Corrigendum No. 1 (Dated 28.06.2023)

Request for Qualification (RFQ)

for

Selection of Entity for Design, Manufacturing, Supply, Commissioning, Operation and Maintenance of Rolling Stock on PPP Model for BSRP

RFQ NO.: KRIDE/2023-24/PREQUAL-TENDER_NUMBER_1

The following are the modifications and amendments to the Request for Qualification (RFQ) for Selection of Entity for Design, Manufacturing, Supply, Commissioning, Operation and Maintenance of Rolling Stock on PPP Model for BSRP.

SN	Clause/Item	Amendment
1.	Tender Invitation	Replace:Note: In case power of attorney is already submitted during the first call of tender dated 25/01/2023, same POA will be considered and need not to be submitted again. However, joint bidding agreement shall be submitted if applicable.With:Note: In case power of attorney is already submitted during the first call of tender dated 25/01/2023, same POA will be considered and need not to be submitted again. However, joint bidding agreement shall be submitted if applicable.With:Note: In case power of attorney is already submitted during the first call of tender dated 25/01/2023, same POA will be considered and need not to be submitted again. However, joint bidding agreement shall be submitted if applicable. Any
2.	Disclaimer	Modified Disclaimer: The information contained in this Request for Qualification document (the "RFQ") or subsequently provided to Applicant(s), whether verbally or in documentary or any other form, by or on behalf of the Authority or any of its employees or advisors, is provided to Applicant(s) on the terms and conditions set out in this RFQ and such other terms and conditions subject to which such information is provided.

SN	Clause/Item	Amendment
		This RFQ is not an agreement and is neither an offer nor invitation by the Authority to the prospective Applicants or any other person. The purpose of this RFQ is to provide interested parties with information that may be useful to them in the formulation of their application for qualification pursuant to this RFQ (the "Application"). This RFQ includes statements, which reflect various assumptions and assessments arrived at by the Authority in relation to the Project. Such assumptions, assessments and statements do not purport to contain all the information that each Applicant may require. This RFQ may not be appropriate for all persons, and it is not possible for the Authority, its employees or advisors to consider the investment objectives, financial situation and particular needs of each party who reads or uses this RFQ. The assumptions, assessments, statements and information contained in this RFQ may not be complete, accurate, adequate or correct. Each Applicant should therefore, conduct its own investigations and analysis and should check the accuracy, adequacy, correctness, reliability and completeness of the assumptions, assessments, statements and information contained in this RFQ may not becompleteness and information contained in this RFQ may not becompleteness and should check the accuracy adequacy.
		Information provided in this RFQ to the Applicant(s) is on a wide range of matters, some of which may depend upon interpretation of law. The information given is not intended to be an exhaustive account of statutory requirements and should not be regarded as a complete or authoritative statement of law. The Authority accepts no responsibility for the accuracy or otherwise for any interpretation or opinion on law expressed herein.
		The Authority, its employees and advisors make no representation or warranty and shall have no liability to any person, including any Applicant or Bidder, under any law, statute, rules or regulations or tort, principles of restitution or unjust enrichment or otherwise for any loss, damages, cost or expense which may arise from or be incurred or suffered on account of anything contained in this RFQ or otherwise, including the accuracy, adequacy, correctness, completeness or reliability of the RFQ and any assessment, assumption, statement or information contained therein or deemed to form part of this RFQ or arising in any way with pre-qualification of Applicants for participation in the Bidding Process.
		The Authority and its employees and advisors also accept no liability of any nature whether resulting from negligence or otherwise howsoever caused arising from reliance of any Applicant upon the statements contained in this RFQ.
		It will be deemed that by submitting an Application, each Applicant agrees and releases the Authority and its employees, agents and advisers, irrevocably, unconditionally, fully and finally from any and all liability for any claims, losses, damages, costs, expenses or liabilities in any way related to or arising from the exercise of any rights

SN	Clause/Item	Amendment
		and/or performance of any obligations under the RFQ, the Bidding Documents and/or in connection with the Bidding Process, to the fullest extent permitted by applicable law and waives any and all rights and/or claims it may have in this respect, whether actual or contingent, whether present or in the future.
		The Authority may, in its absolute discretion but without being under any obligation to do so, update, amend or supplement the information, assessment or assumptions contained in this RFQ.
		The issue of this RFQ does not imply that the Authority is bound to select and short-list pre-qualified Applications for Bid Stage or to appoint the selected Bidder or Lessor, as the case may be, for the Project and the Authority reserves the right to reject all or any of the Applications or Bids without assigning any reasons whatsoever.
		The Applicant shall bear all its costs associated with or relating to the preparation and submission of its Application including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstrations or presentations which may be required by the Authority, or any other costs incurred in connection with or relating to its Application. All such costs and expenses will remain with the Applicant and the Authority shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by an Applicant in preparation or submission of the Application, regardless of the conduct or outcome of the Bidding Process.
3.	2.2.1 (c) (vii)	New Clause: (vii) such Applicant, or any Associate thereof is a sub-contractor whose experience has been relied on for demonstrating the Technical Capacity of any other Applicant.
4.	2.2.2 (A)	<u>Replace:</u> The Applicant/ Members of a Consortium shall, for a period of <u>4 (four)</u> years from the completion of the Supply Period shall collectively hold 100% (Hundred per cent) of the subscribed and paid-up equity share capital of the Lessor/SPV at all times.
		With:

SN	Clause/Item	Amendment
		The Applicant/ Members of a Consortium shall, for a period of <u>2 (two)</u> years from the completion of the Supply Period collectively hold 100% (Hundred per cent) of the subscribed and paid-up equity share capital of the Lessor/SPV at all times.
5.	2.2.2 (B)	Replace: Technical Capacity: For demonstrating its technical capacity (the "Technical Capacity"), the Applicant shall provide an undertaking as per format specified at Appendix-VI (furnish proofs to support Appendix VI such as Supply/commissioning certificates) stating that it possesses the following experience in order to meet the Technical Capacity either itself or through a Member of its Consortium, Associate or sub-contractorWith:
		Technical Capacity: For demonstrating its technical capacity (the "Technical Capacity"), the Applicant shall provide an undertaking as per format specified at <u>Appendix-VI A</u> stating that it possesses the following experience in order to meet the Technical Capacity either itself or through a Member of its Consortium, Associate or sub-contractor:
6.	2.2.2 (B)	New Clause shall be inserted after sub-clause (vii) of Clause 2.2.2 (B): The Applicant shall also provide, as per the format specified at Appendix-VIB, details relating to the project specific experience of the Applicant, its Member, Associate, and/or sub-contractor that is being relied on for meeting the requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B). Appendix-VIB shall be accompanied by documents evidencing the Applicant's Technical Capacity in respect of sub-clause (i) to (v) of Clause 2.2.2 (B), including but not limited to works completion or commissioning certificate(s), receipt note(s) and other similar documents issued by the relevant authority/client evidencing compliance with the Technical Capacity requirements. If an Applicant relies on the experience of any sub-contractor(s) to meet the requirements specified sub-clause (i) to (v) of Clause 2.2.2 (B), it shall furnish a non-binding undertaking provided by such sub-contractor(s) in the format specified at Appendix-VI C, along with its Application.

SN	Clause/Item	Amendment
		Provided that the Applicant/Bidder/Lessor shall continue to meet the Technical Capacity requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B) of RFQ.
		The Applicant/Bidder/Lessor may be permitted by the Authority to replace any sub-contractor whose experience was evaluated for pre-qualification and shortlisting under the RFQ, with any other sub-contractor or with a new Member of its Consortium that meets the Technical Capacity requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B), provided that:
		 (a) the Applicant/Bidder shall provide a notice in writing to the Authority at least 30 days prior to the to Bid Due Date, or if such Applicant is declared as the Selected Bidder, 30 days prior to the expected date for executing the Agreement, regarding such replacement;
		(b) the provisions of Clause 2.3 of this RFQ shall apply to any change in the composition of a Consortium;
		(c) the Applicant/ Bidder shall provide details of the Technical Capacity of the proposed substitute to the Authority in the format specified at Appendix VI B of the RFQ, along with supporting documents;
		(d) the Authority retains the right to verify whether the replacement of such sub-contractor will ensure that the Applicant/Bidder continues to meet the Technical Capacity requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B); and
		(e) if at any time after qualification under and in accordance with the terms of this RFQ, the Applicant/Bidder fails to meet the Technical Capacity requirements set out herein, the Authority may disqualify such Applicant or reject such Bid, or cancel the Letter of Award, as the case may be, Forfeiting the Bid Security, in accordance with the provisions of the RFQ and the RFP.
		Provided further that any Applicant who has been pre-qualified and short-listed pursuant to the RFQ shall not be permitted to be the sub-contractor of any other Bidder at the Bid Stage. It is clarified that an Applicant may rely on more than 1 (one) sub-contractor to demonstrate its Technical Capacity. Further, the experience of any sub- contractor may be relied on by more than 1 (one) Applicant to demonstrate their respective Technical Capacity.

SN	Clause/Item			Amendment	;		
7.	2.2.6 (f) (iv) and (v)	 subscribe to 26 shall further co Period, hold ec share capital of (v) members of the and paid-up eq 	% (twenty six p mmit that each s juity share capit the SPV; and (i consortium un uity share capita	ers, whose financial experience per cent) or more of the paid such member shall, for a peri- tal not less than: (i) 26% (two ii) 5% (five per cent) of the T indertake that they shall collect al of the Lessor/SPV at all tim such member shall, for a peri-	up and subscribe od of <u>4 (four)</u> yea enty six per cent) 'otal Project Cost tively hold 100% nes for a period o	d equity share c ars from the con of the subscrib specified in the (Hundred per c of equity share c	capital of the SPV and npletion of the Supply ed and paid up equity e Agreement; cent) of the subscribed capital of the SPV and
		 With: (iv) commit that each of the members, whose financial experience is being relied on for the purposes of this subscribe to 26% (twenty six per cent) or more of the paid up and subscribed equity share capital of the shall further commit that each such member shall, for a period of 2 (two) years from the completion of Period, hold equity share capital not less than: (i) 26% (twenty six per cent) of the subscribed and paid share capital of the SPV; and (ii) 5% (five per cent) of the Total Project Cost specified in the Agreemen (v) members of the Consortium undertake that they shall collectively hold 100% (Hundred per cent) of the and paid-up equity share capital of the Lessor/SPV at all times for a period of equity share capital of the shall further commit that each such member shall, for a period of 2 (two) years from the completion of Period; and 					
8.	2.2.15	Replace: The Applicant shall also adhere to the following requirements:					
		Requirement	Single Entity	Con All Members combined	sortium Lead Member	other Members	Submission requirements

SN	Clause/Item	Amendment					
		Clause 2.2.2 (A) –	must meet	must meet requirement			Annex -II of
		Financial Capacity	requirement	together by those Members			Appendix-I
				who have shall have an			
				equity share of at least 26%			
				(twenty-six per cent) in SPV			
		Clause 2.2.2 (B) –	must provide	must provide			Appendix-VI
		Technical Capacity					
		Clause 2.2.3 – O&M	must provide	must provide			Appendix-VIIA,
		Experience				_	Appendix-VIIB
		Clause 2.2.5 – Power	must provide		must provide	must provide	Appendix-II,
		of Attorney					Appendix-III
		Clause 2.2.6 (f) –	NA	must provide			Appendix-IV
		Joint Bidding					
		Agreement					
		Clause 2.2.13 –	Must meet	Must meet requirement			Appendix- XI
		Format of certificate	requirement				
		as per GFR Order					
		With: The Applicant shall als Requirement	so adhere to the Single Entity		sortium		Submission requirements
				All Members combined	Lead	other	
					Member	Members	
		Clause 2.2.2 (A) –	must meet	must meet requirement			Annex -II of
		Clause 2.2.2 (A) – Financial Capacity	must meet requirement	must meet requirement together by those			Annex -II of Appendix-I
				_			

SN	Clause/Item		Amendment				
				least 26% (twenty-six per cent) in SPV			
		Clause 2.2.2 (B) – Technical Capacity	must meet requireme nt	must meet requirement together by those Members who have shall have an equity share of at least 26% (twenty-six per cent) in SPV			Appendix-VIA, Appendix VIB, and if required, Appendix VIC
		Clause 2.2.3 – O&M Experience	must provide	must provide			Appendix-VIIA, Appendix-VIIB
		Clause 2.2.5 – Power of Attorney	must provide		must provide	must provide	Appendix-II, Appendix-III
		Clause 2.2.6 (f) – Joint Bidding Agreement	NA	must provide			Appendix-IV
		Clause 2.2.13 – Format of certificate as per GFR Order	Must meet requirement	Must meet requirement			Appendix- XI
9.	2.3.2 (a)	With:		ge is made no later than <u>15 (fi</u>			
	2.3.2 (c)	(a) the application Replace:	for such chang	ge is made no later than <u>30 (tl</u>	hirty) days prior	to the Bid Due	Date;
			the modified	l, in terms of Financial Cap Consortium shall continue to	•		e

SN	Clause/Item	Amendment
		With: (c) the substitute is at least equal, in terms of Financial Capacity and Technical Capacity, to the Consortium Member who is sought to be substituted and the modified Consortium shall continue to meet the pre-qualification and short-listing criteria for Applicants; and
	2.3.2 (Footnote)	<u>Replace:</u> The option of change in composition of the Consortium which is available under Clause 2.3.2 may be exercised by any Applicant who is pre-qualified either as a Consortium or as a single entity. In the case of a single entity Applicant adding a Member at the Bid Stage, the single entity Applicant shall be the Lead Member of the Consortium. Provided, however, if the entity that is proposed to be added as a Member of such Consortium is also a Member of another Consortium which has been pre-qualified at the RFQ Stage, such entity shall only be permitted to form a Consortium with the single entity Applicant after withdrawing from the Consortium that has been qualified. Such withdrawal shall be evidenced by way of a consent and release letter to be submitted by such Member to the Authority, signed by the Lead Member and other Members of the Consortium that it was originally a part of. Provided further that a single entity applicant/Lead Member of a Consortium which has been pre-qualified cannot join any other Applicant which has been pre-qualified.
		With: The option of change in composition of the Consortium which is available under Clause 2.3.2 may be exercised by any Applicant who is pre-qualified either as a Consortium or as a single entity. In the case of a single entity Applicant adding a Member at the Bid Stage, the single entity Applicant shall be the Lead Member of the Consortium. Provided, however, if the entity that is proposed to be added as a Member of such Consortium is also a Member of another Consortium which has been pre-qualified at the RFQ Stage, such entity shall only be permitted to form a Consortium with the single entity Applicant after withdrawing from the Consortium that has been qualified. Such withdrawal shall be evidenced by way of a consent and release letter to be submitted by such Member to the Authority, signed by the Lead Member and other Members of the Consortium that it was originally a part of. Provided further that a single entity applicant/Lead Member of a Consortium which has been pre-qualified cannot join any other Applicant which has been pre-qualified to form a consortium at the RFP stage.

SN	Clause/Item	Amendment
10.	2.4.1	<u>Replace:</u> No Applicant shall submit more than one Application for the Project. An applicant applying individually or as a member of a Consortium shall not be entitled to submit another Application either individually or as a member of any Consortium, as the case may be.
		With: No Applicant shall submit more than one Application for the Project. An applicant applying individually or as a member of a Consortium shall not be entitled to submit another Application either individually or as a member of any Consortium, as the case may be. Any sub-contractor whose experience is relied upon by any Applicant for demonstrating its Technical Capacity shall not be entitled to submit an Application or a Bid, either individually or as a member of any Consortium, as the case may be.
11.	2.7.2 (c)	New Clauseif any change in the composition of a Consortium, pursuant to Clause 2.3 of the RFQ or any provision of the RFP, results in a conflict of interest or is, in the Authority's sole and reasonable opinion, likely to be prejudicial to the Bidding Process.
12.	2.8.1 Appendices VI.	Replace: Undertaking for Technical Capacity Experience With: VI A Undertaking of Technical Capacity Experience VI B Format for project specific details of rolling stock manufacture and supply experience VI C Non-binding undertaking provided by the sub-contractor(s)
13.	2.13.3 (ix)	Replace: Certificate for Technical Capacity requirements specified in Clause 2.2.2 (B) as per Appendix-VI; and With:

SN	Clause/Item	Amendment
		Certificate for Technical Capacity requirements specified in Clause 2.2.2 (B) as per Appendix-VIA;
	2.13.3 (xiii),	New Clause:
	(xiv), and (xv)	(xiii) Details of the project specific experience of the Applicant, its Member(s), Associate(s) and/or sub-contractor for meeting the Technical Capacity requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B) as per Appendix-VIB;
		(xiv) Copies of commissioning certificate(s), receipt note(s) and other similar documents issued by the relevant authority/client evidencing the experience of the Applicant, its Member(s), Associate(s) and/or sub-contractor specified under Appendix-VIB; and
		(xv) If applicable, non-binding undertaking provided by the sub-contractor(s) whose experience is being relied on for meeting the Technical Capacity requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B).
14.	2.19.1 (k)	<u>Replace:</u> it is accompanied by the undertaking of Technical Capacity <u>Experience as specified in Clause 2.2.2 (B);</u> <u>With:</u> it is accompanied by the undertaking(s) and details of Technical Capacity experience as specified in Clause 2.2.2 (B)
15.	3.1.2	Replace: The Applicant's competence and capability is proposed to be established by its Financial Capacity. With: The Applicant's competence and capability is proposed to be established by its Financial Capacity and Technical Capacity. Capacity.
16.	3.3	 Replace: 3.3 Short-listing of Applicants 3.3.1 Applicants shall then be shortlisted on the basis of meeting the Financial Capacity. A list of the shortlisted bidders shall be notified on the eProcurement Portal – Karnataka. With:

SN	Clause/Item	Amendment					
		3.3 Evaluation of Technical Capacity					
		3.3.1 The Applicant's Technical Capacity shall be evaluated on the basis of:					
		(a) The undertaking provided by the Applicant as per Appendix VIA;					
		(b) The particulars of experience relating to sub-clause (i) to (v) of Clause 2.2.2 (B) provided by the					
		Applicant as per Appendix VIB, accompanied by the documents specified in Clause 3.3.2 evidencing such experience;					
		(c) The non-binding undertaking provided by the Applicant's sub-contractor as per Appendix VIC, if applicable; and					
		(d) The undertaking provided by the Applicant as per Appendix VIIA and Appendix VIIB.					
		 3.3.2 The Application shall be accompanied by documents evidencing the experience of the Applicant, its Member, Associate or sub-contractor, as the case may be, in respect of sub-clause (i) to (v) of Clause 2.2.2 (B), including but not limited to:					
		B. receipt note(s); and					
		C. other similar documents issued by the relevant authority/ client evidencing compliance with the Technical Capacity requirements.					
		3.3.4 Short-listing of Applicants					
		3.3.4.1 Applicants shall then be shortlisted on the basis of meeting the Financial Capacity and the Technical Capacity. A list of the shortlisted bidders shall be notified on the eProcurement Portal – Karnataka.					
17.	Appendix-I	Replace:					
	Clause 23	I/ We hereby confirm that we shall comply with the Technical Capacity and O&M requirements specified in Clause 2.2.2					
		(B) and 2.2.3 respectively. Details hereof are certified as <u>Appendix-VI</u> for Technical Capacity requirements and					
		Appendix-VII A and VII B for O&M requirements.					
		With:					
		I/ We hereby confirm that we shall comply with the Technical Capacity and O&M requirements specified in Clause 2.2.2					
		(B) and 2.2.3 respectively. Details hereof are certified as <u>Appendix-VIA, Appendix-VIB [and Appendix-VIC]</u> for					
		Technical Capacity requirements and Appendix-VII A and VII B for O&M requirements.					

SN	Clause/Item	Amendment
18.	Appendix IV Clause 6.2	Replace: The Parties undertake that 26% (twenty-six per cent) of the subscribed and paid up equity share capital of the SPV shall, at all times for a Period of <u>4 (four) vear</u> from the completion of Supply Period to be held by the each Party of the First, {Second and Third} Part whose Net Worth/ACI have been reckoned for the purposes of qualification and short-listing of Applicants for the Project in terms of the RFQ. With: The Parties undertake that 26% (twenty-six per cent) of the subscribed and paid up equity share capital of the SPV shall, at all times for a Period of <u>2 (two) years</u> from the completion of Supply Period to be held by the each Party of the First,
		{Second and Third} Part whose Net Worth/ACI have been reckoned for the purposes of qualification and short - listing of Applicants for the Project in terms of the RFQ.
	Appendix IV	Replace:
	Clause 6.3	The Parties undertake that each of the Parties specified in Clause 6.2 above shall, at all times for a Period of <u>4 (four) year</u> from the completion of Supply Period, hold subscribed and paid up equity share capital of SPV equivalent to at least 5% (five per cent) of the Total Project Cost. <u>With:</u>
		The Parties undertake that each of the Parties specified in Clause 6.2 above shall, at all times for a Period of <u>2 (two) years</u> from the completion of Supply Period, hold subscribed and paid up equity share capital of SPV equivalent to at least 5% (five per cent) of the Total Project Cost.
	Appendix IV	Replace:
	6.4	The Parties undertake that they shall collectively hold at least 100% (hundred per cent) of the subscribed and paid up equity share capital of the SPV at all times for a Period of <u>4 (four) year</u> from the completion of Supply Period. <u>With:</u> The Parties undertake that they shall collectively hold at least 100% (hundred per cent) of the subscribed and paid up equity share capital of the SPV at all times for a Period of <u>2 (two) years</u> from the completion of Supply Period.

SN	Clause/Item	Amendment
SN 19.	Clause/Item Appendix VI	Amendment Replace: APPENDIX-VI Undertaking for Technical Capacity experience (Refer Clause 2.2.2 (B)) (To be given on the letterhead of the Applicant/ Lead Member of Consortium) Ref. Date: To,
		^{\$} strike out the paragraph that is not applicable

SN	Clause/Item	Amendment
		Thanking you. Yours faithfully. Authorised Signatory
		(Signature, name and designation of the authorised signatory) For and on behalf of
		<u>With:</u>
		<u>APPENDIX-VI A</u> Undertaking for Technical Capacity experience (Refer Clause 2.2.2 (B)) (To be given on the letterhead of the Applicant/ Lead Member of Consortium)
		Ref. Date:
		To,
		Dear Sir,
		We hereby confirm that we/ our members in the Consortium (constitution of which has been described in the Application) satisfy the terms and conditions laid out in the RFQ document No dated
		We certify that we have the requisite Technical Capacity experience in accordance with sub-clause (i) to (v) of Clause 2.2.2 (B) of RFQ for discharging the obligations in accordance with the provisions of the Agreement, failing

SN	Clause/Item	Amendment
		which the Agreement shall be liable to termination, and the Bid Security or Performance Security, as the case may be, liable to be forfeited.
		We further certify that we have the requisite Technical Capacity experience in accordance with <u>sub-clause (vi) and (vii)</u> <u>of</u> Clause 2.2.2 (B) of RFQ for discharging the obligations in accordance with the provisions of the Agreement, failing which the Agreement shall be liable to termination, and forfeiture of Bid Security or Performance Security, as the case may be. \$
		OR
		We further certify that we shall either enter into an agreement with an entity who should have requisite Technical Capacity experience in accordance with <u>sub-clause (vi) and (vii) of</u> Clause 2.2.2 (B) of RFQ for discharging its obligations in
		accordance with the provisions of the Agreement, failing which the Agreement shall be liable to termination, and forfeiture of Bid Security or Performance Security, as the case may be. \$.
		\$ strike out the paragraph that is not applicable
		Thanking you.
		Yours faithfully.
		Authorised Signatory
		(Signature, name and designation of the authorised signatory) For and on behalf of
		New Clause:
		A DRENINIX VI D
		<u>APPENDIX-VI B</u>
		Format for project specific details of rolling stock manufacture and supply experience (Refer sub-clause (i) to (v) of Clause 2.2.2 (B))
		(Acjer sub-clause (1) to (V) of Clause 2.2.2 (D))

SN	Clause/Item	Amendment					
		Project Code	e: N	Member Code:			
			Item	Particulars of the Project			
		Title & nature of t	he project				
		(Metro, LRT, Suburt	oan EMUs, Trains, Electric Locomotives)				
			Cars manufactured during last 10 years cation Due Date (<i>Refer clause 2.2.2 (B) (i)</i>)				
		No. of Eligible Cars manufactured with specified material: (<i>Refer clause 2.2.2</i> (<i>B</i>) (<i>ii</i>)) (<i>Strikeout</i> whichever is not applicable)	Design, manufactured or supplied with stainless steel/aluminium manufactured Eligible Cars comprising stainless steel/aluminium /corten steel/steel EMU/ MEMU/ metro assembled aluminium/ stainless steel Eligible Cars in India.				
		Entity for which th B(iii)) No. of Eligible Cars supplied (Refer Clause 2.2.2 (B) (iv))	e project was developed (<i>Refer Clause 2.2.2</i> country other than its country of origin India				

Clause/Item	Amendment
	as of the Application Due Date, No of Eligible Cars in
	satisfactory revenue operations for a minimum of at least 3
	(three) years (Refer Clause 2.2.2 (B) (v))
	Date of Award of Project
	Date of commencement of project/ contract
	Date of completion/ commissioning
	Whether credit is being taken for the Eligible Experience of
	(Associate/Member/Sub-Contractor)
	 Instructions: Applicants are expected to provide information in respect of each project in this Annex. The projects cited must comply with the eligibility criteria specified in Clause 2.2.2 (B) of the RFQ, as the case may be. A separate sheet should be filled for each eligible project. Member Code shall indicate NA for Not Applicable in case of a single entity Applicant. For other Members, the following abbreviations are suggested viz. LM means Lead Member, TM means Technical Member, FM means Financial Member, OMM means Operation & Maintenance Member; and OM means Other Member. In case the
	project relates to an Associate of the Applicant or its Member, write "Associate" along with Member Code. In case the project relates to the Sub-Contractor of the Applicant, write "Sub-Contractor" along with Member Code.
	3. Experience for any activity relating to a project shall not be claimed by two or more Members of the Consortium. In other words, no double counting by a consortium in respect of the same experience shall be permitted in any manner whatsoever.
	4. In the event that credit is being taken for the eligible experience of an Associate, as defined in Clause 2.2.9, the Applicant should also provide a certificate in the format below:
	Clause/Item

SN	Clause/Item	Amendment
		Certificate from the Statutory Auditor/ Company Secretary regarding Associate ^s
		Based on the authenticated record of the Company, this is to certify that more than 50% (fifty per cent) of the subscribed and paid up voting equity of (<i>name of the Applicant/ Consortium Member/ Associate</i>) is held, directly or indirectly [£] , by (<i>name of Associate/ Applicant/ Consortium Member</i>). By virtue of the aforesaid share-holding, the latter exercises control over the former, who is an Associate in terms of Clause 2.2.9 of the RFQ.
		A brief description of the said equity held, directly or indirectly, is given below:
		{Describe the share-holding of the Applicant/ Consortium Member and the Associate. In the event the Associate is under common control with the Applicant/ Consortium Member, the relationship may be suitably described and similarly certified herein.}
		Name of the audit firm: Date:
		Seal of the audit firm:
		(Signature, name and designation of the authorised signatory).
		^{\$} In the event that the Applicant/ Consortium Member exercises control over an Associate by operation of law, this certificate may be suitably modified and copies of the relevant law may be enclosed and referred to.
		[£] In the case of indirect share-holding, the intervening companies in the chain of ownership should also be Associates i.e., the share-holding in each such company should be more than 50% in order to establish that the chain of "control" is not broken.

New Clause:
<u>APPENDIX-VI C</u> <u>Format for non-binding undertaking to be provided by sub-contractor</u> <u>(Refer Clause 2.2.2 (B))</u> (To be given on the letterhead of the sub-contractor) <u>Ref.</u>
<u>To.</u>
Dear Sir, We hereby consent to
In the event that the Applicant is declared as the Selected Bidder by the Authority, we undertake to act as a sub- contractor to the Applicant or a company incorporated by the Applicant, for performing its obligations in accordance with the provisions of the Agreement, subject to reaching a mutual agreement on the commercial terms of such engagement.
We certify that the information and supporting documents relating to our experience provided by the Applicant in its Application is true and accurate. We confirm that we have not and will not submit any Application or Bid, as a Member of a Consortium or otherwise, pursuant to the RFQ or the RFP.

SN	Clause/Item		Amendment					
		Thanl	king you.				Your	rs faithfully.
						(Signature, name and designation of	the authorise	d Signatory l signatory) on behalf of
20.	Appendix-X Clause M	 AC Traction Motor: Three phase asynchronous traction motors, suitable for RIPM converter/inverter operation offered. The motor shall have adequate built in margin to cater to the environmental conditions given in the specific the motor shall be designed to suit ripples and harmonics from the inverter and shall have a high degree of reliar service during motoring as well as regeneration. The traction motor shall be self-ventilated and shall comply we requirements of IEC 60349 or latest internationally accepted equivalent standard and parts thereof. With: AC Traction Motor: Three phase asynchronous traction motors, suitable for converter/inverter operation shall be The motor shall have adequate built in margin to cater to the environmental conditions given in the specificati motor shall be designed to suit ripples and harmonics from the inverter and shall have a high degree of reliability in during motoring as well as regeneration. The traction motor shall be self-ventilated and shall comply with the requirements of IEC 60349 or latest internationally accepted equivalent standard and parts thereof. 						pecification. reliability in ply with the l be offered. fication. The ity in service
21.	Appendix-	Repla		D				
	XIII	SN 1.	Ref Clause2.13.3 (xii)		ument lication Index	Description	Tick Mark	Remarks
		1.	2.13.3 (XII) 2.13.1 (i)		endix-I	Letter comprising the Application		
			2.13.1 (i)	i.	Annex-I	Particulars of the Applicant		
			2.13.3 (v)		Copy of	self-attested copies of the Memorandum		
					Memorandum and	and Articles of Association, if the Applicant		

SN	Clause/Item			Amendment	
			Articles of Association / copy of its partnership deed	is a body corporate, and if a partnership then a copy of its partnership deed. If the Applicant is a Consortium, then each member shall submit its self-attested copies of its Memorandum and Articles of Association or partnership deed, as relevant	
		2.13.1 (i), ii. 2.2.2 (A)	Annex-II	Financial Capacity of the Applicant	
		2.13.3 (viii)	Certificate from Statutory Auditor Specifying Net Worth/ACI		
		2.13.3 (vii)	Copies of Applicant's duly audited financial statements for the preceding three years		
		2.13.3 (viii)	Certificate from statutory auditors/ company secretary regarding Associate, if applicable		
		2.2.9 & 2.2.10 (b)	Copies of Associate's duly audited financial		

SN	Clause/Item		Amendment						
					statements (whose				
					financial capacity is				
					used) for the				
					preceding three				
					years, if applicable				
			2.13.1 (i)	iii.	Annex-III	Statement of Legal Capacity			
		3.	2.12 &	is th	e Application signed				
			2.13	as st	ipulated in Clauses				
				2.12	and 2.13				
				i.	Online Submission				
				ii.	Offline Submision				
		4.	2.13.3 (ii)	App	endix-II	Power of Attorney for signing of			
						Application			
			2.13.3 (vi)	Cha	rter documents	extracts of the charter documents and			
						documents such as a board or shareholders'			
						resolution/power of attorney in favour of			
						the person executing the Power of Attorney			
						mentioned in Appendix II			
		5.	2.13.3 (iii)	App	endix-III	Power of Attorney for Lead Member of			
						Consortium			
			2.13.3 (vi)	Cha	rter documents	extracts of the charter documents and			
						documents such as a board or shareholders'			
						resolution/power of attorney in favour of			
						the person executing the Power of Attorney			
						mentioned in Appendix III			
		6.	2.13.3 (iv)	App	endix-IV	copy of the Jt. Bidding Agreement, in case			
						of a Consortium			

SN	Clause/Item	Amendment							
		7.	2.2.2 (B) &	App	endix-VI	Certificate for Technical Capacity			
			2.13.3 (ix)			requirements			
		8.	2.2.3 &	App	endix-VIIA	Certificate for Operation requirements			
			2.13.3 (x)						
		9.	2.2.3 &	App	endix-VIIB	Certificate for Maintenance requirements			
			2.13.3 (x)						
		10.	2.2.13 &	App	endix-XI	Certificate as per GFR Order			
			2.13.3 (xi)						
		11.	2.13.3 (xii)	App	endix-XIII	Application Index & Checklist			
		With:	Ref Clause	Doc	ument	Description	Tick Mark	Remarks	
		1.	2.13.3 (xii)	App	lication Index				
		2.	2.13.1 (i)	App	endix-I	Letter comprising the Application			
			2.13.1 (i)	i.	Annex-I	Particulars of the Applicant			
			2.13.3 (v) 2.13.1 (i),	ii.	Copy of Memorandum and Articles of Association / copy of its partnership deed Annex-II	self-attested copies of the Memorandum and Articles of Association, if the Applicant is a body corporate, and if a partnership then a copy of its partnership deed. If the Applicant is a Consortium, then each member shall submit its self-attested copies of its Memorandum and Articles of Association or partnership deed, as relevant Financial Capacity of the Applicant			
			2.2.2 (A)						

SN	Clause/Item	Amendment			
		2.13.3	Certificate from		
		(viii)	Statutory Auditor		
			Specifying Net		
			Worth/ACI		
		2.13.3 (vii)	Copies of		
			Applicant's duly		
			audited financial		
			statements for the		
			preceding three		
			years		
		2.13.3	Certificate from		
		(viii)	statutory auditors/		
			company secretary		
			regarding		
			Associate, if		
			applicable		
		2.2.9 &	Copies of		
		2.2.10 (b)	Associate's duly		
			audited financial		
			statements (whose		
			financial capacity is		
			used) for the		
			preceding three		
			years, if applicable		
		2.13.1 (i)	iii. Annex-III	Statement of Legal Capacity	

SN	Clause/Item	Amendment					
		3.	2.12 &	is th	e Application signed		
			2.13	as st	tipulated in Clauses		
				2.12 and 2.13			
				i.	Online Submission		
				ii.	Offline Submision		
		4.	2.13.3 (ii)	App	endix-II	Power of Attorney for signing of Application	
			2.13.3 (vi)	vi) Charter documents		extracts of the charter documents and documents such as a board or shareholders' resolution/power of attorney in favour of the person executing the Power of Attorney mentioned in Appendix II	
		5.	2.13.3 (iii)	(iii) Appendix-III		Power of Attorney for Lead Member of Consortium	
			2.13.3 (vi)	Cha	rter documents	extracts of the charter documents and documents such as a board or shareholders' resolution/power of attorney in favour of the person executing the Power of Attorney mentioned in Appendix III	
		6.	2.13.3 (iv)	App	endix-IV	copy of the Jt. Bidding Agreement, in case of a Consortium	
		7.	2.2.2 (B) & 2.13.3 (ix)	Apr	oendix-VIA	Certificate for Technical Capacity requirements	
		8.	<u>2.2.2 (B),</u>	App	endix-VI B	Details of the project specific experience	
			<u>2.13.3</u>			of the Applicant, its Member(s),	
			<u>(xiii) &</u>			Associate(s) and/or sub-contractor for	
			<u>2.13.3 (xiv)</u>			meeting the Technical Capacity	

SN	Clause/Item	Amendment					
					requirements specified in sub-clause (i) to (v) of Clause 2.2.2 (B) along with supporting documents		
		9.	2.2.2 (B) & 2.13.3 (xv)	Appendix-VI C	Non-binding undertaking provided by sub-contractor(s), if applicable		
		10.	2.2.3 & 2.13.3 (x)	Appendix-VIIA	Certificate for Operation requirements		
		11.	2.2.3 & 2.13.3 (x)	Appendix-VIIB	Certificate for Maintenance requirements		
		12.	2.2.13 & 2.13.3 (xi)	Appendix-XI	Certificate as per GFR Order		
		13.	2.13.3 (xii)	Appendix-XIII	Application Index & Checklist		
22.	22. Appendix-XV New Clause:						
		<u>APPENDIX-XV</u> <u>Undertaking for RFQ No. K-RIDE/BSRP/18/2023 dated 23.01.2023</u> <u>(Refer Tender Invitation)</u> (Applicable only for Applicants who have submitted an application pursuant to RFQ No. K-RIDE/BSR <u>dated 23.01.2023)</u>					
		(To be forwarded on the letterhead of the Applicant/ Lead Member of Consortium) No: Date:					
		<u>To,</u>			Duie.		
		<u></u>	•••••				

SN	Clause/Item	Amendment
		 Subject: Validation of documents submitted as part of our applications pursuant to RFQ No. K- RIDE/BSRP/18/2023 dated 23.01.2023 Dear Sir,
		We had submitted an application pursuant to RFQ No. K-RIDE/BSRP/18/2023 dated 23.01.2023 (Previous RFQ). We hereby confirm our complete satisfaction and agreement with the terms and conditions outlined in the RFQ document No. KRIDE/2023-24/PREQUAL-TENDER_NUMBER_1 dated 08.06.2023 (referred to as the "RFQ"), which pertains to the pre-qualification and short-listing of bidders for the Bengaluru Sub-Urban Rail Project (referred to as the "Project") through a public-private partnership for the procurement, operation, and maintenance of rolling stock.
		We are re-using some of the documents that were previously submitted as part of our previous application in our Application. We request you to consider these documents and we fully endorse all the revisions made in the RFQ, along with any Corrigendum(s) and Addendum(s) in comparison to the Previous RFQ. We assure the Authority that we have taken all necessary measures to ensure that our Application adheres to their requirements. However, we acknowledge that should our Application fail to meet the required standards, the Authority reserves the right to reject it.
		Thanking you.
		Yours faithfully. Authorised Signatory
		(Signature, name and designation of the authorised signatory) For and on behalf of