
		along the alignment at places within the railway limit including making of approach roads,				
		crossing of railway line/nallahs/any obstructions, clearing of shrubs/bushes/rubble, making				
		the ground level, preparing stacking area, handling/rehandling where ever required, observing all				
		safety precautions, furnishing test reports of ballast samples at each stage of measurements,				
		assisting railways in measurement of stacks and sieve analysis and tests, numbering stacks,				
		sprinkling lime after measurement and resprinkling whenever necessary for identification, with				
		the contractor's material, labour, crew, tools, plant, machinery, rail/road vehicles, consumables, with all ascents, descents, lead, lift, taxes etc., complete as per special conditions, specifications				
		and regulations and as directed by the Engineer-in-Charge.				
		NOTES: 1.1 Each tenderer at the time of tendering shall submit the recent test report of ballast				
		for impact value, abrasion value and water absorption value from railway laboratories or				
		approved laboratories mentioned in tender document in accordance with the Railway				
		specification and IS codes as per provision of "Specification of Track Ballast, IRS-GE-1, failing				
		which the offer is liable to be rejected. Test report shall indicate the name of the quarry from				
		where the sample is submitted.				
		1.2 Tenderer shall submit along with offer, adequate proof of having made sufficient				
		arrangements by way of tie up of either existing quarries or new quarries intended to be setup for				
		timely supply of ballast.				
		1.3 The tenderer shall also furnish an undertaking that the ballast supply at all times will conform to Specification for track Ballast as specified.				
		1.4 Ballast's sample should satisfy the following physical properties in accordance with IS 2386				
		Pt-IV-1963 when tested as per the procedure laid down in Specification of Ballast IRS-GE-1 June				
		2004 and amended from time to time.				
		Abrasion Value - 30% max				
		Impact Value – 20% max				
		Water absorption - 1% 1.5 Ballast should satisfy the following size and gradation:				
		a) Retained on 65mm sq mesh sieve - 5% Maximum				
		b) Retained on 40mm sq mesh sieve - 40% to 60%				
		c) Retained on 20mm sq mesh sieve - Not less than 98%.				
		1.6 In case gradation of ballast in a stack does not conform to required gradation, payment for				
		the stack will be reduced as below:				
		i) In case ballast retained on 65mm sieve exceeds 5% but does not exceed 10% payment at 5%				
		reduction in agreement rate shall be made for full stack.				
		ii) In case ballast retained on 40mm square mesh sieve exceeds 60% limit prescribed payment at				
		the following reduced rates shall be made for the full stack in addition to the reduction worked				
		out at (i) above.				
		a) 5% reduction in agreement rates if retention on 40mm sq.mesh sieve is between 60%				
		(excluding) and $65\%$ (including).				
		b) 10% reduction in contracted rates if retention on 40mm sq. mesh sieve is between 65% (meluding) and 70% (including)				
		(excluding) and 70% (including). iii) In case retention on 40mm square mesh sieve exceeds 70%, the stack shall be rejected.				
		1.7 Ballast in the satck, deviating in size and gradation shall be rejected under any of the				
		following:				
		i) Retention on 65mm square mesh sieve is more than 10%.				
		ii) Retention on 40mm square mesh sieve is more than 70%.				
		iii) Retention on 40mm Sq.mesh sieve is les than 40%.				
		iv) Retention on 20mm square mesh sieve is less than 98%.				
		1.8 All reduction in payments as above shall apply over the agreemental rates.				
		1.9 The contractor shall have no claims whatsoever for the reduced rates of payment applied				
		under the conditions stipulated above.				
		1.10 Payment will be made on actual stack measurements for gross quantities without any				
		deduction for voids or shrinkage				

SL NO	ITEM CODE	DESCRIPTION OF ITEMS	UNIT	Rate	QTY	AMOUNT
2		Collection, Supply and stacking of MACHINE CRUSHED 50mm hard, durable, angular,	CUM	1,044.30	16,000.00	1,67,08,800.00
-		cubical in shape stone ballast, conforming to Railway specifications at depot at		_,		_,,,,
		Perianaga Thunai (PRNT) yard within the railway limit including making of approach				
		roads, crossing of railway line/nallahs/any obstructions, clearing of shrubs/bushes/rubble,				
		making the ground level, preparing stacking area, handling/rehandling where ever required,				
		observing all safety precautions, furnishing test reports of ballast samples at each stage of				
		measurements, assisting railways in measurement of stacks and sieve analysis and tests,				
		numbering stacks, sprinkling lime after measurement and resprinkling whenever necessary for				
		identification, with the contractor's material, labour, crew, tools, plant, machinery , rail/road				
		vehicles, consumables, with all ascents, descents, lead, lift, taxes etc., complete as per special				
		conditions, specifications and regulations and as directed by the Engineer-in-Charge.				
		NOTES: 1.1 Each tenderer at the time of tendering shall submit the recent test report of ballast				
		for impact value, abrasion value and water absorption value from railway laboratories or				
		approved laboratories mentioned in tender document in accordance with the Railway				
		specification and IS codes as per provision of "Specification of Track Ballast, IRS-GE-1, failing which the offer is liable to be rejected. Test report shall indicate the name of the quarry from				
		which the oner is habit to be rejected. Test report shall indicate the name of the quarty from where the sample is submitted.				
		1.2 Tenderer shall submit along with offer, adequate proof of having made sufficient				
		arrangements by way of tie up of either existing quarries or new quarries intended to be setup for				
		timely supply of ballast.				
		1.3 The tenderer shall also furnish an undertaking that the ballast supply at all times will				
		conform to Specification for track Ballast as specified by Railways.				
		1.4 Ballast's sample should satisfy the following physical properties in accordance with IS 2386				
		Pt-IV-1963 when tested as per the procedure laid down in Specification of Ballast IRS-GE-1 June				
		2004 and amended from time to time.				
		Abrasion Value - 30% max				
		Impact Value - 20% max				
		1.5 Ballast should satisfy the following size and gradation:				
		a) Retained on 65mm sq mesh sieve - 5% Maximum				
		b) Retained on 40mm sq mesh sieve - 40% to 60%				
		c) Retained on 20mm sq mesh sieve - Not less than 98%.				
		1.6 In case gradation of ballast in a stack does not conform to required gradation, payment for				
		the stack will be reduced as below:				
		i) In case ballast retained on 65mm sieve exceeds 5% but does not exceed 10% payment at 5%				
		reduction in agreement rate shall be made for full stack.				
		ii) In case ballast retained on 40mm square mesh sieve exceeds 60% limit prescribed payment at				
		the following reduced rates shall be made for the full stack in addition to the reduction worked				
		out at (i) above.				
		a) 5% reduction in agreement rates if retention on 40mm sq.mesh sieve is between 60% (excluding) and 65% (including).				
		b) 10% reduction in contracted rates if retention on 40mm sq. mesh sieve is between 65%				
		(excluding) and 70% (including).				
		iii) In case retention on 40mm square mesh sieve exceeds 70%, the stack shall be rejected.				
		1.7 Ballast in the satck, deviating in size and gradation shall be rejected under any of the				
		following:				
		i) Retention on 65mm square mesh sieve is more than 10%.				
		ii) Retention on 40mm square mesh sieve is more than 70%.				
		iii) Retention on 40mm Sq.mesh sieve is les than 40%.				
		iv) Retention on 20mm square mesh sieve is less than 98%.				
		1.8 All reduction in payments as above shall apply over the agreemental rates.				
		1.9 The contractor shall have no claims whatsoever for the reduced rates of payment applied				
		under the conditions stipulated above.				
		1.10 Payment will be made on actual stack measurements for gross quantities without any				
		deduction for voids or shrinkage.				
3		Extra over item No.BA18-1A, if ballast is to be stacked in private land in case sufficient railway	CUM	30.00	22,000.00	6,60,000.0
		land is not available.				
No.	of Items					0.00 40 500
		SCHEDULE "H" Departmental Value				8,99,43,520.0



# **SECTION-10**

## FORMAT OF BANK GUARANTEE FOR SECURITY DEPOSIT ETC.,

FORMAT OF BANK GUARANTEE FOR SECURITY



#### **INDEX**

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4	ADVANCE PAYMENT SECURITY		
5	INDEMNITY BOND FOR THE SAFE CUSTODY OF THE MATERIALS SUPPLIED BY THE CONTRACTOR		

**NOTE:** This Section contains forms which, once completed, will form part of the Contract. The forms for Performance Security and Advance Payment Security, when required, shall only be completed by the successful Bidder after contract award.

All italicized text is for guidance how to prepare the various forms and shall be deleted from the final documents.



#### FORMAT OF BANK GUARANTEE FOR SECURITY DEPOSIT

То,	(Name of the Employer)
(	Address of the Employer).

AND WHEREAS it has been stipulated by you in the said Contract that the Contractor shall furnish you with a Bank Guarantee by a recognized bank for the sum specified therein as security for compliance with his obligations in accordance with the Contract;

AND WHEREAS we have agreed to give the Contractor such a Bank Guarantee;

NOW THEREFORE we hereby affirm that we a	re the Guarantor and responsible to you, on behalf
of the Contractor, up to a total of Rs.	[amount of guarantee]
Rupees	[in words], and we undertake to pay
you, upon your first written demand and without cavil c	or argument, any sum or sums within the limits of
	[amount of guarantee] as aforesaid
without your needing to prove or to show grounds or reas	sons for your demand for the sum specified therein.

We hereby waive the necessity of your demanding the said debt from the Contractor before presenting us with the demand.

We further agree that no change or addition to or other modification of the terms of the Contract or of the Works to be performed there under or of any of the Contract documents which may be made between you and the Contractor shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

This guarantee shall be valid until 28 days from the date of expiry of the Defects Liability Period.

Signature and seal of the guarantor
Name of Bank
Address
Date



#### FORM OF BANK GURANTEE FOR PERFORMANCE SECURITY

(On non-judicial stamp paper of the appropriate value in accordance with stamp Act. The stamp paper to be in the name of Executing Bank).

#### From:

Name and Address of the Bank.....

#### To:

The Managing Director, Rail Infrastructure Development Company (Karnataka) Limited, "Samparka Soudha", 1st Floor, B.E.P Premises (Opp. Orion Mall), Dr. Rajkumar Road, Rajajinagar 1st Block, Bangalore - 560 010

WHEREAS, Rail Infrastructure Development Company (Karnataka) Limited, hereinafter called the **Employer**, acting through <u>[Insert Designation and address of the Employer's Representative]</u>, has accepted the bid of <u>[Insert Name and address of the Contractor]</u>, hereinafter called the Contractor, for the work of <u>[Insert Name of Work]</u>, vide Notification of Award No <u>(Insert Notification of Award No...)</u> AND

WHEREAS, the contractor is required to furnish Performance Security for the sum of *[Insert Value of Performance Security required]*, in the form of bank guarantee, being a condition precedent to the signing of the contract agreement.

WHEREAS, <u>[Insert Name of the Bank]</u>, with its Branch <u>[Address]</u> having its Headquarters office at <u>[Address]</u>, hereinafter called the **Bank**, acting through **[Designation(s) of the authorised person of the Bank]**, have, at the request of the [Insert name of the JV partner], a JV partner on behalf of the contractor, agreed to give guarantee for performance security and additional performance security as hereinafter contained:

- 1 KNOW ALL MEN by these present that I/We the undersigned [Insert name(s) of authorized representatives of the Bank], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantee to pay the Employer the full amount in the sum of [Insert Value of Performance Security required] as above stated.
- 2 The Bank undertakes to immediately pay on presentation of demand by the Employer any amount up to and including aforementioned full amount without any demur, reservation or recourse. Any such



demand made by the Employer on the Bank shall be final, conclusive and binding, absolute and unequivocal not withstanding any disputes raised/ pending before any Court, Tribunal, Arbitration or any Authority or any threatened litigation by the Employer of Bank.

- 3 On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- 4 The Bank shall pay the amount as demanded immediately on presentation of the demand by Employer without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- 5. The Bank Guarantee shall be unconditional and irrevocable.
- 6 The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank or in the constitution of the Contractor.
- 7 The Bank agrees that no change, addition, modifications to the terms of the Contract Agreement or to any documents, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- 8 This guarantee is valid and effective from the date of its issue, which is *[insert date of issue]*. The guarantee and our obligations under it will expire on [*Insert the date twenty-eight days after the expected end of defect liability period*]. All demands for payment under the guarantee must be received by us on or before that date.
- 9 The Bank agrees that the Employers right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will be valid until either the aforementioned full amount is paid to the Employer or the guarantee is released by Employer before the Expiry date.
- 10 The Bank agrees that its obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- 11 The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- 12 The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.



- 13 The Guarantee shall be in addition to and without prejudice to any other security Guarantee (s) of the contractor in favour of the Employer available with the Employer. The Bank, under this Guarantee, shall be deemed as Principal Debtor of the Employer.
- 14. This guarantee shall be valid for 28days from the date of expiry of defect liability period.

Date Place	[Signature of Authorized person of Bank/Guarantor]	
	[Name in Block letters]	
	[Designation]	
	[P/Attorney] No.	
	Bank's Name and Seal	
	[P/Attorney] No	
Witness:		

- 1. Signature Name & Address & Seal
- 2. Signature Name & address & Seal

Note :

1. All italicized text is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.

FORMAT OF BANK GUARANTEE FOR SECURITY



- 2. In case the guarantee is issued by a foreign Bank, which does not have operations in India, the said bank shall have to provide a counter-guarantee by State Bank of India.
- 3. In case the Contractor is a JV, the Performance Security is required to be furnished on behalf of the JV in favour of the Employer by the JV Partners in proportion of of their respective percentage share specified in the JV Agreement. The percentage share of M/s [Insert Name of the JV Partner] in the JV is [Fill share % in the JV] percent. All the Bank Guarantee of JV Partners are liable to be encashed cumulatively.



# FORM OF BANK GUARANTEE FOR ADDITIONAL PERFORMANCE SECURITY

# (On non-judicial stamp paper of the appropriate value in accordance with stamp Act. The stamp paper to be in the name of Executing Bank)

# From:

Name and Address of the Bank.....

To:

The Managing Director, Rail Infrastructure Development Company (Karnataka) Limited, "SamparkaSoudha", 1st Floor, B.E.P Premises (Opp. Orion Mall), Dr. Rajkumar Road, Rajajinagar 1st Block, Bangalore - 560 010

WHEREAS, Rail Infrastructure Development Company (Karnataka) Limited, hereinafter called the **Employer**, acting through <u>[Insert Designation and address of the Employer's Representative]</u>, has accepted the bid of <u>[Insert Name and address of the Contractor]</u>, hereinafter called the **Contractor**, for the work of <u>[Insert Name of Work]</u>, vide Notification of Award No.<u>[Insert Notification of Award No.]</u>. AND

WHEREAS, the contractor is required to furnish Performance Security for the sum of <u>[Insert Value of</u> <u>Performance Security required]</u>, in the form of bank guarantee, being a condition precedent to the signing of the contract agreement.

WHEREAS, <u>[Insert Name of the Bank]</u>, with its Branch <u>[Address]</u> having its Headquarters office at <u>[Address]</u>, hereinafter called the **Bank**, acting through **[Designation(s) of the authorised person of the Bank]**, have, at the request of the [Insert name of the JV partner], a JV partner on behalf of the contractor, agreed to give guarantee for performance security and additional performance security as hereinafter contained:

1 KNOW ALL MEN by these present that I/We the undersigned *[Insert name(s) of authorized representatives of the Bank]*, being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantee to pay the



Employer the full amount in the sum of *[Insert Value of Performance Security required]* as above stated.

- 2 The Bank undertakes to immediately pay on presentation of demand by the Employer any amount up to and including aforementioned full amount without any demur, reservation or recourse. Any such demand made by the Employer on the Bank shall be final, conclusive and binding, absolute and unequivocal notwithstanding any disputes raised/ pending before any Court, Tribunal, Arbitration or any Authority or any threatened litigation by the Employer of Bank..
- 3 On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- 4 The Bank shall pay the amount as demanded immediately on presentation of the demand by Employer without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- 5. The Bank Guarantee shall be unconditional and irrevocable.
- 6 The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank or in the constitution of the Contractor.
- 7 The Bank agrees that no change, addition, modifications to the terms of the Contract Agreement or to any documents, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- 8 This guarantee is valid and effective from the date of its issue, which is *[insert date of issue]*. The guarantee and our obligations under it will expire on [*Insert the date twenty-eight days after the expected end of defect liability period*]. All demands for payment under the guarantee must be received by us on or before that date.
- 9 The Bank agrees that the Employers right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several instances will be valid until either the aforementioned full amount is paid to the Employer or the guarantee is released by Employer before the Expiry date.
- 10 The Bank agrees that its obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.



- 11 The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- 12 The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.
- 13 The Guarantee shall be in addition to and without prejudice to any other security Guarantee (s) of the contractor in favour of the Employer available with the Employer. The Bank, under this Guarantee, shall be deemed as Principal Debtor of the Employer.

	Date Place	[Signature of Authorised person of Bank]
		[Name in Block letters]
		[Designation]
	[P/Attorney] No.	
 Bank's	s Seal	
[P/Atto	orney] No Witness:	
3.	Signature Name & Address & Seal	
4.	Signature Name & address & Seal	
	Note : 1 All italicized text is for guidance on how t from the final document.	o prepare this bank guarantee and shall be deleted

FORMAT OF BANK GUARANTEE FOR SECURITY



- 2 In case the guarantee is issued by a foreign Bank, which does not have operations in India, the said bank shall have to provide a counter-guarantee by State Bank of India.
- 3 In case the Contractor is a JV, the Performance Security is required to be furnished on behalf of the JV in favour of the Employer by the JV Partners in proportion of of their respective percentage share specified in the JV Agreement. The percentage share of M/s [Insert Name of the JV Partner] in the JV is [Fill share % in the JV] percent. All the Bank Guarantee of JV Partners are liable to be encashed cumulatively.



# **ADVANCE PAYMENT SECURITY**

# (On non-judicial stamp paper of appropriate value in accordance with stamp Act. The stamp paper to be in the name of Executing Bank)

# From

[Name and Address of the Bank]

То

The Managing Director, Rail Infrastructure Development Company (Karnataka) Limited, "Samparka Soudha", 1st Floor, B.E.P Premises (Opp. Orion Mall), Dr. Rajkumar Road, Rajajinagar 1st Block, Bangalore - 560 010

Beneficiary/Employer: Rail Infrastructure Development Company (Karnataka) Limited.

Guarantee No.: [.....reference number of the guarantee....]Dated: [.....]

WHEREAS, Rail Infrastructure Development Company (Karnataka) Limited(hereinafter called the **Employer**) has entered into Contract No. [....reference number of the Contract....]dated [......] for the execution of [name of the contract] (hereinafter called the Contract) with[....name of the Contractor....](hereinafter called the Contractor).

WHEREAS, according to the Conditions of the Contract, an advance payment is admissible to the contractor against submission of bank guarantee(s).

At the request of the Contractor, we [....name of the Bank....] with our branch at[....address....], having our Head Office at [....address....] (hereinafter called the Bank) have, at the request of [.....Insert name of the JV partner.....], a JV partner on behalf of the Contractor, agreed to give the said guarantee as hereinafter contained:



1. KNOW ALL MEN by these present that I/We the undersigned [....Insert name(s) of authorized representative(s) of the Bank....], being fully authorized to sign and incur obligations for and on behalf of the Bank, confirm that the Bank, hereby, unconditionally and irrevocably guarantees

to pay the Employer the sum of Rs.[....value in figure....](Rupees [....value in words....] only(hereinafter called the Full Amount).

- 2. The Bank undertakes to immediately pay to the Employer, without any demur, reservation or recourse, any amount up to and including aforementioned full amount upon first written demand/demands from the Employer.
- 3. On payment of any amount less than aforementioned full amount, as per demand of the Employer, the guarantee shall remain valid for the balance amount i.e. the aforementioned full amount less the payment made to the Employer.
- 4. The Bank shall pay the amount so demanded without any reference to the contractor and without the Employer being required to show grounds or give reasons for its demand or the amount demanded.
- 5. The guarantee hereinbefore shall not be affected by any change in the constitution of the Bank, the Contractor or the Employer.
- 6. The Bank agrees that no change, addition, modification to the terms of the Contract Agreement or to any document, which have been or may be made between the Employer and the Contractor, will in any way release us from the liability under this guarantee; and the Bank, hereby, waives any requirement for notice of any such change, addition or modification to the Bank.
- 7. This guarantee is valid and effective from the date of it's issue, which is [....date of issue....]. The guarantee and our obligations under it will expire on dated .......[....Please refer note 4 & 5....]. All demands for payment under the guarantee must be received by us on or before that date.
- 8. The Bank agrees that the Employer's right to demand payment of aforementioned full amount in one instance or demand payments in parts totaling up to the aforementioned full amount in several



instances will continue until either the aforementioned full amount is paid to the Employer or the guarantee validity period expires.

- 9. The Bank agrees that it's obligation to pay any amount demanded by the Employer before the expiry of this guarantee will continue until the amount demanded has been paid in full.
- 10. The expressions Bank and Employer herein before used shall include their respective successors and assigns.
- 11. The Bank hereby undertakes not to revoke the guarantee during its currency, except with the previous consent in writing of the employer. This guarantee is subject to the Uniform Rules for Demand Guarantees, ICC Publication No. 758.

Dated[.....]

Place[.....]

(Signature of the Authorized Person of the Bank)

(Name in Block Letters)

.....

(Designation)

(Bank's Seal)

(Authorization No.)

# Witness:

- 1. Signature, Name & Address
  - ·····
- 2. Signature, Name & Address

Note:



- 1. All italicized text in brackets [....text....] is for guidance on how to prepare this bank guarantee and shall be deleted from the final document.
- 2. In case the guarantee is issued by a foreign Bank, the said bank shall have operations in India and should be issued by Indian operations branch of the said bank.

# 3. Mobilization Advance

# (a) For Single Entity

For each Installment of Advance, two Bank Guarantees of equal amounts (each equal to half of the first installment of advance plus 10%) shall be furnished. Each Bank Guarantee shall be valid for the stipulated completion period of the contract.

# OR

# (b) For JV/Consortium

For each Installment of Advance, individual JV/Consortium partner shall furnish Bank Guarantee equal to his share in the installment of Advance plus 10%. Each Bank Guarantee shall be valid for the stipulated completion period of the contract.

# 4. Advance against Plant and Machinery (a) For Single Entity

For each Installment of Advance, a Bank Guarantee equal to the installment of advance plus 10% shall be furnished. The Bank Guarantee shall be valid for the stipulated completion period of the contract.

# OR

# (b) For JV/Consortium

For each Installment of Advance, individual JV/Consortium partner shall furnish a Bank Guarantee equal to his share in the installment of advance plus 10%. Each Bank Guarantee shall be valid for the stipulated completion period of the contract.



# INDEMNITY BOND FOR THE SAFE CUSTODY OF THE MATERIALS SUPPLIED BY THE CONTRACTOR

(To be executed on Non-Judicial Stamp Paper of Appropriate Value and notarized)

THIS INDEMNITY BOND made on this \_\_\_\_\_\_ day of \_\_\_\_\_\_ 20\_\_ by \_\_\_\_(insert the name of the Contractor and its registered address) (hereinafter called "the Contractor") which expression shall where the context do admits or implies be deemed to include its executors, administrators and assigns, in favour of the Rail Infrastructure Development Company (Karnataka) Limited, Samparka Soudha", 1<sup>st</sup> Floor, B.E.P Premises (Opp. Orion Mall),Dr. Rajkumar Road, Rajajinagar 1st Block, Bangalore - 560 010 (hereinafter called "K RIDE") on the other part.

WHEREAS by an Agreement/Letter of Acceptance No.\_\_\_\_\_dated \_\_\_\_\_ (hereinafter called "the said agreement"), the Contractor has agreed to execute the \_\_\_\_\_\_(*Name of Work*) (hereinafter called "the Works").

AND WHEREAS the Contractor has submitted to K RIDE/ the Engineer for payment on materials procured by him and brought to the site of the Works or his workshop for use in the Works.

AND WHEREAS K RIDE/ the Engineer has agreed to make advance/stage payment to the Contractor the total sum of Rs.\_\_\_\_\_ (*in Figures*) [Rupees \_\_\_\_\_\_ (*in Words*) in Interim Payment Certificate (IPC) No.\_\_\_\_\_\_, the quantities and other particulars of which are detailed in this IPC for the said works signed by the Contractor on \_\_\_\_\_\_ for the Materials brought by the Contractor to site of the works. Brief details are also mentioned in schedule 1 appended hereto.

NOW THIS INDEMNITY BOND WITNESS that in pursuance of the said agreement and in consideration of the sum of Rs. \_\_\_\_\_\_ (*in Figures*) \_\_\_\_\_\_ (*in Words*) on or before the execution of these presents to be paid to the Contractor by K RIDE so aforesaid, the Contractor doth hereby covenant and agree with K RIDE and declare as follows: -

- 1. That the said sum of Rs. \_\_\_\_\_\_ (*In Figures*) \_\_\_\_\_\_ (*in Words*) to be paid by K RIDE to the Contractor as aforesaid shall be utilized by the Contractor in or towards the execution of the said works and for no other purpose whatsoever.
- 2. That the Materials detailed in the said IPC which have been offered to and accepted by K RIDE/ the Engineer, are absolutely the Contractor's own property and free from encumbrances of any kind and the Contractor will not make any application for or receive any further payment on the Materials which are not absolutely his own property and free from encumbrances of any kind, the Contractor indemnifies the K RIDE against all claims on any Materials in respect of which payment is to be made to him as aforesaid.



- 3. That the Contractor undertakes that the Materials shall be used exclusively for the performance / execution of the Contract strictly in accordance with the terms and conditions of the Contract and no part of the Materials shall be utilized for any other work or purpose whatsoever.
- 4. That the Contractor is obliged and shall remain absolutely responsible for the safe transit / protection and custody of the Materials against all risks whatsoever including acts of the God till the Materials are duly incorporated in the works, commissioned and are taken over by K RIDE/Railway (including surplus Materials, if required as instructed by K RIDE/ the Engineer) in accordance with the terms of the Contract. The Contractor undertakes to keep K RIDE harmless against any loss or damage that may be caused to the Materials.
- 5. That the said Materials shall not on any account be removed from the site of the works except with the written permission of K RIDE/ the Engineer. Further, K RIDE/ the Engineer shall always be free at all times to take possession of the materials in whatever form the materials may be in, if in its opinion, the Materials are likely to be endangered, mis-utilized or converted to uses other than those specified in the Contract, by any acts or omission or commission on the part of the Contractor or any other person or on account of any reason whatsoever and the Contractor binds himself and undertakes to comply with the directions of demand of K RIDE to return the Materials without any demur or reservation.
- 6. That the said materials shall, at all times, be open to inspection by K RIDE/ the Engineer or any authorized representative. In the event of the said material or any part thereof at any time being found to be in lesser quantity than for which payment has been released or the same has been stolen, destroyed or damaged or becoming deteriorated, the Contractor will forthwith replace the same or repair and make good the same as required by K RIDE/ the Engineer.
- 7. That making payment does not mean that Materials are of required specifications and quality or that whole of the quantity brought to site by Contractor will be used in the work. The Contractor is fully responsible for the materials to conform to required quality and specification and if at any time K RIDE/ the Engineer do not find the material satisfactory, the Contractor at his own cost would replace these. K RIDE/ the Engineer would be at liberty to recover cost of these from any dues of the Contractor. Also, any Materials which are in excess of what is finally required under the contract would be the Contractor's property without any liability on K RIDE/ the Engineer who would recover the cost of this from the Contractor.
- 8. That this INDEMNITY BOND is irrevocable. If at any time, any loss or damage occurs to the Materials or the same or any part thereof is mis-utilized in any manner whatsoever, then the Contractor hereby agrees that the decision of K RIDE/ the Engineer as to assessment of loss or damage to the Materials



shall be final and binding on the Contractor. The Contractor binds itself and undertakes to replace the lost and/or damaged Materials at its own cost and/or shall pay the amount of loss to K RIDE without any demur, reservation or protest. This is without prejudice to any other right or remedy that may be available to K RIDE/ the Engineer against the Contractor under the Contract or under this Indemnity Bond

- 9. That if the Contractor shall at any time make any default in the performance or observance in any respect of any of the terms and provisions of the said agreement or of those presents, the total amount of the payment shall immediately on the happening of such default be recovered by K RIDE/ the Engineer from any dues of Contractor. It is also clearly understood by the Contractor that non-observance of the obligations under this Indemnity Bond by the Contractor shall inter-alia constitute a criminal breach of trust on the part of the Contractor for all intents and purpose including legal / penal consequences.
- 10. IN WITNESS WHEREOF, the Contractor has hereunto set its hand through its authorized representative, the day, month and year first above mentioned.
- 11. SCHEDULE 1

Particulars of the Materials	Quantity	Value of the Materials

Signed, Sealed and Delivered by the said Contractor

Dated: ..... Place: ..... (Contractor's Name) (AUTHORISED SIGNATORY) SEAL OF COMPANY

IN THE PRESENCE OF:

WITNESS:	SIGNATURE

NAME: \_\_\_\_\_

ADDRESS : \_\_\_\_\_

FORMAT OF BANK GUARANTEE FOR SECURITY



# Note:

The contractor has the option to submit the INDEMNITY BOND to cover all the items and quantities of Materials of stage payment or to submit INDEMNITY BOND each time the stage payment is to be taken or Materials advance is to be taken.

No.

Office of the.....

